



Research

# Landscape Influences on Fisher Success: Adaptation Strategies in Closed and Open Access Fisheries in Southern Chile

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**ABSTRACT.** Determinants of fisher success in southern Chile's *loco* (*Concholepas concholepas*) fishery are examined by comparing fisher success in exclusive access territories that vary in relationship to tree-plantation development, which can affect shellfish quality. The relative importance of fishers' experience and capture technology (traditional measures of fisher success) are evaluated against environmental and geospatial characteristics. While knowledge and technology explained variation in catches, this did not translate into higher prices or profit. Fishers succeeded (gained higher prices for *locos* and had higher monthly incomes from their management areas) when they harvested shellfish from closed (exclusive) nearshore management areas where the environmental condition produced high quality *locos* regardless of their fishing experience, technology, and the geospatial features of management areas. Experienced fishers who worked in management areas near tree plantations that fail to produce resources of sufficient quality shifted to offshore fisheries where their experience counted. Offshore fishers working in the *congrío* (*Genypterus chilensis*) fishery likely exposed themselves to more risk and benefited from their experience and available technology; environmental condition and geospatial factors played little role in their success (price). Closed management areas provided resources to harvest, but may reduce a fisher's ability to adapt to environmental change because success depends on environmental factors outside of a fisher's control. Fishers were not financially rewarded for their experience or their technology in the *loco* fishery.

**Key Words:** *adaptation strategies; Chile; closed-access; Concholepas concholepas; endobionts; experience; fisheries; fisher success; Genypterus chilensis; human environment; landscape change; land-sea interface; traditional ecological knowledge (TEK); tree plantations*

## INTRODUCTION

### Fisher success

Fishers constantly face uncertainty and adapt their behavior in response to environmental fluctuations and regulations (Salas 2004). Estimates report that 37% of the Earth's population lives along the coast (within 100 km of a coastline) (Cohen et al. 2007) and the associated development threatens fisher success and coastal communities. Landscape change and the associated nutrient input into coastal systems directly affect marine community composition (Kemp et al. 2005) and fisheries (Van Holt et al. 2012). Quantifying the factors that affect fisher success, whether defined as catch per unit effort, total catch, price paid per kg of resource, or profit, can contribute to the identification of which strategies help fishers respond to change. Often fisheries are managed based on ideologies of what factors are perceived to determine success, when in reality these factors may or may not drive success (Durrenberger 1996). This may lead to fisheries management approaches that inadvertently expose fishers to more risk or transform fisheries so that expertise or technology no longer benefits fishers. In this study we ask: (1) Do changes in the landscape translate into environmental conditions that negatively affect fisher success? (2) Are the drivers of success the same across open and exclusive access fisheries? and (3) Does providing exclusive access to management areas (MA) make fishers more vulnerable to environmental change, limit

success, and possibly expose fishers to more risk? More than half of the world's population (4.5 billion people) worldwide depends on fisheries for protein (15% or more of their diet) (FAO 2010) and successful fishers and management approaches are needed to support this demand. If success is mainly determined by fisher experience, then additional technical skills may foster success. If fisher success is determined mainly by geospatial factors, then changing the configuration of management areas could foster success. If technology fosters success then increasing fleet size could promote success. If the environmental condition explains the majority of success, then regulating where fishers harvest may prevent fishers from responding to environmental change. Management systems may then need to reorganize to foster fisher success.

Scientists have operationalized success as catch per unit effort (CPUE) and have tested the premise that some fishers were better skippers, that is, catch more fish, than others (e.g., Acheson 1977, Palsson and Durrenberger 1982, Thorlindsson 1988). The skipper effect concept and the relative influence of the variables used in these studies can help to characterize which fisher strategies can help fishers adapt and respond to environmental change. Many skipper effect studies test whether or not experience or skill (the skipper effect) explains catch variation, while controlling for technology (Palsson and Durrenberger 1990); some also measured environmental

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measures and geospatial factors (Acheson 1977). An ideology and observed skipper effect was shown in Acheson's (1977) study, which found that placement of lobster traps depended on skill. Fishers also held an ideology of successful fishers. Thorlindsson (1988) reported an observed and perceived skipper effect in Iceland fisheries. Palsson and Durrenberger report on an ideology of a skipper effect and no observed skipper effect in in Iceland (Palsson and Durrenberger 1982, Durrenberger 1993, 1996). Instead, technology (specifically vessel size) was a major predictor of success. Russell and Alexander (1996) found no ideology of a skipper effect but an observed skipper effect in the purse-seine fishery in the Philippines. No ideology of a skipper effect and no observed skipper effect was found in shrimpers in the Gulf Coast (Durrenberger 1993, 1996).

This study focuses on the following measures of success: the reported price received for the catch for *loco* (*Concholepas concholepas*) and *congrío* (*Genypterus chilensis*) fisheries, and for the *loco* fishery, the reported monthly income generated from the management area, total catch, and catch per unit effort were also analyzed. Fisher success in a fishery with exclusive (closed) access (*loco*) was compared with fisher success in an open access fishery (*congrío*). The study takes place in a region where nutrient runoff from the landscape directly affects the quality of resources in the closed-access nearshore fishery, and it focuses on the question of when experience counts and when geographic features, the environment, or technology might trump experience. Experience is used as a proxy for traditional ecological knowledge, the expertise that might lie behind the skipper effect. We test whether or not the amount of variance in success is explained by variation in experience and in technology. If experience and technology do not predict success, then what does? In closed-access fisheries, environmental factors may be important predictors of success if fishers cannot move to another location to harvest. Likewise, the geospatial features of the management areas could also predict success since they are related to the resources available, transportation cost, market access, and knowledge, factors that fishers take into account when they are harvesting and marketing resources.

## Fisheries management in Chile

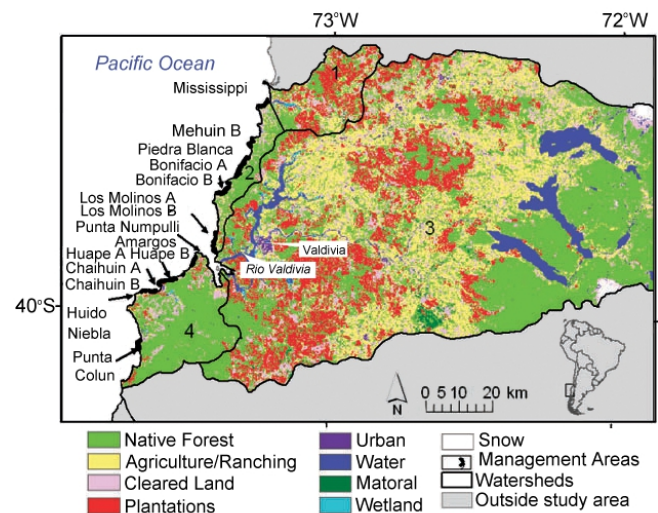
### Territorial User Rights Fisheries

Recently Chile implemented a Territorial User Rights Fisheries (TURF) management system to protect benthic species from overharvesting by providing fishers quasi-property rights to parcels of the ocean called managed exploitation areas for benthic resources (MEABR) and exclusive rights to the benthic resources in those areas (Bernal et al. 1999, Gelcich et al. 2010). The MEABRs are globally considered an important innovation in fisheries governance although scientists recognize that more resilience can be incorporated into the system (Gelcich et al. 2010) and that providing tenure may leave fishers vulnerable to unanticipated

socioeconomic changes (Aswani 1999). One potential affect is that fisheries regulations reduce the influence of fisher knowledge and experience in the fishery. The opposite affect could occur as well where more expertise is required for success and fishers expose themselves to more and more risk to be financially rewarded.

The MEABRs were implemented to control harvest of the *loco* fishery, a benthic shellfish fishery that collapsed in the mid 1980s. Fishers can legally harvest *locos* only within their assigned management areas along the coast. As of 2004, most of the usable coast in the study site (Figure 1) was divided into management areas allocated among organized fishing groups. In 2004, fishers in the study area were conducting their first, second, or third harvest under the new management system. Prior to this, there were essentially no *locos* to legally harvest.

**Fig. 1.** Study Site in the Valdivia-Province Coastal System, Chile. The management areas are located in black along the coast. In some cases two management areas are listed due to space constraints (i.e., Huape A and Huape B). Watersheds are numbered from 1 to 4. Some fisher syndicates have more than one management area. The influence of forest plantations (high chlorophyll-a concentration values in the nearshore and *loco* shellfish with high levels of shell-boring organisms) extends from the outlet of Rio Valdivia (the river from the Valdivian watershed, #3) northward (management areas Punta Numpulli northward).



Most fishers belong to fishing syndicates, although fishers can also legally organize as associations or indigenous organizations. Strong kin connections persist within most organizations. Although the TURF system only applies to benthic organisms and the fishery has a very short season, fishers will throw out foreign fishers if they attempt to catch anything in their management area during the year. Fishers

are not legally restricted on where they can harvest finfish. The rivers are open access and local rules govern river access, although a few legal concession areas also exist. The river concessions are distinct from the MEABRs; no new concessions are granted in the rivers. While some concessions are still productive for mussel harvest, others are not because, according to fishers, mussel development has been truncated because of environmental changes in areas where mussels once were productive. Fishers don't want to give up these coveted areas but owe the government fees for these areas regardless of whether they harvest sufficient resources to pay the fee.

Four types of artisanal fishers exist: (1) purse seine fishers who harvest fish offshore (up to two degrees latitude offshore) in medium-sized boats (up to 18 m) (Canales et al. 2008); (2) divers who use hookah equipment and small motorized boats (7.4 m average) to harvest benthic resources in rivers and in the nearshore; (3) coastal fishers that operate small motorized or sail-powered boats (7.4 m average) and long line hooks or cast nets to catch fish nearshore and offshore; and (4) collectors of nearshore resources who walk along the tidal interface and harvest kelp and other accessible resources. The purse seine fishers do not have management areas and only work in the open ocean. Benthic fishers, coastal fishers, and coastal-resource collectors harvest *locos* because they fetch a high price for *locos* relative to other fisheries and because the management areas are usually located close to home. Some fishers harvest *locos* during the harvest season and do not work in other fisheries during the rest of the year while others work in fisheries year round. Offshore fishing is considered riskier than working in the *loco* fishery. During the year of this study, three coastal fishers died when they were fishing offshore in a storm and their small boat capsized. Diving can also be dangerous when fishers expose themselves to greater depths and time under water. Some fishers have physical handicaps as a consequence of diving accidents. Fisher experience

Although there are experts and novices within each syndicate, new commercial fishers were often located in more remote areas, while traditional commercial fishers were located closer to population centers and areas with environmental change. When the MEABRs were established, each syndicate solicited a management area. The people living closest to the MA had priority. Because new fishers were introduced to the system when the management areas became established, the amount of time that fishers spend in the open ocean, the rivers, and management areas, as well the species fished, alternative livelihood strategies, and the amount of land owned separate many novice from experienced fishers. When the MEABRs were established, essentially anyone who was a certified diver, fisher, or a collector of onshore resources could join a fisher organization and solicit a management area from the Servicio Nacional de Pesca (SERNAPESCA). Before the MEABRs, commercial fishers consisted mainly of people dependent on

fisheries as their sole source of income and few had additional land for terrestrially-based agricultural activities. Today commercial fishers include new fishers who also have land for agriculturally-based activities. People were attracted to government assistance and the potential to earn money from the coast and as a result, many new people entered the fishery. The newer, part-time fishers harvest mainly management area *locos*. When the year's going prices for *locos* are low, these part-timers stay on shore, participate in terrestrially-based activities, and await better prices the following year when *locos* are larger. In contrast, full-time fishers with more fishing skills, technology, and marketing experience capture species in addition to *locos*. More experienced fishers spend more time offshore and harvest multiple species of finfish and benthic organisms; they also dive and fish in the local rivers. Those who depend entirely on fishing and have traditionally fished marine resources with boats and have better fishing knowledge and organizational skills cannot always wait for a better *loco* price because they are completely reliant on the fisheries. More experienced fishers may also expose themselves to more risk because the financial payoff may be higher. Bernard (1967) showed that Greek sponge divers often risked their safety to make more money and prove themselves as experts. Johnson and Orbach (1990) showed that experienced fishers exposed themselves to more risk when they placed lobster traps offshore where the weather and wind patterns were less predictable and storms could destroy traps, but the financial payoff is higher. Novices did not participate in offshore lobster fishing. The harvest

On average, in management areas across administrative regions X and XIV, which were grouped together and called region X prior to 2007, artisanal fishers harvested 3,278 tons of *locos*, a benthic gastropod, each year between 2003 and 2005. The *loco* harvest occurs from April to August, with the majority of the harvest taking place over about ten days in July and August (Servicio Nacional de Pesca 2003, 2004, 2005). If fishers harvest another species in management areas, they usually harvest *lapa* (*Fissurella* species) at a small scale; however, people usually do not harvest other benthic species because the *loco* is a carnivore and fishers want to make sure that *locos* have adequate food. Fishers also have to pay consultants for the survey of other benthic species and often the amount of money they make from other harvests does not warrant the expense.

*Locos* are substitutes for abalone in global markets and the majority of the *locos* are exported globally. Country-wide prices are affected by global markets, but regional price differences depend mainly on *loco* quality. Supply and demand have less influence over the fishery regionally because information on the harvest quotas for management areas is publically available and buyers know the approximate annual harvest. This is distinct from fisheries in the U.S. (Hatteras, North Carolina) where fishers return home in the middle of a

harvest event because prices have dropped in a matter of hours (Van Holt, *personal observation*). Harvesting *locos* in the management areas is a group effort, in which the president of each syndicate processes the legal paper work and organizes the group. The transportation records of *locos* provide approximate catch per unit effort data at the syndicate level since *loco* buyers will not purchase *locos* that have been *aposado* or sitting around. *Locos* cannot be *aposado* for more than a day or they show signs of distress. For example, if *locos* are sitting around near brackish water where many of the ports are, they become bloated and then buyers refuse to buy the batch. Some fishers could still attempt to group *locos* together and sell two days' worth of *locos* at the same time so catch per unit effort data provided by the government may have this flaw. For many fishers *locos* are their primary source of income even though they may participate in other fisheries or agriculture. An individual's pay depends on the syndicate's rules; some syndicates divide the money equally and others give divers more money.

Most syndicate presidents in the Valdivian region face enormous pressure to harvest entire quotas (Van Holt, *personal observation*). Gelcich et al.'s (2007) study of fisher harvest decisions showed that divers (the more experienced fishers) would reportedly withhold harvest given a low price. The fishers in that study, however, have the best quality *locos* regionally and receive top prices for their *locos* (Van Holt 2009). In contrast fishers from the Valdivian region have lower quality *locos* (Van Holt 2009), and experienced fishers, especially those syndicates with low quality *locos*, usually harvest the entire quota despite low prices.

For those who are not making enough money on management area *loco*, *congrío colorado* (*Genypterus chilensis*), a finfish species, is an alternative. In administrative region X and XIV, 346 tons of *congrío colorado* finfish were annually caught from 2003 to 2005 (Servicio Nacional de Pesca 2003, 2004, 2005). Small groups of fishers harvest *congrío* close to home but outside of any management areas (usually half a km from the coast or beyond). *Congrío colorado* live from the continental shelf up to 400 m depths; they consume mainly crustaceans as well as fish and mollusks (Chong et al. 2006). The *congrío colorado* harvest peaks from October to February although the fish is harvested year-round. The market and price for *congrío colorado* reflects the local market and is stable.

### **Operationalizing success**

Experience and technology

A fisher's experience has been measured in several ways, including by age (Palsson and Durrenberger 1982); by correlating a skipper's catches over subsequent years to see if the same skippers consistently succeed (Thorlindsson 1998); as the unexplained variance in fisher success models (Bjarnason and Thorlindsson 1993); mean catch per trip by boat; and the number of crew (Russell and Alexander 1996).

However it has been measured, experience helps people successfully respond to ecological surprises and manage and harvest resources (Berkes et al. 2000). In this study, experience is measured by where fishers work, what they fish, how long they have fished, alternative livelihoods, education, and land owned. Technology typically has been measured as boat size (Palsson and Durrenberger 1982, Thorlindsson 1988), and gear type (Hilborn and Ledbetter 1985). Individual boat ownership may be less important in developing country fisheries, such as those in the Philippines (Russell and Alexander 1996) or those in Chile, where regulations are tied to groups of fishers, and people can harvest in teams. In the Chilean fisheries, there was little variance in boat size since artisanal boats ranged from 5 m to 18 m and had similar sized motors. Boat power in each syndicate, however, is more indicative of success because one syndicate can have four boats and another syndicate can triple that amount. Consequently, in this study technology is measured by the number of boats in each fishing syndicate. Environment

In skipper effect studies, few have quantified environmental variance (but see Acheson 1977). For fishers who harvest nearshore in Chile, the quality of local resources varies by upland land use, and land-use variables are potential predictors of success for the *loco* fishery.

Many nearshore systems are becoming more eutrophic from landscape change that includes agriculture, deforestation, plantation development, animal production, and urbanization activities that fertilize the soils and increase nutrient loading and sediment delivery to the rivers (Nixon 1995). Nutrient enrichment causes changes in photosynthetic biomass that leads to phytoplankton blooms that in turn decrease light penetration and oxygen levels in the nearshore (Smith et al. 1999, Kemp et al. 2005). Since phytoplankton (Ware and Thomson 2005) and predators structure marine systems (Verity and Smetacek 1996), changes in photosynthetic biomass alter benthic marine communities and food webs (Diaz and Rosenberg 1995, Kemp et al. 2005). Consequently, organisms may be more susceptible to endobionts (shell-boring organisms) or epibionts (organisms that live on top of the shell) (Zander and Reimer 2002, Van Holt et al. 2012).

In Chile, pine (*Pinus radiata*) was introduced in the 1940s to protect degraded riparian areas (Lara and Veblen 1993). To bring Chile into the world market economy and to make the country less vulnerable to the fluctuations in the copper market (Auty 1993, Gwynne 1996), the government subsidized in-demand, nontraditional agricultural exports such as pine trees. Plantation development exploded when the Pinochet administration subsidized 75% of the cost of plantation establishment, and eucalyptus (*Eucalyptus globulus* and *Eucalyptus nitens*) became an important pulp species (Lara and Veblen 1993). By 1992, Chile was the world's sixth most important wood pulp exporting country (Sedjo 1999). Van Holt et al. (2012) have shown that *loco* shellfish located near

tree plantations have more epibionts and endobionts and that chlorophyll-a, a proxy for photosynthetic biomass, is also higher in regions closer to landscape change. While it is unlikely that the *congrío* fishery is affected by the epibionts and endobionts on the *locos*, *congrío* may be associated with chlorophyll-a because these characteristics may indicate *congrío* food sources. Geospatial features

Fishers decide when, where, what, and how much they harvest based, in part, on geospatial features of where they live and fish (Aswani 1998, Shester 2010), although regulations can also influence where fishers harvest (Gelcich et al. 2006). The species harvested depends on market proximity (Cinner and McClanahan 2006) and travel costs (Sampson 1994, Aswani 1998). Fisher decisions also depend on the quality of the harvest area and how much energy they need to spend reaching it (Guest 2003). In Chile, since fishers are now geospatially restricted, for the highly valuable *loco* fishery, success could depend, in part, on how far fishers are located from their management areas since areas along the Chilean coast are still not developed with roads, and buyers cannot always reach remote areas. Likewise not all fishers have developed ports or live close to their port, and these distance measures may factor into the price that fishers may be paid for a resource. Fishers with larger management areas may have to invest more time managing and guarding their resources, leaving less time for other activities.

## METHODS

### Research design

The environmental variation of *loco* quality, tree plantations, and chlorophyll-a patterns in the system makes for a natural experiment (space-for-time substitution) to test the relative influence of the landscape change and associated environmental factors on fisher success. The Valdivian province of Chile contains four large watersheds, named after the main river in the watershed: Lingue (1), Bonifacio (2), Valdivia (3), and Chaihuín (4), which are all contained in the administrative region XIV (Figure 1). The influence of plantations in the nearshore environment varies by zone instead of watershed. Zone 1 is the region near watersheds 1-3, where extensive plantation development (1,415 km<sup>2</sup>) occurred from 1985 to 2001. Zone 2 is the region near watershed 4, where few plantations (27 km<sup>2</sup>) were established. Tree plantations are fertilized (Schlatter 1977, Guerra et al. 2007), resulting in increased nitrogen levels in tree plantation watersheds (Oyarzun et al. 2007, Little et al. 2008). Consequently, in zone 1, management areas have higher photosynthetic activity (chlorophyll-a concentration values) across all months between 1998 and 2005 (Van Holt 2009), in comparison with zone 2. The amount of endobionts on *loco* shellfish in zone 1 has an average of 30% of the shell covered with phoronids (*Phoronis* species) and polychaetes (Class Polychaeta); *locos* in zone 2 have about 5% cover (Van Holt

et al. 2012). Zone 1 *locos* also weigh less (Van Holt et al. 2012). Plantation tree percent and total cover in watersheds associated with management areas and chlorophyll-a patterns within the management areas are linked to epibiont and endobiont patterns, especially phoronid, barnacle, and polychaete infestations (Van Holt et al. 2012).

No open access *loco* fishery in Chile exists and no closed-access *congrío* fishery exists in Chile so it is not possible to compare access issues within the same fishery under different regulatory conditions. To evaluate the influence of closed or open access on success, the *loco* and *congrío* fisheries were compared. In both fisheries regional prices are shielded somewhat from supply and demand and both fisheries are among the most important for artisanal fishers.

A team that included employees of Proyecto de Fomento (PROFO) Cerqueros, students from Universidad Austral, and the author interviewed 279 fishers from 11 fishing syndicates for the first Fisher Census from the Federación Provincial de Pescadores Artesanales del Sur (FIPASUR); the data were deposited in FIPASUR in Valdivia, Chile. The individual-level data were obtained through personal interviews and the syndicate-level data were obtained from SERNAPESCA.

### Independent variables

#### Experience

In developing regions fisher experience may depend on whether fishers own or have access to additional land. If fishers have additional land, they may participate in other livelihood activities, such as agriculture, which influences experience: the species they fish, the type of fisheries in which they work, where they fish, how long they fish, and how long they remained in school (Table 1; Figure 2, blue boxes). Fishers reported on how much land they owned (LAND). Fishers also reported on the number of other fisheries activities (FAC) in which they participated, which include purse seine, diving, and fishing, and on the number of other livelihood activities (OAC) in which they were involved, including tourism, raising domestic animals, agriculture, forestry, and commercialization of products. Fishers then identified the species they harvested from a picture-list of 43 resources. The number of benthic invertebrates (BENT) and fish (FISH) each fisher harvested was calculated. Fishers reported on how many years they had fished (YEAR) and how they distributed fishing effort across the management areas (MAT), open ocean (OCT), and rivers (RIVT). Fishers also reported on how long they studied in school (EDU) to control for educational effects.

#### Environment

Tree plantation development is positively related to photosynthetic biomass (chlorophyll-a concentration in the ocean), which is related to *loco* shellfish quality, that is, length, weight, and presence of endobionts (Van Holt et al. 2012) (Table 1; Figure 2, green boxes). If chlorophyll-a concentration is higher than 2.4 mg m<sup>-3</sup>, *locos* contain

**Table 1.** Dependent and independent variables tested in this study.

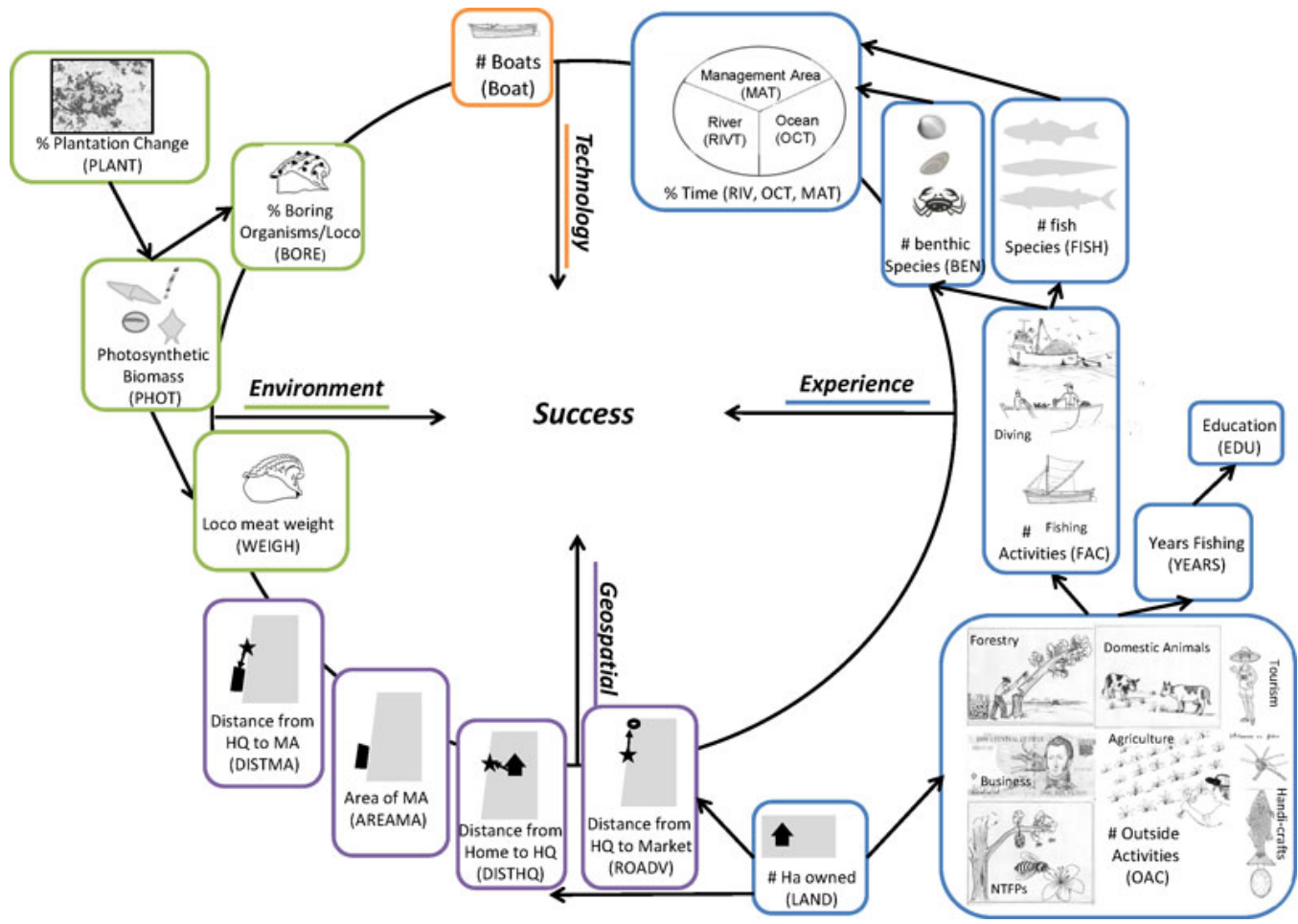
Factor	Variable	Measure	Method	Code	
<b>Independent variables</b>					
Experience	Benthic species harvested	# of benthic species harvested	interview	BEN	
	Fish harvested	# of species of fish harvested	interview	FISH	
	Management area time	Proportion of time spent in MA	interview	MAT	
	Open ocean time	Proportion of time spent in ocean	interview	OCT	
	River time	Proportion of time spent in river	interview	RIVT	
	Livelihood	Livelihood	# of fishing activities (purse seine, diving, fishing)	interview	FAC
		Livelihood	# of activities outside the fishery	interview	OAC
		Land owned	Area of land owned (m <sup>2</sup> )	interview	LAND
		Fisher experience	# of years fishing	interview	YEAR
		Years of education	Years of education	Interview	EDU
	Fisher age	Age	Interview	AGE	
Environment	Carnivore health	% <i>Loco</i> shell w/ endobionts	ecological survey	BORE	
	Carnivore health	Weight <i>loco</i> meat (g)	ecological survey	WEIGH	
	Carnivore health	Length <i>loco</i> meat (cm)	ecological survey	LENGTH	
	Chlorophyll-a	Change in chlorophyll-a (mg/m <sup>3</sup> ) in management area (1999- 2003)	satellite image	PHOT	
Geospatial	Distance to headquarters	Distance to fisher headquarters	interview	DISTHQ	
	Size MA	Size (ha) of management area	GIS	AREAMA	
	Distance to MA	Distance to management area (m)	GIS	DISTMA	
	Distance to market	Distance (km) from fishing headquarters to Valdivia city	GIS	ROADV	
Technology	Conversion to plantations	% new plantation in watershed	satellite image	PLANT	
	Boat availability	Boats (#)	interview	BOAT	
<b>Dependent Variables</b>					
	Total catch	# <i>locos</i> caught in the 2004 season per syndicate	SERNAPESCA	TOTAL	
	Catch-per-unit effort <i>locos</i>	# <i>locos</i> /day per syndicate in 2004	SERNAPESCA	CPUE	
	Price of <i>loco</i>	Price per kilo (pesos/kg)	Interview	<i>loco</i>	
	Management area income	Rank order of income per month in management area	Interview	MAI	
	Price of <i>congrío</i>	Price per kilo (pesos/kg)	Interview	<i>congrío</i>	

endobionts and the meat weighs less (Van Holt et al. 2012). An October 5, 1985 Landsat 5TM and a November 29, 2001 Landsat 7 ETM+ scene were classified (WRSII path 233, row 87-89) for changes in native forest, plantation trees, matorral (shrubland), agriculture, cleared land, wetland, water, and snow classes (Van Holt 2009) and calculated the percent of new plantations in the watershed (PLANT). Average chlorophyll-a concentrations were calculated from April, May, June, and July from 1999 to 2003 in each watershed using the Giovanni program (Acker and Leptouk 2007) that processes SeaWiFS satellite images (9 km resolution) (Van Holt 2009). Thirty *locos* were collected by fishers in each of the 11 management areas. The percentage of endobionts (BORE) (phoronids and polychaetes) was recorded (Van Holt et al. 2012). *Loco* meat was also weighed (WEIGH) and *loco* length was recorded (LENGTH). Technology

To measure available technology (BOAT), the number of boats that registered with Servicio Nacional de Pesca for each syndicate was totaled and the registration records were verified with fishers (Table 1; Figure 2, orange box). Each fisher in the same syndicate had the same value for boat availability. Individuals also reported on boat ownership but preliminary analysis of these data showed that boat availability was a better predictor of success than individual boat ownership because many active fishers do not own their own boat. Geospatial features

Geospatial factors dictate when a fisher returns to port, harvests more products, or sells a product. Informants reported how far they traveled from their home to the fishing headquarters (DISTHQ) where the boats are moored (Table 1; Figure 2, purple boxes). The distance from the fishing headquarters to the center of the management area (DISTMA)

**Fig. 2.** Conceptual framework for how technology, experience, and environmental and geospatial factors influence fisher success.



was calculated (ArcGIS 9.0™). The distance (km) from the fishing headquarters to Valdivia, the nearest city (ROADV) was also measured. The area of the management area (AREMA) was calculated by digitizing management areas using published coordinates from the Diario Oficial of Chile.

### Dependent variables

Five separate dependent variables were tested: (1) the total catch of *locos* by the syndicate in the 2004 harvest season (TOTAL); (2) the number of *locos* harvested per day by the syndicate for the 2004 *loco* harvest (Catch per Unit Effort, or CPUE); (3) the price each fisher reported receiving for one kilogram of *loco*; (4) the price each fisher reported receiving for one kilogram of *congrío*; and (5) the total monthly income (profit) each fisher reported from the management area income (MAI) (Table 1; Figure 2, center). Since fishers provided the price for their top five resources harvested, not all informants were included in the individual-scale analysis (*loco* [N=108] and *congrío* [N=74]).

### Analysis

For the syndicate-level analysis (catch-per-unit effort and total catch), a stepwise regression was run. Since the degrees of freedom were low, specific variables to use were selected and separate environmental, experience-technology, and geospatial models had to be created. For the individual-fisher analysis, dependent variables, *loco*, *congrío*, and management-area income, a stepwise multiple regression for independent variables was run (Table 1; Figure 2). The following multicollinear independent variables were first tested for and removed: PLANT, WEIGH, YEAR, and DISTMA. All models for syndicate and individual-level analyses were compared using, in this order, Mallows'  $C_p$  statistic, the Bayesian information criterion (BIC), a scree plot of mean square error (MSE) vs. model size, and  $R^2$  (Mallows 1973, Spiegelhalter et al. 2002). The average price for a kilogram of *loco* (\$7.20) was used to solve the *loco* regression model. For the independent variables, the averages of FISH, OCT, and RIVT were obtained from data for all fishers (Appendix 1).

Fisher-syndicate averages were used for BOAT, BORE, and PHOT variables since the syndicate-level average was used in the individual analysis for these variables (Appendix 2). For the *congrio* model, average values for all fishers were used for FISH, RIVT, YEARS, and EDU (Appendix 1). An exchange rate of \$1USD = 600 pesos was used for *loco* and *congrio* equations. To confirm the regression findings with an alternative analysis, a stepwise discriminant analysis was run (PROC STEPDISC in SAS 2001) on groups of successful and unsuccessful individuals. Informants were divided into two groups: those with median prices and above for their catches were considered successful and those with prices below the median were not successful. Finally, correlations were run (with the Bonferroni correction) between management area environmental variables (PHOT and BORE) and experience to test whether where fishers spend their time (MAT, OCT, and RIVT) and what they harvest (BEN and FISH) was tied to the environmental condition of the management areas.

## RESULTS

### Closed-access loco

Geospatial factors, that is, distance from the management area to the port and distance from the port to the market, explained the largest fraction of CPUE (Table 2; Figure 3; Appendix 3). Increased photosynthetic biomass in the management area (environment) positively influences CPUE (Table 3; Appendix 3). Also, fishers who spent more time working in the rivers, less time in the open ocean (experience), and those with more available boats (technology) had higher CPUE (Table 4; Appendix 3). Total catch of *locos* was explained by experience. Fishers with more experience working in the management areas, open ocean, and rivers gained an advantage and caught more *locos* (Table 4; Appendix 3).

**Table 2.** Regression models of catch-per-unit effort (CPUE) for geospatial models. Independent variables used in the analysis shown in column 1.

Independent Variables	CPUE Geospatial (B) Locos/day	CPUE Geospatial (F)
R <sup>2</sup>	0.75**	
Intercept	923.07	0.04
DISTMA	607.30**	23.86
ROADV	79.28+	3.57
DISTHQ	n.s.	
N	11	
Unit of analysis	Syndicate	

Note: \*\* is highly significant where  $p < 0.01$ , \* is significant where  $p < 0.05$ , + is slightly significant where  $p < 0.1$ , and n.s. is not significant.

**Table 3.** Regression models of catch-per-unit effort (CPUE) for environmental models. The environmental model included *loco* shell-boring organisms, chlorophyll-a concentration in the management area, and *loco* weight.

Independent Variables	CPUE Environment (B) Locos/day	CPUE Environment (F)
R <sup>2</sup>	0.50	
Intercept	50339**	16.68
BORE	n.s.	
PHOT	-16212*	9.13
WEIGH	n.s.	
N	11	
unit of analysis	Syndicate	

Note: \*\* is highly significant where  $p < 0.01$ , \* is significant where  $p < 0.05$ , + is slightly significant where  $p < 0.1$ , and n.s. is not significant.

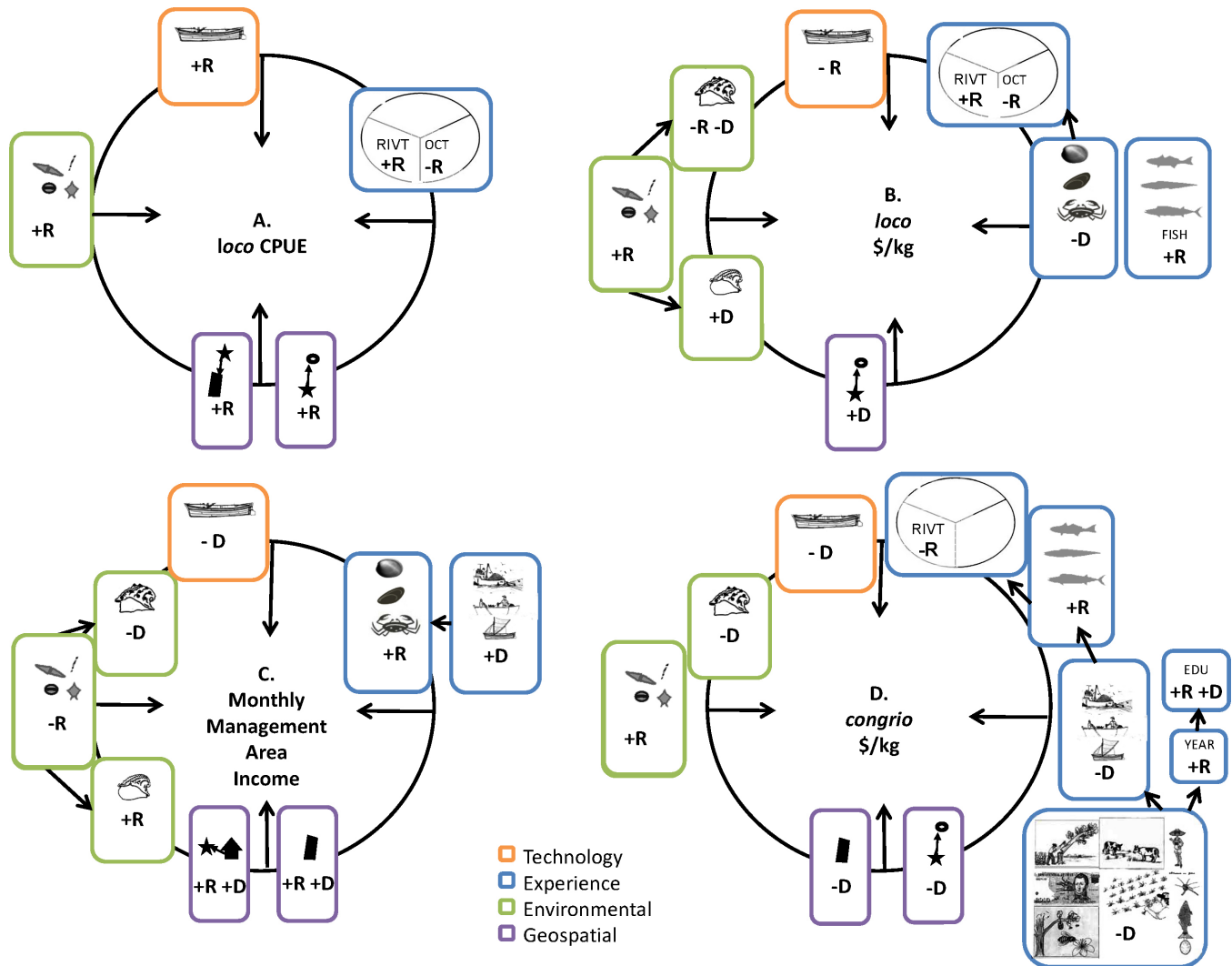
**Table 4.** Experience-technology regression models of total *locos* caught in the 2003 season (TOTAL) and catch-per-unit effort (CPUE). Independent variables used in the analysis include: proportion of time spent in the management areas (MAT), ocean (OCT), the river (RIVT), as well as total number of boats (BOAT).

Independent Variables	Total Catch Experience (B) # of <i>locos</i>	Total Catch Experience (F)	CPUE Experience (B) Locos/day	CPUE Experience (F)
R <sup>2</sup>	0.82		0.88	
Intercept	-507267**	16.05	19250*	11.63
MAT	6660**	22.09	n.s.	
OCT	4963**	14.55	-335*	10.07
RIVT	5354**	27.22	211+	4.55
BOAT	n.s.		612*	7.51
N	11		11	
Unit of analysis	Syndicate		Syndicate	

Note: \*\* is highly significant where  $p < 0.01$ , \* is significant where  $p < 0.05$ , + is slightly significant where  $p < 0.1$ , and n.s. is not significant.



**Fig. 3.** Significant causal factors identified in regression (positive influence [+R] and negative influence [-R]) and discriminate (positive influence [+D] and negative influence [-D]) analysis. Factors outlined in black were most influential in the (A) loco CPUE (geospatial); (B) loco price (environment); (C) management area income (environment); and (D) congrio price (experience).



For *loco* price, the environment explained 64% of the variance in price. Shell-boring organisms alone explained 43% of the variance and chlorophyll-a concentration explained another 21% (Table 5; Figures 3 and 4; Appendix 3). Lack of boats (technology) explained an additional 5% in the model. Experience explained only 6% of the price variance; if fishers harvested multiple fish species, spent a large fraction of their time in rivers, and a small fraction of their time in the open ocean, they reported higher prices for *locos*. Geospatial features were not influential.

A ten-percent increase in endobionts had a dramatic effect and decreased *loco* price per kilo by \$0.99 or 17%. A 0.2 mg/m<sup>3</sup>

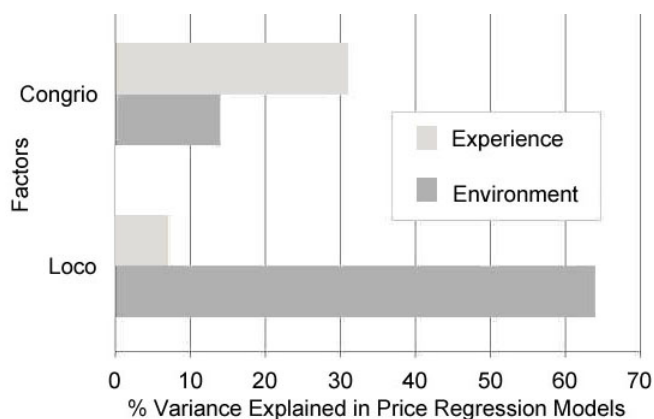
increase in chlorophyll-a concentration in the management area increased price per kilo by \$0.28. It is unrealistic to completely compensate for a 10% increase in endobionts by reducing fleet size since each syndicate would need to have fewer than four boats (current average is 16 boats per syndicate). Modifying fisher experience is also unfeasible since the *loco* price increases only \$0.05 for each additional fish species harvested by an individual, spending 10% more time working in river-based fisheries adds only \$0.09, and spending 10% less time working in the open ocean fisheries adds only \$0.11 per kg. This still falls short, making up only 25% of the price decrease from endobionts.

**Table 5.** Regression models of *loco* price (pesos/kg), *congrio* price (pesos/kg), and monthly income (pesos/mo) in the 2003 season. Only significant independent variables used in the analysis are shown in column 1 (see Table 1 for full list of independent variables).

Factor	Independent Variables	<i>Loco</i> (B) pesos/kg	<i>Loco</i> (F)	<i>Congrio</i> (B) pesos/kg	<i>Congrio</i> (F)	Management Area Income (B) Units	Management Area Income (F) Units
	R <sup>2</sup>	0.75		0.45		0.35	
Experience	Intercept	4518.44**	273.73	0.00	0.08	-1.63	11.39
	BEN	n.s.		n.s.		0.05	8.12
	FISH	32.41**	12.34	11.39**	14.3	n.s.	
	MAT	n.s.		n.s.		n.s.	
	OCT	-6.51**	12.17	n.s.		n.s.	
	RIVT	5.17*	6.25	-3.10**	7.37	n.s.	
Enviro	YEARS	n.s.		3.7*	5.29	n.s.	
	EDU	n.s.		30.60**	20.54	n.s.	
	BORE	-59.32**	251.78	n.s.			
Geo	PHOT	801.30**	51.65	220.16**	19.18	-0.62	28.41
	WEIGHT	n.s.		n.s.		0.03	55.90
	DISTHQ	n.s.		n.s.		0.00	20.97
Tech	AREAMA	n.s.		n.s.		0.00	14.46
	BOAT	-46.55**	36.91	n.s.		n.s.	
	N	109		75		218	
	Unit of analysis	Fisher		Fisher		Fisher	

Note: \*\* is highly significant where  $p < 0.01$ , \* is significant where  $p < 0.05$ , + is slightly significant where  $p < 0.1$ , and n.s. is not significant.

**Fig. 4.** Percent variance in price explained by environmental and experience factors for the *loco* and *congrio* fisheries.



The discriminant analysis of successful ( $\geq$  median price) vs. non-successful ( $<$  median price) *loco* fishers was highly accurate for successful (94.34%) and unsuccessful fishers (83.05%) (Tables 6 and 7; Figure 3). The most successful fishers had management areas with high photosynthetic biomass, *locos* with fewer endobionts, and the heaviest *loco*

meat. Fishers had an advantage if they lived farther away from the Valdivian market. Boat availability was not significant.

Fishers with the highest income from the management area (profit) worked in management areas with high photosynthetic biomass and heaviest *loco* meat (Table 5; Figure 3; Appendix 3). Fishers who worked in multiple benthic fisheries, likely the divers, got better prices for their *locos*. Fishers who worked in management areas that spanned across a greater area (larger km<sup>2</sup>) and lived close to the fisher headquarters made a higher monthly income from the management area. The discriminant analysis has similar findings; successful fishers worked in better environments, their *locos* had fewer endobionts, and they had larger management areas (Tables 6 and 7; Figure 3). Technology was contradictory to success in the discriminant analysis; fewer boats were better. Regarding experience, fishers who worked in multiple fishing activities (diving, purse seine fishery, and the small-scale open fishery) had an advantage, but the mean difference in successful and unsuccessful fishers was very small. Also distance to the headquarters had the opposite relationship when compared with the multiple regression analysis, but this variable explained a small fraction of success.

#### Open-access congrio

Chlorophyll-a concentration (environment) explained 13% of the price variation in *congrio*; fisher experience explained 16%

**Table 6.** Partial r-square, significance levels, and average squared canonical correlation of stepwise discriminant analysis of *loco* characteristics. Successful and unsuccessful fisher groups were split using median values. In the discriminant analysis, independent variables entered and remained in the model if p-value  $\leq 0.15$ .

Step	Variable	Partial R-Square	Pr>F	Average squared canonical correlation	Mean Values Successful	Mean Values Unsuccessful
<i>Loco</i>						
1	WEIGH (g)	0.43	<0.001	0.44	126	104
2	BORE (%)	0.17	<0.001	0.53	10	19
3	PHOT (mg/m <sup>3</sup> )	0.15	<0.001	0.65	2	2
4	ROADV (km <sup>2</sup> )	0.10	<0.001	0.68	96	49
5	BEN (#)	0.04	0.03	0.69	4	6
6	YEAR (y)	0.03	0.07	0.70	23	23
<i>Congrio</i>						
1	ROADV (km <sup>2</sup> )	0.23	<0.001	0.24	47	92
2	FAC (#)	0.05	0.05	0.28	1	2
3	AREAMA (ha)	0.04	0.10	0.30	95	115
4	BOAT (#)	0.06	0.04	0.34	20	16
5	OAC (#)	0.07	0.02	0.39	1	2
6	BORE (%)	0.08	0.02	0.43	22	23
7	EDU (y)	0.05	0.06	0.47	9	8
Management area						
1	BORE (%)	0.16	<0.001	0.16	13	25
2	AREAMA (ha)	0.07	<0.001	0.21	144	112
3	DISTHQ (km <sup>2</sup> )	0.05	<0.001	0.25	1887	1418
4	FAC (#)	0.04	<0.001	0.28	2	1
5	BOAT (#)	0.02	0.03	0.29	16	19
6	BEN (#)	0.04	0.01	0.32	6	6

and formal education explained 11% (Tables 5; Figures 3 and 4; Appendix 3). Fishers who worked in multiple fin fisheries, spent many years fishing, and those who spent less time working in the rivers were most successful. More formal schooling also benefited price. Geospatial factors were not influential, nor were boats (technology).

The *congrío* model (Table 5) (using a base price of \$1.45) shows that experience was most influential in price, adding \$0.02 per kg for each additional species fished, \$0.05 per kg for each additional year spent in school, \$0.01 for each additional year fishing, and a loss of \$0.05 per kg for each additional 10% of a fisher's time that is dedicated to fishing in the river. An additional 0.2 mg/m<sup>3</sup> increase in chlorophyll-a concentration in the management area added \$0.03 per kg.

The discriminant analysis (Tables 6 and 7; Figure 3) confirmed that experience counted for successful fishers; *congrío* fishers who included fewer activities outside of the fisheries and those who worked in fewer different fisheries (purse seine, finfish, and diving) were paid higher prices for *congrío*. Successful fishers had a slightly higher formal education. Successful fishers also had more boat power. A geospatial factor, proximity to the market, however, was the most influential since fishers located closer to the Valdivian market were more

successful. Also fishers working in smaller management areas benefitted. The model predicted successful fishers with 81.25% and unsuccessful fishers with 88.57% accuracy (Tables 6 and 7).

Fishers are allocating their time and efforts in relation to the environment. In the management areas with higher photosynthetic biomass, fishers harvested fewer benthic species ( $r=-0.38$ ,  $p<0.001$ ) and they spent a lower proportion of their time diving in the rivers ( $r=-0.42$ ,  $p<0.001$ ). In management areas with high photosynthetic biomass and *locos* with many endobionts, fishers spend a larger proportion of time in the open ocean ( $r=0.15$ ,  $p=0.15$ , PHOT;  $r=0.2975$ ,  $p<0.001$ , BORE). The fishers still spend a large fraction of their time working in the management areas ( $r=0.16$ ,  $p=0.09$ , PHOT;  $r=-0.2007$ ,  $p=0.013$ , BORE) probably because of the potential economic benefits.

## DISCUSSION

### Landscape and management influences on strategies for success

Success (price and profit) in the *loco* fishery depended mainly on the environmental influences of landscape change in the management area. Fishers had little control over the nutrient

**Table 7.** Number of observations and percent classified and error count estimates of successful and unsuccessful groups using stepwise discriminant analysis.

	Successful	Unsuccessful	Total
<i>Loco</i>			
Successful	50	3	53
	94	66	100
Unsuccessful	10	49	59
	17	83.05	100
Total	60	52	112
	54	46.43	100
Rate	0.06	0.17	0.11
Priors	0.50	0.50	
<i>Congrio</i>			
Successful	39	9	48
	81	19	100
Unsuccessful	4	31	35
	11	89	100
Total	43	40	83
	52	48	100
Rate	0.19	0.11	0.15
Priors	0.50	0.50	
Management Area			
Successful	93	27	120
	77.5	23	100.
Unsuccessful	55	79	134
	41.04	59	100
Total	148	106	254
	58.27	42	100
Rate	0.23	0.41	0.32
Priors	0.50	0.50	

additions to their management areas from tree plantation development and if a fisher had a management area with good quality *locos*, then he/she was successful. If they had an area that was affected by landscape change, then they were not successful. The effect was dramatic with almost a 17% decrease in price for each 10% increase in endobionts. In the *congrío* fishery, however, environment was of little importance.

Management regulations, such as providing exclusive access, are creating some fisheries where anyone can profit regardless of skill or where skill cannot help fishers profit when spatially dependent environmental factors become large predictors of success. Experience does not foster success in the *loco* fishery. Fisher experience is relevant when fishers are seeking higher prices for open-access *congrío* fisheries and when explaining variation in *loco* fishery catches (CPUE and total catch). Indeed experience can help catch more *locos*, but this does not translate into higher prices or more profit for fishers. When success is measured by price, in the closed-access *loco* fishery, environment overwhelmingly predicts success and experience is of minimal influence. For *congrío*, however, the prices

fishers receive for *congrío* benefits from fisher experience. The *congrío* fishery is not highly commercialized and it is the expert fishers who can capture enough fish so it is of interest to local buyers. Others harvest *congrío* at subsistence levels. To foster success in the *congrío* fishery, targeted programs that enhance fisher experience (skills and knowledge) could provide fishers with strategies to adapt. In the *loco* fishery, however, such a program would have little influence on success. In terms of the skipper effect studies, it may not be whether experience counts for fishers or not but when experience does and does not count. The environmental influence combined with fisheries regulations, providing exclusive access to fisheries, could be the mechanism that explains when experience counts.

Technology measures show that distinct fishing strategies are necessary for *congrío* and *loco*. While fishers with bigger fleets (# of boats) did catch more *locos*, having larger fleets did not translate into financial benefits. Larger fleets were detrimental to financial success in the *loco* fishery (price/kg and monthly management area income), whereas fleet size fostered financial success in the *congrío* fishery. Larger fleets

help make sure that fishers harvest entire quotas, which usually means fishers extract small *locos* and this likely affects price and profit. Investing in more technology for *locos* is not financially wise. Technology advances would be more likely to help fishers succeed in offshore fisheries. Our findings agree with skipper effect studies in that technology had some positive influences on success (*congrio* price and *loco* catch per unit effort) (Palsson and Durrenburger 1982, Hilborn and Ledbetter 1985, Thorlindsson 1988) but more catch does not always translate into more money.

Geospatial factors are probably picking up on the environmental factors since *locos* farthest from the market also tend to have fewer landscape change influences. Geospatial factors outweigh the experience effect for *loco* catch per unit effort and profit measures (monthly income from the management area). In the CPUE data, distance to the MA was most influential on price, so those fishers located further away from their MA, but closest to the market were more successful. The profit measure shows that larger MAs and those fishers who live far from the fishing headquarters are more successful.

#### **Fisher response to environmental change and fisheries management**

Fishers cannot easily change fishing strategies within the closed-access *loco* fishery to succeed if their management areas contain *locos* with many endobionts. Fisher experience and technology cannot help them overcome the financial influences of the endobionts on *loco* shellfish. Some experienced fishers who work in management areas with poor quality resources are depending less on the *loco* fishery and working in offshore fisheries such as *congrio* or sierra (*Thyrstites atun*), or river fisheries such as *choro zapato* (*Choromytilus chorus*) and *chorito* (*Mytilus chilensis*) where their experience counts. Offshore fisheries likely expose fishers to more risk (financial and safety). Our survey shows that fishers in Mehuin, a fishing village where many fishers work in management areas with high levels of endobionts on *locos* are adapting to these changes by switching to offshore species. Other fishers in the Mehuin region are giving up fishing, in part because the Celco Arauco tree plantation company has offered some fishers financial incentives to limit their protests about environmental issues associated with their pulp mill (Van Holt, *personal observation*). As a consequence, novice and expert fishers are in conflict. *Loco* is the main moneymaking resource and novice fishers have sometimes squeezed the more experienced fishers out of the fishery because they were allocated better quality management areas (further from tree plantations) when the regions were first established.

New fishers with good quality management areas are also vulnerable if their *loco* resources decline because these fishers are not developing the experience that will help them succeed offshore in the *congrio* and other fisheries. Since success in *loco* fisheries does not depend on experience, the traditional

ecological knowledge and skills that will help fishers adapt to fish offshore are likely not being learned. Another syndicate outside of this research area typically had good quality resources (no endobionts). However, in 2004, they harvested *locos* with a low weight given their shell length (Van Holt 2009). These part-time fishers did not participate in offshore fisheries since they focus their efforts on other livelihood activities. This allowed them to maintain fishing the following year. But as these new fishers such as these get drawn into economic benefits of the *loco* fishery and dedicate themselves exclusively to that fishery, they will lose their ability to engage in alternative livelihoods that enable them to hold on until the next year when the quality (hopefully) improves. These types of fishers need to improve their fishing skills, experience, and traditional ecological knowledge or they may not be able to switch to different fisheries as some fishers in the Mehuin region did.

For adaptation, the social-ecological system should foster the capacity for learning (Folke 2006). To foster adaptation to the effects of landscape change, management activities could (1) foster traditional ecological knowledge, (2) expand management areas, (3) reduce the effects of landscape change and, (4) develop onshore livelihood activities.

To foster traditional ecological knowledge and experience, fishers should continue to develop their offshore fishing skills. A recent fishing net diversification program supported by the Chilean Government in the Valdivia Province is an example of a program that could help cultivate new strategies for success and prepare fishers to respond to environmental change. Fishers were given different sized fishing nets to diversify catches. Based on this study, fishers working in the *congrio* would immediately benefit and those working in *loco* would gain a smaller immediate benefit. Becoming skilled in diverse fishing strategies could prove useful for novice fishers as well if the environmental condition in management areas degrades, because the fishers trained in using other gear types will begin to develop skills that can help them harvest other open access resources that are not as influenced by landscape change and require more experience to catch. Since it is likely that fishers working in the *congrio* fishery are exposing themselves to more risk, training programs should incorporate ways to minimize risk, whether it be managing the nets, fishing and navigational strategies, or marketing approaches. Of course offshore fisheries have been overharvested in the past, but there appear to be viable fishing opportunities along the Chilean coast for artisanal fishers.

The Chilean government could expand the TURF management system so fishers can become more resilient to environmental variation. In systems governed from the bottom up, changing rules are part of the adaptive process (Ostrom 1999). The TURF management system introduces rigidity into the system because fishers cannot move to other locations to catch benthic resources legally. Basurto (2008) found that

fisher success and conservation depended on fishers harvesting from a suite of regions, which either consciously or serendipitously allowed them to harvest resources that demanded higher prices while conserving the species for future harvest. Integrating flexibility into the Chilean system may help fishers use their own strategies to respond to change and succeed, gaining higher prices for their resources, and producing incentives to reduce illegal catches (Gonzalez et al. 2006). One way to introduce flexibility is to allow fishers to harvest multiple areas.

Of course conservation efforts should focus on mitigating the nutrient inputs into the system. Scientists have reported effective recovery from eutrophication if limits are placed on nutrient inputs (Ruhl and Rybicki 2010). Research on the enrichment effects of area and percent watershed covered by tree plantations and harvesting rotation patterns could provide practical information to mitigate the nutrient input into the coastal system. Limits on the slope where plantations are established, the amount of riparian vegetation present, and the nutrient input on plantations could also help. Currently the Valdivian province is developing a regional coastal management plan to integrate land-sea interactions. This type of planning may offer a novel approach to limit nutrient inputs and foster fisher success.

Finally, success in fisheries can depend in part on the ability of fishers to weather the challenges faced when prices decline for certain resources. To level the playing field in Chile, experienced fishers should also be given opportunities for land-based livelihoods and land. Future research should identify what alternative land-based livelihoods could best capitalize on fisher experience and skills.

## CONCLUSION

Experience and technology limit success when fishers are restricted to harvest in specific locations and environmental conditions are distinct and affect resource quality in management areas. Regulations that spatially and temporally limit how fishers fish could be an important trigger that alter the conditions for success, specifically when experience and technology do and do not explain success. Environmental features, which stemmed from landscape change, best explained price differences for the benthic, closed-access, *loco* fisheries because these organisms are relatively stationary compared to finfish and are directly influenced by landscape change. Experience and technology, which help fishers catch more fish and perhaps find the better resources, does not compensate for the influence of landscape change in these fisheries. Those fishers in management areas with poor quality resources are vulnerable to environmental change because the traditional means by which fishers adapt to change (experience and technology) do not foster success.

Experience explained a smaller fraction of success for the *loco* fishery and a larger fraction of success for the *congrío* fishery. Diving experience helped *loco* fishers succeed and offshore

fishery experience helped the *congrío* fishers succeed. Only in the open-access fisheries was fisher experience powerful enough and the influence of the environment low enough that fishers could adapt to succeed in a region affected by landscape change. Fishers working offshore may be exposed to more risk and management strategies could be developed to help fishers mitigate risk in these new offshore fisheries.

Might we be inadvertently suppressing traditional ecological knowledge by closing access to harvesting areas? Does this leave fishers more vulnerable to environmental change? Is there an optimal-sized management area that can encompass both benthic and finfish species, allowing for success and fostering traditional ecological knowledge? Could fisheries that showed no observed (etic) evidence of a skipper effect evolve to have one and vice versa if the environmental condition or regulations change the way that people fish and the ease with which people can harvest resources? Comparing success across cases where traditional fisher success measures (experience and technology) combined with geospatial and environmental characteristics of harvest areas in closed- and open-access systems can help us answer these questions and to continue to build mechanistic understanding of success to foster adaptation in dynamic environments.

Responses to this article can be read online at:  
<http://www.ecologyandsociety.org/vol17/iss1/art28/responses/>

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**APPENDIX 1.** Descriptive statistics for variables used for the *loco*, *congrio*, and management area income analysis where fisher is the unit of analysis.

Variable	N	Mean	Std Dev	Minimum	Maximum
PLANT (km <sup>2</sup> )	279	896.0	566.5	27.1	1264.0
ROADV (km)	279	68.4	45.9	17.9	152.9
PHOT (mg/m <sup>3</sup> )	279	2.1	0.5	1.2	2.7
BORE (%)	255	19.2	15.5	3.7	42.6
WEIGHT (g)	255	112.1	16.2	88.4	134.8
BOAT (#)	279	17.8	6.8	7.0	28.0
EDU (y)	277	9.0	3.1	0.1	17.0
YEAR (y)	244	24.7	12.1	1.0	63.0
DISTHQ (m)	278	1622.1	3057.9	0.0	25000.0
AREEAM (ha)	279	126.1	65.4	49.0	352.0
MAT (%)	279	35.7	25.7	0.0	100.0
OCT (%)	279	49.7	31.4	0.0	100.0
RIVT (%)	279	18.0	27.8	0.0	100.0
FISH (#)	279	7.8	5.9	0.0	23.0
BEN (#)	279	5.8	3.6	0.0	14.0
<i>Loco</i> (\$/kg)	142	4787.0	863.8	3000.0	6000.0
<i>Congrio</i> (\$/kg)	92	947.3	172.0	400.0	1300.0
MAI (rank order)	279	1.4	0.9	0.0	4.0
TOTAL (#)	279	64659.3	60331.2	3723.0	262703.0
CPUE (catch/day)	279	16395.5	11206.7	3016.0	39633.0

Note for MAI, monthly management area income (UD\$/month):

- 1: <\$50
- 2: \$50 - \$99
- 3: \$100 - \$149
- 4: \$150 - \$200

**APPENDIX 2.** Descriptive statistics for variables used for the *loco*, *congrío*, and management area income analysis where fishing syndicate is the unit of analysis.

Variable	N	Mean	Std Dev	Minimum	Maximum
PLANT (km <sup>2</sup> )	11	926.7	577.8	27.1	1264.0
ROADV (km)	11	70.6	48.2	17.9	152.9
PHOT (mg/m <sup>3</sup> )	11	2.3	0.5	1.2	2.7
BORE (%)	11	21.5	16.4	3.7	42.6
WEIGHT (g)	11	114.1	16.2	88.4	134.8
BOAT (#)	11	16.2	6.6	7.0	26.0
EDU (y)	11	8.9	0.9	7.2	10.2
YEAR (y)	11	24.6	3.7	18.5	31.1
DISTHQ (m)	11	1806.8	1388.4	353.1	4200.0
AREAAM (ha)	11	134.9	78.6	70.0	352.0
MAT (%)	11	36.3	14.2	17.8	62.0
OCT (%)	11	51.2	17.2	27.3	79.9
RIVT (%)	11	15.9	18.0	0.0	52.8
FISH (#)	11	8.4	3.2	4.9	16.4
BEN (#)	11	5.5	1.8	2.7	9.1
<i>Loco</i> (\$/kg)	11	4362.9	953.5	3000.0	5800.0
<i>Congrio</i> (\$/kg)	11	922.7	133.3	700.0	1133.3
MAI (rank order)	11	1.4	0.5	0.4	2.1
TOTAL (#)	11	71261.6	73860.1	3723.0	262703.0
CPUE (#/day)	11	13774.4	10480.1	3016.0	39633.0

**APPENDIX 3.** The best models for each number of variables with  $R^2$ , Adjusted  $R^2$ , C(p), AIC, BIC, and the explanatory variables. Percent variation explained by each factor was calculated by comparing  $R^2$  values.

	Variables in Model	$R^2$	Adj. $R^2$	C(p)	AIC	BIC
<i>Loco</i> Price	BORE	0.43	0.43	149.72	1413.80	1412.85
	PHOT BORE	0.64	0.64	58.24	1365.95	1365.80
	PHOT BORE BOAT	0.70	0.69	35.27	1349.61	1349.93
	PHOT BORE BOAT RIVT	0.71	0.70	30.65	1346.18	1346.54
	PHOT BORE BOAT OCT FISH	0.74	0.72	21.57	1338.44	1339.46
	PHOT BORE BOAT OCT RIVT	0.75	0.74	16.72	1333.96	1335.62
	FISH					
	PHOT BORE BOAT YEARS	0.76	0.75	14.27	1331.53	1333.79
	OCT RIVT FISH					
<i>Congrio</i> Price	PHOT	0.14	0.13	35.35	777.55	778.22
	PHOT FISH	0.23	0.20	26.38	771.50	772.14
	PHOT EDU FISH	0.34	0.31	14.12	761.72	762.72
	PHOT EDU RIVT FISH	0.40	0.36	9.10	756.54	758.66
	PHOT EDU YEARS RIVT FISH	0.45	0.40	6.00	753.20	756.21
Monthly Management Area Income	DISTHQ	0.08	0.07	87.0	-69.50	-68.75
	PHOT WEIGH	0.16	0.15	61.74	-88.23	-87.53
	PHOT WEIGH DISTHQ	0.23	0.22	40.78	-105.33	-104.41
	PHOT WEIGH DISTHQ AREAMA	0.29	0.27	24.81	-119.53	-118.18
	PHOT WEIGH DISTHQ AREAMA BEN	0.33	0.31	12.13	-131.74	-129.74
CPU geospatial	DISTMA	0.64	0.60	3.39	194.06	196.29
	DISTMA ROADV	0.75	0.69	2.18	192.00	196.62
CPU environment	PHOT	0.50	0.45	0.98	198.91	202.13
Total Catch experience	RIVT	0.27	0.19	22.10	246.15	244.89
	MAT RIVT	0.46	0.32	16.55	244.85	243.43
	OCT MAT RIVT	0.82	0.75	4.00	234.48	240.40
CPU experience	RIVT	0.70	0.67	11.20	192.14	192.24
	BOAT OCT	0.81	0.76	6.55	189.14	190.85
	BOAT OCT RIVT	0.88	0.83	4.00	185.63	191.54