



Research

## A systematic review of participatory scenario planning to envision mountain social-ecological systems futures

Jessica P. R. Thorn<sup>1,2,3</sup>, Julia A. Klein<sup>1</sup>, Cara Steger<sup>1,4,5</sup>, Kelly A. Hopping<sup>6</sup>, Claudia Capitani<sup>2</sup>, Catherine M. Tucker<sup>7</sup>, Anne W. Nolin<sup>8</sup>, Robin S. Reid<sup>1</sup>, Roman Seidl<sup>9</sup>, Vishwas S. Chitale<sup>10</sup> and Robert Marchant<sup>2</sup>

**ABSTRACT.** Mountain social-ecological systems (MtSES) provide crucial ecosystem services to over half of humanity. However, populations living in these highly varied regions are now confronted by global change. It is critical that they are able to anticipate change to strategically manage resources and avoid potential conflict. Yet, planning for sustainable, equitable transitions for the future is a daunting task, considering the range of uncertainties and the unique character of MtSES. Participatory scenario planning (PSP) can help MtSES communities by critically reflecting on a wider array of innovative pathways for adaptive transformation. Although the design of effective approaches has been widely discussed, how PSP has been employed in MtSES has yet to be examined. Here, we present the first systematic global review of single- and multiscale, multisectoral PSP undertaken in MtSES, in which we characterize the process, identify strengths and gaps, and suggest effective ways to apply PSP in MtSES. We used a nine-step process to help guide the analysis of 42 studies from 1989 screened articles. Our results indicate a steady increase in relevant studies since 2006, with 43% published between 2015 and 2017. These studies encompass 39 countries, with over 50% in Europe. PSP in MtSES is used predominantly to build cooperation, social learning, collaboration, and decision support, yet meeting these objectives is hindered by insufficient engagement with intended end users. MtSES PSP has focused largely on envisioning themes of governance, economy, land use change, and biodiversity, but has overlooked themes such as gender equality, public health, and sanitation. There are many avenues to expand and improve PSP in MtSES: to other regions, sectors, across a greater diversity of stakeholders, and with a specific focus on MtSES paradoxes. Communicating uncertainty, monitoring and evaluating impacts, and engendering more comparative approaches can further increase the utility of PSP for addressing MtSES challenges, with lessons for other complex social-ecological systems.

**Key Words:** *alpine; adaptive transformation; coupled natural-human systems; highlands; montane; planetary boundaries; stewardship; sustainability science; transdisciplinary*

### INTRODUCTION

Mountain social-ecological systems (MtSES; Box 1) encompass approximately 30.5% of all land (Karagulle et al. 2017, Sayre et al. 2018) and 23% of the Earth's total forest cover (Körner and Ohsawa 2006). Characterized by high levels of biodiversity and endemism, they support an estimated 85% of the world's amphibian, bird, and mammal species (Rahbek et al. 2019a). Based on 2017 population data, MtSES are inhabited by up to 28.3% (2.21 billion) of the global human population (Karagulle et al. 2017, Rose et al. 2018), many of whom are among the world's poorest people (Körner et al. 2017). One in two rural mountain dwellers faces food insecurity, and they have less access to infrastructure and services compared to lowland populations (FAO 2015, Manuelli et al. 2017, FAO and UNCCD 2019). Many local communities rely on the ecosystem services from MtSES regions, such as timber, natural hazard regulation, and tourism, for their subsistence and livelihoods (Harrison et al. 2010). Beyond these MtSES communities, more than half of humanity relies on the freshwater originating in MtSES (Liniger and Weingartner 1998). Indeed, the world's 10 longest rivers have headwaters in MtSES, e.g., the Yangtze River on the Tibetan Plateau and Congo River in the East African Rift (Encalada et al. 2019).

Central to the well-being and survival of much of humanity, MtSES are confronted by climate change, biodiversity loss, land use conversion, and other long-term social-economic challenges (Cuni-Sanchez et al. 2018, Hagedorn et al. 2019, Klein et al. 2019a, b, Rahbek et al. 2019b, Steger et al. 2020). For instance, the rate of warming is amplified as elevation increases (Hagedorn et al. 2019), resulting in higher exposure to climate change in MtSES than the global average or in lower elevation regions (Pepin et al. 2015, IPCC 2018). Such warming can lead to rising cloud bases or reduced overall cloud immersion, which plays an important ecological role in many tropical montane cloud forests by creating isolated patches of habitat with more fog and mist, high soil moisture and carbon storage, and unique species (Bruijnzeel et al. 2011, Helmer et al. 2019). In higher elevation MtSES, glacial retreat has been rapid, with societal implications (Carey et al. 2017, Nyima and Hopping 2019). In recent decades, many MtSES have also experienced destabilizing demographic fluxes caused by seasonal migration, permanent rural exodus, amenity immigration, aging, restructured market relationships, industrial developments, and the abandonment of economic activities (Glorioso and Moss 2007, Park and Pellow 2011). Therefore, understanding the challenges to present and future sustainability of MtSES is critical to plan for potential trajectories of change, not only for the rural communities that have developed in relative

<sup>1</sup>Department of Ecosystem Science and Sustainability, Colorado State University, Fort Collins, CO USA, <sup>2</sup>York Institute of Tropical Ecosystems, Department of Environment and Geography, University of York, York, UK, <sup>3</sup>African Climate and Development Initiative, University of Cape Town, Cape Town, South Africa, <sup>4</sup>Graduate Degree Program in Ecology, Colorado State University, Fort Collins, CO USA, <sup>5</sup>Natural Resource Ecology Laboratory, Colorado State University, Fort Collins, CO USA, <sup>6</sup>Human-Environment Systems, Boise State University, Boise, ID USA, <sup>7</sup>Department of Anthropology, University of Florida, Gainesville, FL USA, <sup>8</sup>University of Nevada, Reno, NV USA, <sup>9</sup>Leibniz University Hannover, Institute for Radioecology and Radiation Protection, <sup>10</sup>International Centre for Integrated Mountain Development, Kathmandu, Nepal

isolation and whose survival and cultures depend directly on MtSES resources, but also for the lowland communities that depend on resources flowing from these ecosystems (FAO 2015).

Yet, planning for sustainable, equitable transitions in MtSES is a daunting task considering the range of uncertainties and the peculiar conditions of MtSES, referred to as paradoxes (see Klein et al. 2019b; Box 2). Uncertainties in future planning are associated with a high occurrence of cross-scale interactions in MtSES, such as cascading hydrological changes at watershed/basin scales (Jaeger et al. 2017) and often mismatched supply and demand of ecosystem services across elevational gradients (Brunner et al. 2016). Uncertainties in possible futures are highly contingent and nonlinear, and are affected by events and decisions that are themselves often highly unpredictable (IPBES 2016). Planning for transitions is further complicated in that decisions based on a specific prediction can lead to unintended consequences for sustainability and equity, particularly when scientists assessing conservation priorities do not use participatory approaches, have different values than MtSES stakeholders, and these hidden value-judgements are not made explicit (Huber et al. 2013, Seidl 2015). Poorly defined problems related to resource allocation can also arise from a lack of scientific advice, e.g., the Green Revolution in the Himalayas (Rasul 2010, Game et al. 2013).

#### **Box 1: Definition of MtSES.**

MtSES are typically characterized by high kinetic energy, steep vertical gradients, and are more likely than nonmountain regions to experience multihazards such as earthquakes, landslides, avalanches, flash floods, erosion, and fire (Gardner and Dekens 2007, Zimmerman and Keiler 2015, Klein et al. 2019b). One recent attempt to characterize global mountain extent is the K3 geospatial raster, which uses three classification parameters (slope, relative relief, and profile) to define four mountain classes of plains, hills, mountains, and tablelands at 250-m resolution (Karagulle et al. 2017, Sayre et al. 2018). However, different definitions of mountains have been proposed for various contexts and countries. This is in part because definitions established for MtSES boundaries are determined not only by geological conditions and landforms but also by climatic and hydrological conditions, ecosystem patterns, animal and plant distributions, and human activities, e.g., mountaineering, mining, and leisure (Körner and Ohsawa 2006, Körner et al. 2017). Definitions also diverge because the biophysical boundaries of MtSES can be difficult to align with administrative or economic boundaries (Price et al. 2018). In this paper, we use the K3 definition to analyze global mountain distributions in relation to population density, because it is the most recent, accurate, and finest resolution dataset available. However, our concept of MtSES is more holistic than the biophysical K3 definition, encompassing complex adaptive systems consisting of interacting social-ecological processes and unique challenges (Box 2).

Participatory scenario planning (PSP) can help overcome some of these challenges for sustainable and equitable transitions in MtSES by using novel combinations of expertise to creatively

envison and critically reflect on a wider array of innovative pathways for adaptive transformation (van der Heijden 1996, Kok and van Vliet 2011, Bai et al. 2016). The central idea of PSP is to consider a range of diverse, plausible futures, e.g., events, conditions, and uncertainties, rather than focusing on the prediction of a single or most probable outcome (Wilkinson and Eidenow 2008). Through close collaboration with diverse stakeholders, the process can build consensus, trust, and cooperation among participants, and improve the legitimacy and understanding of scenario outputs (Barnaud et al. 2007, Kohler et al. 2017, Allington et al. 2018). PSP offers a valuable means to bridge the science-policy interface, by accommodating varied perspectives, needs, expectations, and values, and by coproducing an integrated understanding of landscape and socioeconomic dynamics (Peterson et al. 2003, Wilkinson 2009, IPBES 2016).

PSP can also help address some of the challenges created by MtSES paradoxes (Box 2). For example, PSP can increase decision makers' abilities to identify when, where, and why scarcities occur in MtSES, e.g., water availability due to changing snowpack melt (Jaeger et al. 2017). PSP can encourage MtSES adaptation to global change by examining system drivers, identifying the underlying mechanisms most relevant for unpredictable futures, and evaluating which interventions are most appropriate (Soliva and Hunziker 2009, Fischler et al. 2016). At the same time, local knowledge that emerges through PSP can help fill critical gaps in understanding the functioning of MtSES when data are scarce (Oteros-Rozas et al. 2015). PSP is an opportunity not only to open local knowledge systems to global trends that may affect isolated and remote MtSES, e.g., through telecoupling, but also introduce local knowledge to those living outside MtSES, mainstream it into decision-making processes (Capitani et al. 2019), and assess trade-offs between key ecosystem services such as water, biodiversity, and carbon (Capitani et al. 2019). PSP may be a better tool for addressing MtSES challenges than other methods, e.g., participatory GIS, integrated assessment models, and ethnographic or economic valuations, because of the explicit attempt to not only help people to understand, prepare, and adapt more effectively to future events, but also to develop actionable pathways to challenge and change these events (Kahane 2012).

#### **Box 2: MtSES paradoxes**

Klein et al. (2019b) identified a set of "paradoxes" that summarize common challenges in MtSES. This is one way to think about the interacting complexities and surprising, contradictory aspects of MtSES that may reveal opportunities for sustainable transitions. These paradoxes (P) are as follows: (P1) MtSES tend to be resource rich but income poor; (P2) Policies affecting MtSES are often made by outsiders with limited understanding of local dynamics; (P3) MtSES are remote but vulnerable to global change; (P4) MtSES experience destabilizing in- and out-migration; (P5) Although often difficult to access, MtSES attract diverse actors with substantive institutional, distributional, or socio-political inequities, which pose challenges for representative decision making; and (P6) To capture their high spatio-temporal complexity, MtSES require fine-scale data for effective resource management, yet these data are often lacking.

The limitations of PSP, like any approach, should be acknowledged (e.g., see Hubacek and Rothman 2005). For example, when bringing together a diversity of stakeholders, competing interests can trigger conflicts, and facilitators need to be trained to manage inherent power dynamics (Oteros-Rozas et al. 2015). In scenario generation, the assumptions underlying value-choices are often not explicitly reported, even though there is the potential to be transparent about values (Rawluk et al. 2018), which can lead to certain values or worldviews dominating the scenarios. It is difficult to assess the influence of PSP on decision making because the process results in multiple strategies. Additionally, there is the danger that decisions based on PSP can downplay uncertainty or lack sufficient evidence to inform robust policy decisions (Reed et al. 2013).

Although the design of effective PSP approaches has been discussed in many research fields (e.g., van Vuuren et al. 2012, Kok et al. 2017), no systematic assessment to date has evaluated how PSP has been employed in MtSES worldwide. Previous PSP synthesis efforts have focused on particular sectors, e.g., climate change adaptation (Star et al. 2016), methods (e.g., Reed et al. 2013), typological approaches that distinguish between phases in the PSP process (e.g., van Notten et al. 2003), or placed-based PSP for all SES (Oteros-Rozas et al. 2015). Here, we systematically review peer-reviewed and nonpeer-reviewed literature of single- and multisectoral, multisectoral PSP undertaken in MtSES globally. We use a systematic review approach because this rigorous approach can be a starting point for generating new knowledge and planning (Gleeson et al. 2016). Specifically, we investigate three questions: (1) How has PSP been employed in MtSES, with what geographic, temporal, and thematic foci? (2) What are the benefits of PSP as applied to MtSES? (3) What are key gaps of PSP in MtSES, and what can be learned from PSP in other contexts?

## METHODS

### Search strategy

A systematic review of the literature on PSP in MtSES followed methods established by the Collaboration for Environmental Evidence Guidelines and Standards for Evidence Synthesis in Environmental Management (CEE 2013). This method is used widely and recognized as a standard for accessing, appraising, and synthesizing scientific information to inform decision making. To minimize bias, improve reporting, and ensure a high-quality and comprehensive systematic review, we followed the preferred reporting items for systematic reviews and meta-analyses (PRISMA) checklist (Moher et al. 2009). This checklist corresponds to the iterative stages of our structured review process (Fig. 1); PRISMA helps authors ensure a transparent and complete reporting of their review process by using systematic and explicit methods to identify, select, and critically appraise relevant research, and to collect and analyze data from the studies included in the review. Following these protocols ensured rigor, objectivity, verifiable procedures, and clarity of the study design (CEE 2013). We developed the search strategy for finding MtSES PSP studies through (a) discussions with researchers and stakeholders at a workshop on participatory modeling in MtSES; (b) consultations with an advisory board of scientific experts in PSP in MtSES (Appendix 1) throughout 2017–2018; and (c) working with an experienced environmental librarian.

### Sources of publications and key search terms

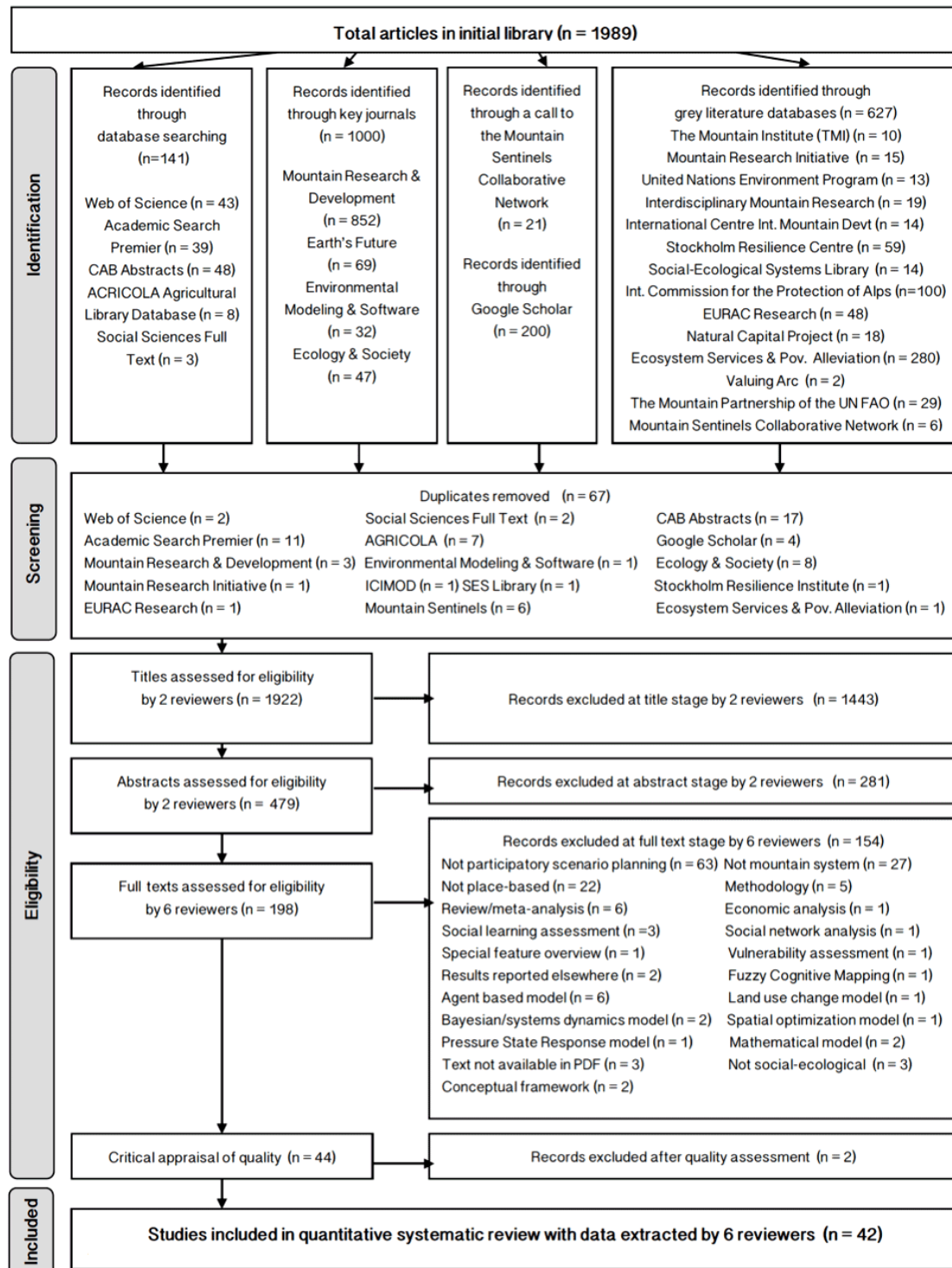
We conducted systematic searches of peer-reviewed and nonpeer-reviewed literature in bibliographic databases, key international journals, specialist organizations, online databases, and search engines, as well as via an open webinar with the extended Mountain Sentinels Collaborative Network and consultations with its advisory board (between 22 April and 8 November 2017). Five bibliographic databases were searched: Thomson Reuter's (formally ISI) Web of Science™, Core Collection Academic Search Premier, CAB Abstracts published by CAB International (1973–present), AGRICOLA National Agricultural Library and Citation Database and Social Sciences Full Text (H. W. Wilson). A repeat search on 4 November 2019 in Web of Science found only three additional studies published since the initial review. Five key international e-journals whose topic areas closely aligned with the research question were hand searched. Google Scholar was used to retrieve the first 200 search results and we checked for studies not captured in the above databases. Fourteen subject-specific web sites including nongovernmental organizations, public and research institutions, and online databases were searched for reports, conference proceedings, policy briefs, book chapters, and individual research papers (Table 1). To assist in screening nonpeer-reviewed literature, text extraction software was used to identify key words related to the research questions.

**Table 1.** Specialist organizations and online databases searched in the systematic review. Web site links were correct as of 12 May 2020.

No.	Organization	Web site
1	The Mountain Institute (TMI)	<a href="http://mountain.org/publications/">http://mountain.org/publications/</a>
2	Mountain Research Initiative (MRI)	<a href="https://www.mountainresearchinitiative.org/">https://www.mountainresearchinitiative.org/</a>
3	United Nations Environment Programme (UNEP)	<a href="http://www.unep.org/publications/">http://www.unep.org/publications/</a>
4	Interdisciplinary Mountain Research	<a href="http://www.mountainresearch.at/index.php/en/projects">http://www.mountainresearch.at/index.php/en/projects</a>
5	International Centre for Integrated Mountain Development (ICIMOD)	<a href="http://www.icimod.org/himaldoc">http://www.icimod.org/himaldoc</a>
6	Stockholm Resilience Centre	<a href="http://www.stockholmresilience.org/publications.html">http://www.stockholmresilience.org/publications.html</a>
7	Social-Ecological Systems (SES) Library	<a href="https://seslibrary.asu.edu/">https://seslibrary.asu.edu/</a>
8	International Commission for the Protection of the Alps (CIPRA)	<a href="http://www.cipra.org/en/publications">http://www.cipra.org/en/publications</a>
9	EURAC Research	<a href="http://www.eurac.edu/en/research/Publications/Pages/default.aspx">http://www.eurac.edu/en/research/Publications/Pages/default.aspx</a>
10	Ecosystem Services and Poverty Alleviation	<a href="http://www.espa.ac.uk/">http://www.espa.ac.uk/</a>
11	Valuing the Arc	<a href="https://eprints.soton.ac.uk/372347/1/VtASpecialissue.pdf">https://eprints.soton.ac.uk/372347/1/VtASpecialissue.pdf</a>
12	The Mountain Partnership of the UN FAO	<a href="http://www.fao.org/mountain-partnership/publications/en/">http://www.fao.org/mountain-partnership/publications/en/</a>
13	Natural Capital Project	<a href="https://naturalcapitalproject.stanford.edu/publications">https://naturalcapitalproject.stanford.edu/publications</a>
14	Mountain Sentinels Collaborative Network	<a href="https://mountainsentinels.org/">https://mountainsentinels.org/</a>

Researchers and experts compiled search terms related to components of the research question (Appendix 2). We tested 40 strings of alternative search terms in Web of Science™ to determine the most comprehensive search. We then built a test

**Fig. 1.** Overview of article screening and inclusion in the systematic review (adapted from PRISMA; Moher et al. 2009). EURAC: European Academy of Bozen/Bolzano; Int.: International; AGRICOLA: U.S. Department of Agriculture, National Agricultural Library; UNEP: United Nations Environment Programme; UN FAO: United Nations Food and Agricultural Organization; ICIMOD: International Centre for Integrated Mountain Development; SES: Social-Ecological Systems; Pov.: Poverty; CIPRA: International Commission for the Protection of the Alps.





library of 30 references to confirm that the search strings captured relevant literature, balancing specificity and sensitivity (Pullin et al. 2013; Appendix 3, 4). The final string that resulted in 43 relevant studies when tested in Web of Science consisted of the terms: “scenario analy\*,” “scenario develop\*,” “scenario plan\*,” “scenario,” “mountain\*,” “participat\*,” “collaborat\*.” These terms were applied to all databases, subject to their individual search requirements. Boolean operator terms and wildcards, i.e., a character that can be used to substitute for other character(s) in a string, connected search terms, which were disaggregated using truncation (“\*” in most databases). The final searches produced a total of 1989 studies for further screening.

#### Study inclusion criteria

Studies were included in the review if they do the following: report data on PSP with local stakeholders; include stakeholders living permanently/seasonally in MtSES, or stakeholders affected upstream/downstream, e.g., by ecosystem service provisioning or migration; address interactions of social and ecological systems (van Notten et al. 2003, Binder et al. 2013), or adaptive management (Holling and Allen 2002, Walker et al. 2002, Folke 2007); use qualitative or quantitative data, or both; are available in electronic format; are published in English, given the linguistic competency of the review team; and defined their system of focus to include MtSES facing single- and multiscale, multisectoral challenges. All publications were included that were published up to November 2017. Of the papers that met the inclusion criteria, only three studies were excluded from the analysis because they were published in other languages, i.e., German, French, and Spanish.

#### Data screening and critical appraisal

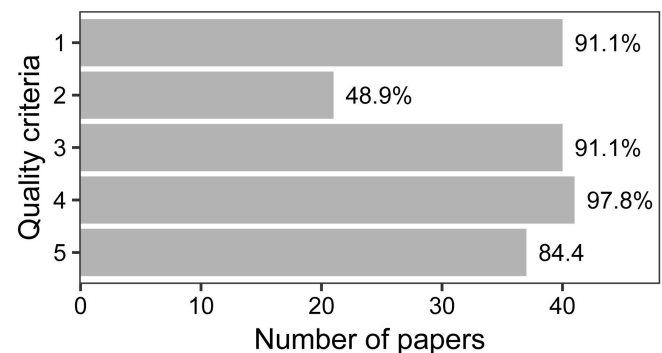
Six reviewers conducted screening at title, abstract, and full text stages to assess whether studies met the inclusion criteria listed above and chronicled the reason for exclusion in a master Microsoft Excel database (Fig. 1, Appendix 5). At title and abstract screening, Randolph’s free-marginal Kappa coefficient, i.e., degree of chance-adjusted agreement, on a random subset of 100 studies was 0.72, which falls above the normal agreement range of > 0.6–0.7 (Randolph 2008), indicating that there was sufficient agreement among reviewers. Where there was doubt, we retained the studies. Ambiguities were discussed in regular meetings, and secondary reviewers verified decisions. We used five quality criteria to assess whether studies were of sufficient quality for inclusion (Fig. 2; see Rodríguez et al. 2016).

#### The development of a nine-step process to guide data coding, extraction, and evaluation

Forty-two studies met the inclusion and quality criteria. We created a codebook (Appendix 6) that was developed and tested on six case studies by two independent investigators. To help structure our coding (and thus, exploration) of the studies, we used themes taken from a synthesis of key issues for MtSES sustainability (Klein et al. 2019b), the global planetary and social boundaries frameworks (Rockström et al. 2009, Raworth 2012), and a nine-step process for conducting PSP that we developed based on a literature review and expert contribution. The nine-step process consisted of methods that were commonly applied in PSP tools and typologies broadly (both within and beyond MtSES), depending on study context, purpose, and goals (e.g., Scholtz and Tietje 2002, van Notten et al. 2003, Oteros-Rozas et

al. 2015; Fig. 3). Based on these nine steps, we developed 89 questions that we used to evaluate the extent to which the 42 studies included information about each step. In our evaluation of each study, we also included several open-ended questions pertaining to the primary livelihoods mentioned in each case study, and any strengths and weaknesses of the PSP process. Rather than using a set of pre-existing, theoretically informed codes for these open-ended questions we developed codes inductively. Finally, we used a Likert scale to assess the degree to which each study explicitly addressed uncertainty. Six reviewers evaluated the studies (between 9 November 2017 and 2 February 2018). Each reviewer met with a second reviewer on a weekly basis to discuss and resolve inconsistencies in their coding. See Appendices 7 and 8 for a full list of the case studies analyzed.

**Fig. 2.** Five criteria used in quantitative quality assessment. Each study that met the inclusion criteria ( $n = 44$ ) received a total quality assessment score of 0–5, based on the sum of its scores for each quality criterion (yes = 1, no = 0). Only studies with total scores of 3–5 were considered eligible for further data extraction.



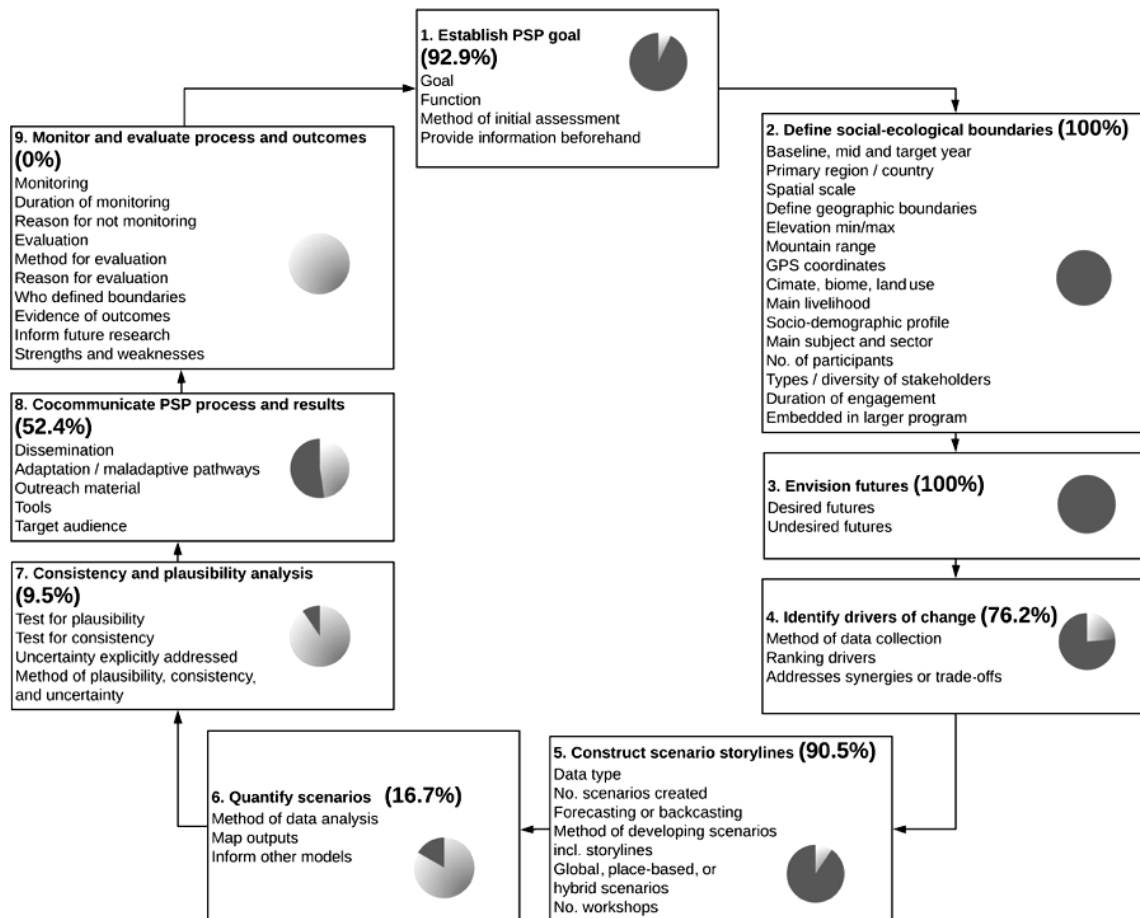
#### Quality criteria:

- 1 - Data collection methods are thoroughly explained, clear, replicable
- 2 - Sample size is well explained and representative of the population
- 3 - Qualitative or quantitative analytical methods are thoroughly explained, clear, replicable; key terms are well defined
- 4 - Results/conclusions are logically derived and supported by presented evidence
- 5 - Confounding factors are considered and well explained

#### Analysis

We generated summary statistics based on the frequency of citations and conducted qualitative and quantitative syntheses on trends. We mapped case studies in ESRI ArcGIS 10.5 (ESRI 2015). Point locations of case studies were identified either directly from the reviewed manuscripts, by contacting study authors, or estimated using other geographical information provided in the text. We assessed their geographic distribution relative to the distribution of mountain areas and human populations in MtSES. We defined geographic regions following the United Nations Statistics Division’s Intermediate Regions. We calculated mountain areas by region from the 250-m resolution K3 mountain definition (Karagulle et al. 2017; Box 1) and mountain population using the 30-arc-second LandScan™ 2017 dataset (LandScan 2017™, ORNL, UT-Battelle, LLC; Rose et al. 2018).

**Fig. 3.** A flow chart of the proportion of studies within the systematic review (shown in dark grey in the pie charts and percentages) that provided information on each step of the nine-step process that we used to analyze participatory scenario planning (PSP) case studies in mountain social-ecological systems (MtSES). Appendix 9 provides additional detail. The process starts by establishing the goal of the PSP and defining the context boundaries. Stakeholders then envision desired and undesired futures, i.e., imagining forward-looking strategies, interventions, or innovations that could inspire transformative change. Following this, the internal and external drivers of change within the defined SES boundaries are identified. Narrative storylines are then constructed, which can be transformed into quantifiable models and tested for consistency and plausibility. Process and results are communicated to relevant stakeholders for dissemination and feedback. The PSP process and outputs are then monitored and evaluated to inform future iterations, policy and management priorities, and research.



## RESULTS

### The state of PSP in MtSES

#### Bibliographic patterns

Empirical research on PSP in MtSES is growing. The first study on the topic was published in 2006 (Fig. 4). Most studies were peer-reviewed articles (95.2%,  $n = 40$ ), published in a wide range of journals ( $n = 22$ ). The remaining two were an unpublished manuscript and a report. Seventeen of the 40 journal articles were open access (42.5%). The journals that dominate the literature on this topic are *Ecology and Society* (23.8%,  $n = 10$ ), followed by *Land Use Policy* and *Mountain Research and Development* (9.5%,  $n = 4$  in each). Both *Ecology and Society* and *Mountain Research and Development* are fully open access journals. Half (50%) of the

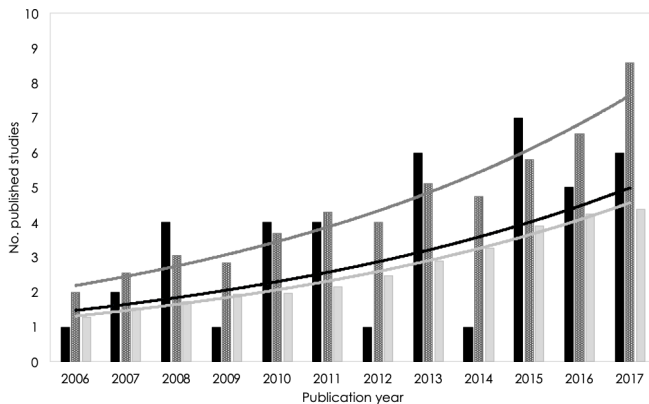
MtSES PSP studies were coauthored by researchers who had collaborated on other papers included in the review.

#### Geographic application

Case studies meeting the inclusion criteria were spread across 39 countries and five continents (Fig. 5). PSP in MtSES has primarily been applied in Europe (54.8%,  $n = 23$  studies). Eight studies were conducted in Asia (19.1%), half of which were in China; five in Africa (11.9%), four of which were in Tanzania; four in North America (9.5% ea.), all in the United States; and two in Oceania (4.8%), both in the Australian Alps. Almost half of the PSP studies (45.2%,  $n = 19$ ) were defined by political or administrative units, while 28.6% ( $n = 12$ ) were defined by natural features and 21.4% ( $n = 9$ ) were defined by both. One-third of studies ( $n = 14$ ) were developed at the landscape scale. In terms of the spatial scale,

six studies were conducted at the farm or village/community level (14.3%), four at district level (9.5%), nine at regional level (21.4%), four at national level (9.5%), and five at the international level (11.9%). Although many MtSES ranges span international political borders, e.g., Mount Elgon, Rwenzori, the Pyrenees, Alps, and Himalayas (Körner and Ohsawa 2006), only five studies researched transboundary MtSES in Asia (n = 2) and Europe (n = 3). Eight studies (19.1%) explicitly used a spatially nested, multilevel approach.

**Fig. 4.** Growth in the number of studies on participatory scenario planning (PSP) in mountain social-ecological systems (MtSES) published each year over a 12-year period, 43% of which were produced between 2015 and 2017. Growth of PSP in MtSES (black) is commensurate to the growth of publications that report on scenarios, both within the field of environmental science (\*1000, dark grey) and in all fields (\*10,000, light grey), as indicated in a Web of Science search over the same period.



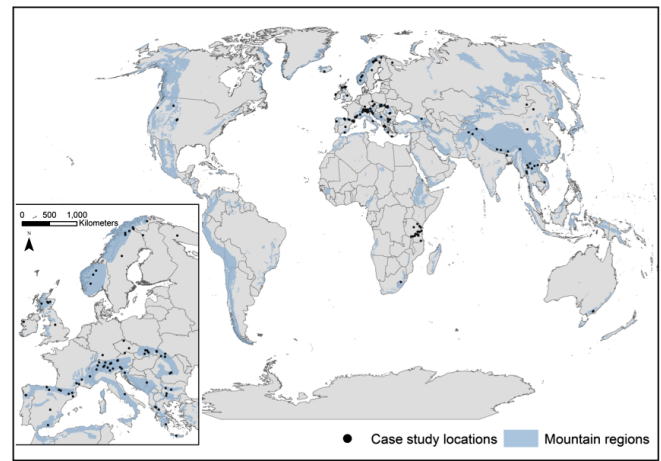
Many of the studies conducted PSP at multiple sites within continents (n = 127 sites). When considering this finer spatial resolution, European sites emerge as particularly over-represented in the literature (63.8%) relative to both the proportion of global mountain area contained in Europe (17.9%) and the proportion of the global mountain population inhabiting European MtSES (8.0%; Fig. 6). East Africa is also over-represented (13.4%) relative to its proportion of mountain area (5.8%), but less so when considering its share of the global mountain population (9.6%). No sites were located in mountain regions of Northern, Central, and Western Africa, Central and Western Asia, or Central and South America, despite South America containing a relatively high proportion of the global mountain area (12.3%) and population (7.7%). North America is also under-represented relative to its proportion of mountain area (13.1%), although its share of the global mountain population is low (2.2%). East Asia is most severely under-represented (3.9%), considering that it contains the largest proportion of mountain area (17.3%) and highest population of any region (29.6%).

#### Temporal scope

The mean time span between the scenario creation and the projected year was 33 years, but scenarios ranged from 14 to 90 years into the future. The mean baseline year for scenarios was 2009, ranging from 2000 to 2015, while the mean target year for

scenarios was 2042, ranging from 2020 to 2100. Thirty-eight studies (90.5%) used a single target year. Only eight studies (19%) used a midterm horizon year to mark incremental progress toward final scenarios, with a mean of 2023, ranging from 2010 to 2040.

**Fig. 5.** Map of study locations. The inset map depicts the European region. Some of the study areas indicated with black dots closely overlap. The blue shaded areas represent mountains, as defined by Karagulle et al. (2017). Definitions of mountains by the authors of the studies included in this review may differ.



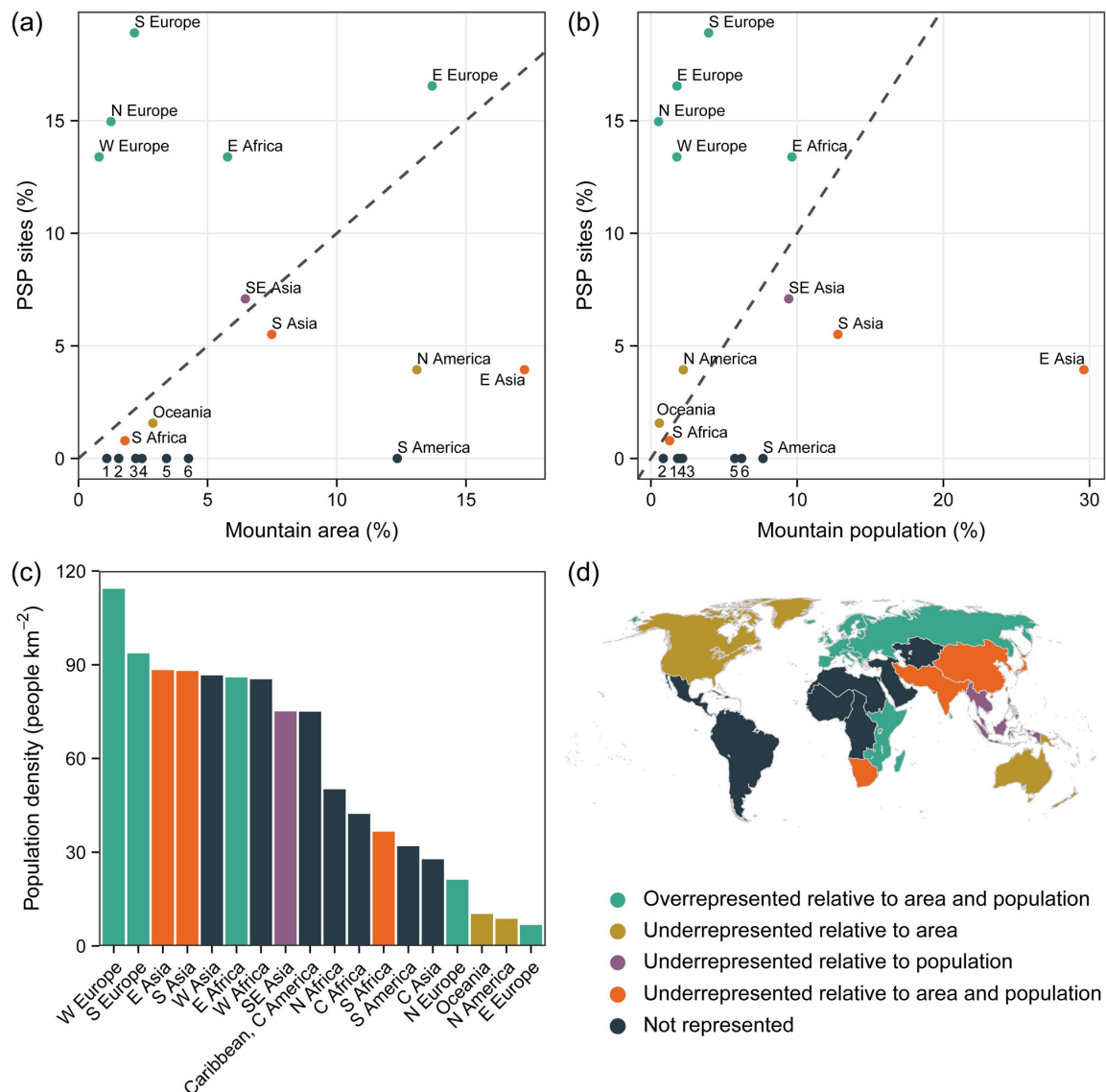
#### Thematic coverage

The most prominent themes identified through PSP in MtSES were governance and policy change, which were addressed in all studies (100%, n = 42); land use change and its economic drivers, e.g., markets, income, and employment (92.9%, n = 39); the maintenance of cultural or biological diversity (81%, n = 34) and, conversely, biodiversity loss (78.6%, n = 33); demographic change (78.6%, n = 33); technological/infrastructure change (71.4%, n = 30), and climate change (66.7%, n = 28; Fig. 7). Aside from technological/infrastructure change, all of these topics were identified as key issues in MtSES by Klein et al. (2019b). Several of the “planetary boundaries” (Rockström et al. 2009), including land use change (92.9%), biodiversity loss (78.6%), climate change (66.7%), and freshwater use (64.3%), were frequently the focus of studies. Of these planetary boundaries, chemical pollution (14.3%) and phosphorus or nitrogen cycles (4.8% ea.) received less attention, while stratospheric ozone depletion, ocean acidification, and atmospheric aerosol loading were not addressed in any of studies. From Raworth’s (2012) “environmentally safe and socially just space for humanity” framework, income and jobs (92.9%), water (64.3%), food (47.6%), education (45.3%), and energy (42.9%) were frequently addressed, while other key aspects, such as social equity and voice (26.2%), health (23.8%), gender equality (4.8%), and sanitation (0%), were infrequently addressed.

#### The nine-step PSP process

We explored the extent to which studies employed each step in the nine-step PSP process (Figs. 3 and 7), as described below. We found 50% of the studies addressed at least eight of the nine steps outlined.

**Fig. 6.** The distribution of participatory scenario planning (PSP) study sites differs among geographic regions. The percentage of PSP study sites in each region (relative to all PSP study sites) is compared to the percentage of mountain area located in each region (relative to the total global mountain area; (a) and to the percentage of people living in mountains in each region (relative to the total global mountain population; (b). In (a, b), points with numeric labels are coded as follows: 1 = Western Africa, 2 = Central Asia, 3 = Northern Africa, 4 = Central Africa, 5 = Western Asia, 6 = Caribbean and Central America. Dashed lines represent a 1:1 relationship. Population density in mountains varies across geographic regions (c). Geographic regions are drawn from the United Nations' definition of Intermediate Regions (d).



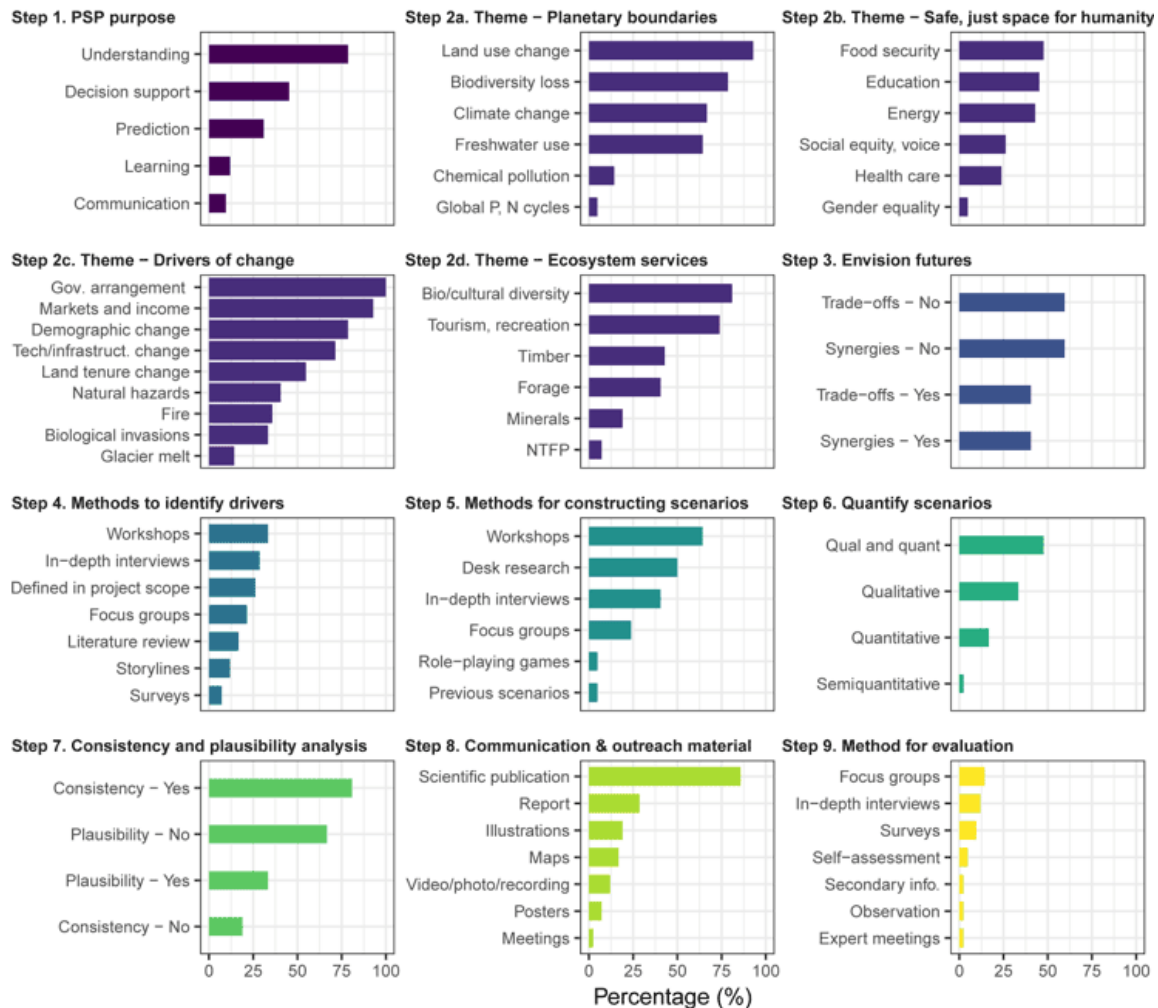
**Step 1. Establish PSP goal**

Most studies (97.6%, n = 41) stated a clear PSP goal at the beginning of their project. The most common goal (or purpose) was to improve understanding among stakeholders (78.6%, n = 33), by explicitly integrating their diverse views into shared representations of the future. Decision support was the goal of 45.2% (n = 19) of studies, often focusing on ecosystem service management, REDD+ initiatives, climate change mitigation to reduce greenhouse gas emissions, or national planning. The next

most frequently stated goal of PSP was for prediction (31.0%, n = 13), followed by learning (11.9%, n = 5) and communication (9.5%, n = 4). Building on categories created by van Notten et al. (2003), we determined that the goal of PSP was exploratory (i.e., creating scenarios to examine plausible drivers of change) in 61.9% of studies (n = 26), prepolicy (i.e., creating scenarios to examine futures according to their desirability) in 23.8% of studies (n = 10), and both exploratory and prepolicy in 14.3% of studies (n = 6).



**Fig. 7.** The percentage of studies that employed each step of the nine-step process for participatory scenario planning (PSP) in mountain social-ecological systems (MtSES). The themes (2a–d) are defined when determining boundary conditions. These form the main focus of alternative futures explored in the scenarios. Step 2a comes from Rockström et al. 2009, Step 2b from Raworth 2012, and Step 2c and 2d from Klein et al. 2019b (see Appendix 9 for further details). NTFP = nontimber forest products.



Overall, studies' functions were more process oriented ( $n = 20$ , 47.6%) than product oriented ( $n = 9$ , 21.4%), indicating that tangible outcomes were less frequently reported. In most studies (92.9%,  $n = 39$ ), initial assessments were conducted to obtain background information, often by building on long-term research collaborations (42.9%,  $n = 18$ ), key stakeholder open/closed-ended interviews and informal consultations (40.5%,  $n = 17$ ), or a literature review (19%,  $n = 8$ ). In 42.9% ( $n = 18$ ) of studies, participants were given information about trends, history, and the PSP process beforehand, while 52.4% ( $n = 22$ ) of studies did not report this.

#### Step 2. Define social-ecological system boundaries

All studies defined the system boundaries prior to developing scenarios. These boundaries entail multiple aspects, such as the climate, biomes, land use, and livelihoods of the MtSES and

features of the PSP process, such as the thematic focus, number or diversity of stakeholders involved, and the length of their involvement. Recognizing that these categories are nonexclusive, we find that most studies were defined by issues (73.8%,  $n = 31$ ), more so than by geographic areas (14.3%,  $n = 6$ ) or the types of stakeholders or institutions involved (7.1%,  $n = 3$ ).

The majority of studies (52.4%,  $n = 22$ ) were located in temperate regions, one-fifth in dryland or semiarid (19.1%,  $n = 8$ ), 16.7% ( $n = 7$ ) in tropical or subtropical, and 11.9% ( $n = 5$ ) in alpine, inner-alpine, cold continental, or subarctic regions. Six studies (14.3%) spanned multiple climatic zones. Studies were primarily situated in forested biomes (59.5%,  $n = 25$ ), in either protected (33.3%,  $n = 14$ ) or unprotected areas (26.2%,  $n = 11$ ), closely followed by grasslands, shrublands, or savannahs (50%,  $n = 21$ ). Few scenario studies were located in urban or peri-urban areas (11.9%,  $n = 5$ ).

or tundra (7.1%, n = 3). Nearly a third of studies (n = 12) spanned multiple biomes.

Tourism and recreation comprised the largest proportion of land uses studied (50%, n = 21), followed by agro-pastoralism (42.9%, n = 18) and timber/logging (33.3%, n = 14). Few residential areas (9.5%, n = 4) have been studied using PSP. Over a quarter of studies (28.6%, n = 12) focused on one land use, while 50% studied two (n = 21), 11.9% studied three (n = 5), and 9.5% studied four (n = 4). The primary local livelihood was smallholder or commercial agriculture (57.1%, n = 24). Other important livelihoods were trade and tourism (54.8%, n = 23), and private industry, e.g., mining, hunting, and forestry (50%, n = 21). Most studies (81%, n = 34) stated the socio-demographic profile of the participants.

The most prominent stakeholders participating in the processes were district, regional, and national government officials (57.1%, n = 24), land managers (52.3%, n = 22), and conservation groups, park authorities, and NGOs (47.6%, n = 20). Municipal institutions, community councils, or tribal and indigenous organizations were only represented in a third of cases (33.3%, n = 14), followed closely by private (31%, n = 13) and academic (21.4%, n = 9) institutions. No studies included bilateral or multilateral organizations. The number of participants ranged from 11 to 240 individuals, averaging  $48.4 \pm 9.9$  (mean  $\pm$  SE). PSP studies most frequently had three different types of stakeholder groups participating in workshops (23.8%, n = 10), although this ranged from 1 to 10. Few included more than six types of stakeholders (9.5%, n = 4). Stakeholder engagement typically lasted one year (47.6%, n = 20), followed by 1–4 years (23.8%, n = 10). Only one study indicated long-term (> 10 years) engagement with stakeholders in the process. The PSP process was described as embedded in a larger research program in 40.5% (n = 17) of cases. (See “Thematic coverage” above and Fig. 7 for additional details on the use of themes.)

#### *Step 3. Envision desired/undesired futures*

All reviewed MtSES PSP studies included envisioned desired and undesired futures. Less than half of the studies discussed synergies and trade-offs (40.5%, n = 17) between desired and undesired futures.

#### *Step 4. Identify drivers of change*

The majority (76.2%, n = 32) of studies identified drivers of change, using a range of methods such as participatory workshops (33.3%, n = 14), in-depth interviews (28.6%, n = 12), project scoping (26.2%, n = 11), and focus group discussions (21.4%, n = 9). Most studies (61.9%, n = 26) did not rank drivers in terms of importance or threat to the system.

#### *Step 5. Construct scenario storylines*

Most scenarios included storylines or narratives, i.e., qualitative description of future developments (90.5%, n = 38). Scenarios were normally constructed using forecasting (90.5%, n = 38) rather than backcasting (4.8%, n = 2), while two studies used both (4.8%). Data were typically collected from workshops (64.3%, n = 27), desk-based research (50%, n = 21), interviews (40.5%, n = 17), and focus group discussions (23.8%, n = 10). Twenty-six studies used a combination of two to four of these methods to construct the scenarios, whereas only two studies used a single method. On average, two to three workshops were held over the

PSP process (ranging from zero to eight). Over half used only local-level scenarios (57.1%, n = 24), while fewer used only regional/global scenarios (11.9%, n = 5), and about one-third of studies used a hybrid of local and regional/global scenarios (31%, n = 13).

#### *Step 6. Quantify scenarios*

Almost half of studies (47.6%, n = 20) combined qualitative and quantitative scenarios, while only 16.7% studies (n = 7) used purely quantifiable scenarios, i.e., built models of change using indicators that can be measured, based on expert elicitation or extrapolating past trends. One-third of the studies used only qualitative scenarios (33.3%, n = 14), i.e., using “what-if” narrative storylines, and one study (2.4%) was semiquantitative. Scenarios were predominantly qualitatively analyzed using cluster analysis of heterogeneous rank data and impact analysis (40.5%, n = 17) and participant surveys (28.6%, n = 12). Geographic Information Systems were used to model dynamic relationships over time and space in 26.2% of studies (n = 11). Slightly less than half of studies (45.3%, n = 19) used PSP to inform other models, including agent-based (16.7%, n = 7), land use change (11.9%, n = 5), debris flow (9.5%, n = 4), mass balance, hydrological, Bayesian networks, or dynamic models (7.1%, n = 3 ea.).

#### *Step 7. Consistency and plausibility analysis*

Only 9.5% of studies employed both consistency and plausibility analyses (n = 4). Very few (19%, n = 8) conducted a test for consistency, whether internal, i.e., reviewing whether impact variables within a narrative can occur in combination, or external, i.e., whether diverse future states, or local/global scenarios contradict one another. One-third of studies (33.3%, n = 14) conducted a test for plausibility, i.e., if the scenario falls within the limits of what might conceivably happen. Methods included historical or expert validation, comparing findings to published results from neighboring areas and/or other models, and assessing policies, plans, and actions to identify barriers and bridges to desired outcomes.

Although divergent futures implicitly capture uncertainty, only in 18 cases did the six reviewers strongly agree (7.1%, n = 3) or agree (35.7%, n = 15) that studies explicitly addressed uncertainty. Three main methods were used in the studies to address uncertainty: positioning scenarios along two axes representing extremes (e.g., high/low), ranking scenarios using a scale, or discussing limitations and data resolution. No studies measured stakeholders’ degree of confidence in the data inputs or outputs.

#### *Step 8. Cocommunication of PSP process and results*

Dissemination of results to stakeholders involved in the PSP process was mentioned in half the studies (50%, n = 21). Dissemination aimed to summarize findings, ensure results are understood, obtain feedback, and discuss intentions to apply the evidence to real-world challenges. In most cases, the target audiences were participants who developed the scenarios (45.2%, n = 19) or researchers (42.9%, n = 18). Occasionally, intended audiences were decision makers operating at sub/national levels. To communicate results beyond the group involved in the PSP process, the main outreach materials were scientific publications (85.7%, n = 36) and reports (28.6%, n = 12). The main tools were knowledge representation or cognitive conceptualization diagrams, which represent system entity, processes, and

interactions (35.7%, n = 15), spatial representation tools (33.3%, n = 14), narratives (16.7%, n = 7), or other simulation tools (16.7%, n = 7). Often a combination of tools, such as maps, diagrams, and three-dimensional landscape visualizations, was used to help different audiences, such as local inhabitants and authorities, to conceptualize models (40.5%, n = 17).

#### *Step 9. Monitor and evaluate process and outcomes*

No studies conducted both monitoring and evaluation. One study monitored outcomes over one year using systematic data collection to track progress using indicators (Bogdan et al. 2016). Most studies (66.7%, n = 28) did not formally evaluate the design, implementation, results, or consequences of social learning. Half of all studies included in the review (n = 21) provided evidence of short- and long-term outcomes, while few (7.1%, n = 3) used outputs to inform further research. Evaluation methods included qualitative, self-reflexive assessments in focus groups, interviews, surveys, literature reviews, participant observation, and expert meetings. Table 2 summarizes the strengths and weaknesses of the PSP process reported by participants.

## **DISCUSSION**

Our evaluation of how PSP has been employed in MtSES, including a characterization of the process, is organized around the following key areas. First, we evaluate the geographic, temporal, and thematic foci of the studies. We then examine the benefits of PSP for MtSES using the nine-step PSP process, explicitly considering the goals of the process (Step 1), the stakeholders/institutions involved and how the boundaries of the MtSES were defined (Step 2), the identification of drivers of change (Step 4), the cocommunication of the PSP process and results (Step 8), and the evaluation of process and outcomes (Step 9). Steps 3 and 5 are examined in detail in a subsequent paper. Finally, to identify key gaps in PSP for MtSES, and what can be learned from the application of PSP in other SES, we analyze which steps in the nine-step process were frequently omitted.

### **How has PSP been employed in MtSES, with what geographic, temporal, and thematic foci?**

#### *Geographic application*

Although MtSES research is on the rise (Gleeson et al. 2016), the geographic coverage of PSP in MtSES remains biased toward European countries, with less focus in Asian, African, and Latin American MtSES, relative to their total extent and total human population. Globally, population density is highest in Western and Southern European MtSES (Fig. 5), where 32.3% of PSP sites are located. By contrast, East Asian MtSES cover the largest area and host the highest total human population of any MtSES globally, but only 3.9% of PSP sites are located there. South America has a relatively large share of mountain area (12.3%), but completely lacks PSP studies. Although these results may be an artefact of our language selection criteria, we consulted with MtSES experts working in these regions, who confirmed that to their knowledge no published records of PSP exist to date (although other methods to assess SES may have been applied). This finding reflects similar biases in broader conservation research, where comparatively less research is undertaken in the world's most biodiverse countries (Meijaard et al. 2015), and the science conducted is often not led by researchers based in-country (Boakes et al. 2010, Thorn et al. 2016, Wilson et al. 2016).

A lack of MtSES PSP application in developing countries may bias any synthesis effort toward the values, needs, and context of regions where it is more frequently conducted, i.e., Europe, with the risk that these conclusions may then be translated incorrectly to other regions (Hovland 2003). Therefore, commonalities and divergences in trajectories of change across MtSES should be analyzed by taking into account SES across an array of socioeconomic-cultural conditions. Efforts to synthesize across global MtSES must remain cognizant of these biases, and work to mitigate them. For example, the MtSES research community needs to closely consider ways to address the entrenched disadvantages some countries have when initiating research projects, e.g., access to funding, in-house research support offices, language (Meijaard et al. 2015). There is also a need to reduce the logistical and financial burden of studying across international political borders. In particular, regional organizations have a key role to play in enhancing transboundary cooperation, as evidenced by the PSP work in the Hindu Kush Himalaya by the International Centre for Integrated Mountain Development (Roy et al. 2019).

Although it has been suggested that MtSES are best analyzed across scales, where material and social connections between the highlands and lowlands become clear (Jaeger et al. 2017), our review revealed few scenarios with this type of nested, multilevel approach. This is not surprising because multilevel analyses are more complicated and require a greater diversity of actors and resources. The spatial scale selected could reflect the priorities of actors responsible for managing adaptation pathways or of institutions driving the PSP process. More multiscale spatial and organizational integration is therefore required across the different phases of PSP to improve the extent to which different MtSES perspectives are incorporated (Lebel 2006, Biggs et al. 2007, Brand et al. 2013, Mistry et al. 2014, Rosa et al. 2017).

#### *Temporal scope*

There has been a steady increase in studies concerning MtSES since 2006, perhaps mirroring the growth in international global climate and biodiversity assessments that have increasingly referenced PSP research, e.g., Global Biodiversity Outlook and subglobal Millennium Ecosystem Assessment scenarios. For instance, spikes in 2013 and 2015 occurred when planning processes increasingly adopted PSP to envision future pathways and social science methods, e.g., International Panel on Climate Change (IPCC) Fourth Assessment or Sustainable Development Summit, and when the International Panel on Biodiversity and Ecosystem Services (IPBES) was launched. These trends indicate a growing interest in MtSES futures, both locally and meeting large-scale sustainability challenges, whilst demonstrating increased investment in MtSES research.

The temporal scope of PSP in MtSES is wide ranging. Many studies selected medium-term time horizons (~30 years), which may be associated with forecasts of human population or species distributions, successional change in forests, the generational memory of land managers, infrastructural lock-in, or multidecadal climate change projections. Near-term horizons of 14 years, the shortest of any study in this review, may be associated with municipal planning or business cycles, institutional memory, or international strategies such as the Sustainable Development Goals. The longest time horizon of scenarios was 90 years,

**Table 2.** Strengths and weaknesses reported by stakeholders participating in the studies, showing that there are clear benefits to participatory scenario planning (PSP), e.g., consensus building and dialogue, illuminating assumptions and interconnections, but also limits to the process and use of PSP, e.g., extent of representativeness for concrete decision making, knowledge- and resource-intensive. SES = social-ecological system.

Strengths and weaknesses	Explanation	Examples of references
<b>Strengths</b>		
Bring together stakeholders views	Joint problem definition, conceptual framing, consensus building Trans- or cross-disciplinary dialogue across epistemologies, methods, vocabularies, values, cultures, assumptions, and power differentials	Lamarque et al. 2013, Oteros-Rozas et al. 2013
Facilitate SES thinking	Engage minority groups in decision making Apply to policy, planning, and management Stimulate creative thinking	Barnaud et al. 2007, Allington et al. 2018
Reduce complexity	Combine scientific and local knowledge Reduce complexity to focus strategic action Avoid complex models that are difficult to understand	Daconto and Sherpa 2010, Mitchell et al. 2015
Address uncertainty	Test adaptive governance attributes to deliver acceptable outcomes across futures Recognize path dependency	Mitchell et al. 2015, Murphy et al. 2016
Encourage long-term regional development	Envision and manage trade-offs, e.g., land use, ecosystem services Improve confidence, negotiation, and adaptability Develop monitoring framework	Bourgoin and Castella 2011, Malinga et al. 2013
Policy recommendations	Adequate to temporal and spatial specificities Tool to prepare policy strategies in a continuous, dynamic process	Enfors et al. 2008, Carlsson et al. 2015
Transferability of models	Methods embed place-based knowledge into quantitative modeling Apply to future or larger research	Jaeger et al. 2017, Allington et al. 2018
<b>Weaknesses</b>		
Lack of detail	Limited clarification of well-defined problem Limited description of outcomes, or detailed storylines	Walz et al. 2007 Murphy et al. 2016
Bias in sampling and facilitation	Facilitators can impose personal bias if eliciting participation and collaboration Typically limited participation from urban areas	Soliva and Hunziker 2009, Capitani et al. 2016
Unrealistic	Without consistency analyses, combinations of scenarios can be unrealistic Results are not always representative for concrete decision making	Daconto and Sherpa 2010 Kohler et al. 2017
Small sample size	Typically few participants in workshops Few of the same stakeholders attend follow-on meetings	Bayfield et al. 2008, Carlsson et al. 2015
High expectations of model integration	Scenarios not well-integrated as SES Typically few variables are considered Extrapolation of local results challenging	Malinga et al. 2013, Loibl and Walz 2010
Resource intensive	Time-, financially-, and labor-intensive process Knowledge is needed to understand all aspects at stake	Bourgoin and Castella 2011, Kohler et al. 2017
Lack of implementation	Lack of robust planning mechanisms, implications rarely explored Lack of adaptive governance systems with the capacity to implement scenarios	Carvalho-Ribeiro et al. 2010, Allington et al. 2018

appropriate to envision structural changes in the 21st century, such as transitions from subsidized, centralized, and large-scale reliance on fossil fuels to greater energy efficiency, lower energy consumption, and sustainable building designs (Lebel 2006, Walz et al. 2014, Sarkki et al. 2017). Capturing this range of scales is important in MtSES, considering that cycles of resource abundance and scarcity may occur at different repeat intervals, e.g., interannual or decadal drought cycles and intra-annual seasonal variability, and therefore long-term planning and program longevity are needed (Mitchell et al. 2015). Furthermore, exploration of nested temporal scales is needed because people's perceptions of the short-term is often more certain, relevant to current needs, and easy to envision compared to the long term. This is analogous to spatial scales, where connections across smaller landscapes are clearer than connections linking MtSES with lowland SES (Huber et al. 2013), or when telecoupling links distant markets in complex social-hydrological relationships (Chignell and Laituri 2018).

#### *Thematic coverage*

Key themes that emerge as important for the future sustainability of MtSES are governance, economy, land use change, and biodiversity (Fig. 7, Appendix 9). These themes, which we describe below, resonate with those raised in other place-based PSP literature (e.g., Oteros-Rosaz et al. 2015). There are key themes that were not addressed in our review, despite their importance in MtSES literature. Planetary boundaries (Rockström et al. 2009) such as stratospheric ozone depletion and nutrient cycling were not addressed, likely because they lie beyond the immediate concerns of MtSES stakeholders. Two themes from the social boundaries (Raworth 2012) that we would expect to be important in MtSES, gender equality, e.g., women's engagement in upland agricultural markets, and adequate sanitation for all, e.g., open defecation-free status, have also been overlooked (Manfredi et al. 2010, Molden et al. 2014, Budhakoti et al. 2017, Klein et al. 2019b). Similarly, the repercussions and opportunities of permafrost thaw (Yang et al. 2018, Nyima and Hopping 2019)



and use and trade of medicinal resources, including high value commodities (Bourgoin and Castella 2011, Hopping et al. 2018), received little attention.

**Governance:** Our analysis suggests that MtSES would benefit from improved horizontal (across space) and vertical (across levels of organizations) governance, and responsive institutions, alongside improved communication, knowledge coproduction, and cooperation among key actors, especially when there are contested views (Lamarque et al. 2013). Securing the livelihoods of MtSES communities rests on better inclusion of local landowners in decision-making processes and action at the subnational level. Enhancing transboundary cooperation across political borders, particularly in relation to transportation infrastructure, may facilitate domestic and international accessibility to remote MtSES regions and thereby improve market access, infrastructural development, and tourism. Strong social safety nets, effective local leadership, informal networks, and strong national institutions combined with international mechanisms will be needed so that marginal upland communities, who often manage MtSES commons, have secure resource rights (Bourgoin and Castella 2011).

**Economy:** Our review highlights the need for greater attention to trade-offs and equity in MtSES economic transitions. Scenarios identified the potential decline or replacement of traditional sectors, e.g., pastoral, agricultural, and timber, by industrial and service sectors, e.g., tourism, settlement, and manufacturing (Bayfield et al. 2008, Kohler et al. 2017, Barnaud et al. 2007), which could lead to depopulation, land abandonment, and the loss of traditional practices and cultural heritage in upland areas (Tzanopoulos et al. 2011). Conversely, this could encourage changing patterns of in-migration and reruralization as a result of new employment opportunities, investments in upland areas, lifestyle changes, and new market opportunities for niche products (Zhen et al. 2014). Increased tourism may also significantly benefit communities in MtSES if revenues are used for species conservation and providing public services, but could also lead to cultural knowledge loss and pollution (Mitchell et al. 2015). Emerging opportunities lie in payments for ecosystem service schemes including carbon markets, reforestation, restoration, afforestation, sustainable charcoal production (Malinga et al. 2013), but with shortcomings, such as windfall profits or elite capture. Many scenarios foresee MtSES farmers shifting away from subsistence agriculture to cultivating for recreational purposes, i.e., leisure farming and eco-/agri-tourism (Enfors et al. 2008, Soliva and Hunziker 2009). Improvements in telecommunication, infrastructure, information communication technology, telebanking, and access to remote sensing technology could lead to more off-farm livelihood diversification and specialized market chains (Lebel 2006, Daconto and Sherpa 2010, McBride et al. 2017). However, the extent to which MtSES will benefit from technological innovation is contingent on a wide spectrum of legal, governance, economic, institutional, and environmental factors (Capitani et al. 2016, Roy et al. 2019).

**Land use change:** In the future, landscape multifunctionality, connectivity, land tenure rights, and spatial planning, including land demarcation and zoning (Malek and Boerboom 2015), will play an increasingly important role for sustainable management of MtSES. Our analysis suggests that changing demographic and

migration patterns will cause a shift in land uses and their associated ecosystem services, resulting in new sustainability challenges in MtSES. For example, food insecurity may become an even larger challenge if people move away from traditional agricultural-based production systems toward more industrialized systems (Zhen et al. 2014), calling for more multifunctional landscapes. Investment support from government, private sector, and local associations can improve the future viability of agricultural and pastoral activities in MtSES (Lamarque et al. 2013, Malinga et al. 2013, Wyborn et al. 2015), particularly in areas under threat from imminent land privatization, land scarcity, and climate change (Allington et al. 2018, McBride et al. 2017). In this regard, strengthening property rights could provide a mechanism to secure communities' long-term survival, by buttressing against private investors moving into upland areas, mitigating top-down control by governments, and benefiting more widely from new financial streams for conservation (Barnaud et al. 2007, Daconto and Sherpa 2010, Carlsson et al. 2015).

**Biodiversity and water provisioning services:** Rates of biodiversity loss are expected to continue, both in MtSES and elsewhere. Drivers of biodiversity loss in MtSES include expanding human populations, poor protected area enforcement, and growing energy demands (McBride et al. 2017). For high-elevation species, their distribution and community composition will likely change, with northward/uphill migration where habitat is available, establishment of new and/or invasive species, and species adaptation or extinction (Lamarque et al. 2013, Wyborn et al. 2015, Körner et al. 2017). There is also the risk of declining genetic diversity in MtSES because of competition with invasive or exotic species, e.g., high-value eucalyptus replacing conifers, and the introduction of genetically modified organisms (Loibl and Walz 2010). MtSES communities will have to prepare for a world with scarce freshwater resources, especially in light of growing water demands and contamination from agriculture, mining, and manufacturing (Enfors et al. 2008, Oteros-Rozas et al. 2013, UDSMIRA et al. 2016), and altered ground and surface water availability and hydrological flows across elevations with climate change (Mitchell et al. 2015, Jaeger et al. 2017).

#### **What are the benefits of PSP as applied to MtSES?**

##### *Increased learning*

Although learning was rarely explicitly listed as a goal of the PSP process, there is unmet potential for learning to be a valuable outcome. In our evaluation of process and outcomes (Step 9), studies indicated that participants learned by integrating diverse views, understanding the local context, and comparing trajectories of change in and across MtSES. PSP improves social learning (Keen et al. 2005, Reed et al. 2010) and systems thinking (Dyball et al. 2007, Keen and Mahanty 2006), allowing stakeholders to better understand complexities that exist in MtSES. Many of these processes can be used to foster strategic foresight, encouraging learning from past events (Carlsson et al. 2015, Seidl 2015). The PSP process can thus be as important as the outcome. Yet, papers rarely present post-hoc evidence to demonstrate this learning, and the resulting benefits are generally inferred rather than clearly evidenced. We identify the need for more follow-up research to discover whether participants perceive lasting benefits from the PSP process well after it has concluded.

#### *The inclusion of diverse actors in decision making*

PSP in MtSES is being used predominantly as a tool to build cooperation and collaboration, shared understanding, and decision support (Step 1). However, there is often low participation in terms of diversity and number of stakeholders at multiple stages. For example, bi- and multilateral institutions have rarely been included in PSP in MtSES, despite their role in issues such as coordination of international environmental agreements, e.g., in the Himalayas (Daconto and Sherpa 2010). Similarly, less than half of the studies define their study area using political or administrative boundaries (Step 2), even though doing so would potentially make scenarios more easily translatable for policy decisions. Remarkably, studies rarely engage nonacademics to define system boundaries and develop questions to be addressed (Step 2), even though these actors are often the intended end-users of the results. By not doing so, PSP may bias patterns of international cooperation and budget allocation, leading to unintended consequences for MtSES.

There are a variety of approaches to identify stakeholders for inclusion in PSP research, including stakeholder analysis and actor mapping, based on different ways of characterizing stakeholders and their relationships to other stakeholders (Reed et al. 2009). Developing stakeholder proficiency in envisioning can be a time- and engagement-intensive process (Kohler et al. 2017), particularly for isolated MtSES communities who may find it difficult to imagine divergent futures from present/usual conditions because having to select a limited number of drivers of future change might contradict participants' lived experiences in multifunctional MtSES (FAO 2015). Yet, local knowledge can provide critical insights into MtSES processes (e.g., Klein et al. 2014, Thorn 2019, Steger et al. 2020), and the inclusion of diverse actors in PSP is essential for understanding and managing appropriate future trajectories of MtSES that fulfill the needs and desires of local communities.

#### *Research collaborations*

Our research indicates that there is currently a limited set of institutions and researchers employing PSP in MtSES. Team-based scientific work is common in MtSES PSP, with 50% of studies being coauthored by some of the same researchers. Such collaborations could accelerate innovation. However, the limited set of institutions employing PSP in MtSES could indicate geographic and disciplinary biases, influencing how questions are framed, and which variables are assessed, which ultimately affects the outcome of studies (Step 4; Friedman et al. 2018). Similarly, authors' origins or institutional affiliations could impact the locally or regionally relevant understandings of unique MtSES contexts (Karlsson et al. 2007, Wilson et al. 2016). We encourage long-term capacity building in PSP, especially of early career researchers involving both South-North and South-South exchange (Gleeson et al. 2016).

#### *Visibility of scholarship*

Half of the MtSES PSP studies are distributed online without restrictions on use and reuse (Step 8), which is comparable to open-access publishing trends for scientific studies in general (Archambault et al. 2014). Increasing the rate at which MtSES PSP studies are made freely available online would be particularly valuable because of the relevance of PSP research to MtSES practitioners (Oteros-Rozas et al. 2015). Publicly available tools

and insights from PSP could enhance knowledge democratization and improve the visibility and impact of PSP scholarship in national, e.g., public safety and risk reduction agencies, and international arenas, e.g., IPCC (Wilson et al. 2016). There are economic models of open access publishing that avoid author processing charges for publishing fees, which is perhaps the primary barrier to open access publishing, although other barriers exist, such as internet access. Knowledge products and methods of dissemination to inform local decision makers, e.g., policy briefs and meetings, can be strengthened alongside open access publishing. In most cases, the target audiences were participants or researchers who developed the scenarios, therefore potentially excluding influential decision makers who lacked the time to commit to these processes. This trend could limit how research outcomes shape stakeholders' ability to take up research and engage in agenda setting. Although it is possible that studies did not necessarily report all their communication outreach, horizontal learning opportunities and nonacademic venues for disseminating research could hasten broader sharing and use of PSP, thereby providing the public with a valuable tool for anticipating global environmental change (Hovland 2003).

#### **What are key gaps of PSP in MtSES, and what can be learned from PSP in other contexts?**

Results from our evaluation point to two key gaps in PSP for MtSES: communicating uncertainty (Step 7) and monitoring and evaluating scenario impacts (Step 9). We found that although most studies mention uncertainty as an underlying rationale to employ PSP, uncertainty is often poorly evaluated. This may lead to misconceptions regarding the level of confidence with which results can be employed in assessments and decision-making processes. Uncertainty in PSP may arise from a variety of sources, including insufficient or erroneous data used to construct and test models, e.g., soil moisture and streamflow at high elevations (Wu et al. 2012, Capitani et al. 2016), problems in system understanding, e.g., the functional role of predator species in alpine ecosystems (Mitchell et al. 2015), or not having a full range of perspectives in the participatory workshops. This lack of diverse perspectives can then lead to insufficient representation of underlying processes, e.g., inadequate understanding of strategic national priorities for large-scale electrification (Roy et al. 2019) or low predictability of the system, e.g., random behavior in energy demand or nonlinear shifts from stable states due to eutrophication (Rockström et al. 2009, Carlsson et al. 2015).

The MtSES research community can learn from the wider scientific community operating in other systems to improve the application of PSP in MtSES. The IPBES recommends that types, sources, and levels of uncertainty should always be critically evaluated and communicated regardless of the scenarios' goals, including the degree of confidence in the data and outputs, and the relative probabilities of diverse futures when statistically available. Similarly, the MtSES scientific community should set standards for best practices to provide robust and transparent evaluations of uncertainty and encourage research into new methods and their impact on decision making (Akçakaya et al. 2016, IPBES 2016). Future work should focus on monitoring whether scenario outcomes meet the model purpose and inform indicators for policy impact. It should also focus on evaluating the predictive capacity, learning, and feasibility of policies under different scenarios. Enhanced monitoring and evaluation of the

benefits of PSP could lead to a positive feedback in which more groups undertake PSP and experience its benefits (McBride et al. 2017).

## CONCLUSION

In this paper we help to frame future developments of MtSES research and application by providing the first systematic review on the state of PSP in MtSES. Results indicate that since 2006, there has been a steady rise in the application of PSP in MtSES, which has helped fill critical gaps in understanding data-scarce regions of the world. Our findings from MtSES indicate the potential for a substantial amount of learning to take place through the PSP process: by integrating diverse views, understanding local contexts, comparing trajectories of change in and across MtSES, highlighting complexities, uncertainties, and interdependencies, and potentially aiding adaptation to global environmental change. PSP can also be a useful tool to build cooperation and collaboration, shared understanding, and decision support. Overall, we found that PSP in MtSES is an inclusive, flexible, adaptable method that can be applied across scales, involving different actors and communication strategies. PSP can therefore help address some of the challenges, or paradoxes, of MtSES. However, because information about the “success” of PSP is not often documented explicitly in published studies, more research is needed to provide an evidence-based understanding of when and how such benefits are realized.

Substantial progress has been made by using PSP to understand potential futures in MtSES, yet certain gaps remain. The geographic coverage of PSP in MtSES remains biased toward European countries, with less focus in Asian, African, and Latin American MtSES, particularly relative to their extent and human population. Transboundary collaborations are lacking, although many MtSES occur across administrative boundaries. PSP has tended to address certain themes, such as governance, economy, land use change, and biodiversity, but not others, such as gender equality, public health and sanitation, permafrost thaw, and the use and trade of medicinal resources, which are increasingly important for sustainable MtSES. The temporal scope of PSP is wide ranging, from near-term (14 y) to long-term (90 y) horizons, while analyses with nested temporal scales are less common. Though often stated as an intent, our review revealed low participation in terms of diversity and number of stakeholders at multiple stages of PSP, and with a limited set of institutions employing PSP.

To address these shortcomings and enhance effective future application, there is a need to improve the visibility and impact of PSP scholarship in national and international policy arenas, with a wider array of target audiences and systems of knowledge. These improvements could increase stakeholders’ ability to take up research and to engage in agenda-setting. Two steps that require more attention in future work are (1) communicating uncertainty and (2) monitoring and evaluating scenario impact. We also encourage long-term capacity building in PSP, especially of early career researchers involving both South-North and South-South exchange to overcome biases in geographic coverage. This development will require substantive investments to expand and connect the MtSES research and practice communities, as well as a shift in scientific funding, regional cooperation, and science-policy frameworks. Achieving a greater

degree of integration could involve analyzing systems over longer time periods, larger spatial extents, and expanding comparative research and subsequent assessment of benefits. This will require the MtSES research community to address the entrenched disadvantages of some countries to initiate research projects, and to reduce the logistical and financial burdens of studying across international boundaries. Future research may explore the extent to which PSP facilitated movement toward desired outcomes or decision making in MtSES (recognizing that this could take time to come to fruition) and which planning mechanisms or adaptive governance systems support scenario implementation most effectively. Results of this review may inform communities, organizations, companies, researchers, and governments tasked with anticipating a future without precedent for MtSES, and SES more broadly.

## AUTHOR CONTRIBUTIONS

This study was coordinated by JPRT and JAK. The research questions were originally conceived by JPRT, JAK, RS, AWN, CMT, RM, VC, KAH, CES, and CC, who advised through the project. JPRT conducted title, abstract, and full text screening. Full-text coding was conducted by JPRT, CC, and CES. JPRT, KAH, and CES conducted data analysis and mapping. JPRT, JAK, CES, KAH, CC, RM, and CMT wrote the manuscript. All authors read, revised, and approved the final manuscript.

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## Data Availability Statement:

*All relevant data underlying the findings described in the manuscript are fully available in the appendices.*

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## Appendix 1: Scientific expert advisory board for systematic review

No.	Participant name	Site name and mountain range	Region	Affiliation	Position
1	Kelly Hopping	Nyenchentanglha Mountains, Tibet Autonomous Region, China	Asia	Woods Institute for the Environment, Stanford University	Postdoctoral research fellow
2	Stephanie Kampf	Rockies, Colorado, USA	North America	Department of Ecosystem Science and Sustainability, Colorado State University	Associate Professor
3	Karina Yager	Andes in Bolivia and Peru, Chile	South America	Sustainability Studies, Stonybrook University	Assistant Professor
4	Birgit Müller	High Atlas Mountains, Morocco	Africa	Helmholtz Centre for Environmental Research UFZ	Head of Junior Research Group POLISES
5	Mateja Šmid	Triglav National Park and Julian Alps, Slovenia	Europe	Research Centre of Slovenian Academy of Sciences and Arts, Anton Melik Geographical Institute	Research Fellow
6	Vishwas Chitale	Chitwan Annapurna Landscape, Nepal / Kailash Sacred Landscape, Hindu Kush Himalayas	Asia	International Centre for Integrated Mountain Development (ICIMOD)	Remote Sensing Analyst-Ecosystems Geospatial Solutions
7	Xiaodong Chen	Qionglai Mountains, China	Asia	Department of Geography, University of North Carolina at Chapel Hill	Assistant Professor of Geography
8	Claudia Capitani	Taita Hills, Jimma Highlands, Kenya	Africa	Department of Environment and Geography, University of York	Research fellow
9	Julia Klein	Nyenchentanglha Mountains, Tibet Autonomous Region, China	Asia	Department of Ecosystem Science and Sustainability, Colorado State University	Associate Professor, Principal Investigator
10	Robin Reid	Kilimanjaro, Tanzania / Rockies, Colorado, USA	Africa/ North America	Center for Collaborative Conservation, Colorado State University	Director, Principal Investigator
11	Catherine Tucker	Sierra Madre de Oaxaca, Mexico / Santa Barbara Mountains, Honduras	Central America	Department of Anthropology and Center for Latin American Studies, University of Florida	Associate Professor in Anthropology, Principal Investigator
12	Jessica Thorn	Alps, Switzerland/ Taita Hills, Kenya	Europe/ Asia	Department of Ecosystem Science and Sustainability, Colorado State University	Postdoctoral research fellow
13	Cara Elizabeth Steger	Guassa Plateau, Ethiopia	Africa	Department of Ecosystem Science and Sustainability, Colorado State University	PhD candidate
14	Adrienne Grêt-Regamey	Alps, Switzerland	Europe	Planning Landscapes and Urban Systems, ETH Zurich	Professor
15	Marty Anderies	Hindu-Kush Himalayas, Nepal / Luang Prabang Mountains, Thailand / Daba Mountains and Yungui Plateau, China	Asia	School of Human Evolution and Social Change, Arizona State University	Professor and Graduate Director
16	Edmund Mabhuye	Eastern Arc Mountains, Tanzania	Africa	Institute of Resource Assessment, University of Dar es Salaam	Director
17	Christopher Liam Cosgrove	Wrangell St Elias Mountain Range, Alaska, USA	North America	Mountain Hydro-climatology Group, Oregon State University	PhD Candidate
18	Bryan Mark	Tropical Andes, Peru	North America	Geography and Byrd Polar and Climate Research Centre, Ohio State University	Professor
19	Tom Spies	Cascades and Coast Range, Oregon, USA	North America	USDA Forest Service/Oregon State University	Senior Scientist/Courtesy Faculty
20	Dave Conklin	Cascades, Oregon, USA	North America	Freshwater Simulations, Conklin Biology Institute, Oregon State University	Consultant
21	Anne Nolin	Cascades, Oregon, USA	North America	College of Earth, Ocean, and Atmospheric Sciences, Oregon State University	Professor, Principal Investigator
22	Thea Weiss Hayes	Cascades, Oregon, USA	North America	Portland Public Schools	Retired Science Teacher (Plus Health, Math, Reading, Social Studies, Electives)
23	Jamie Ramage	Cascades, Oregon, USA	North America	Oregon Department of Education	Science Education Specialist

## Appendix 2. Search term test string preliminary scoping in Web of Science

No.	Web of Science (WOS) Test string results	Search results	Date
1	("scenario analy*" OR "scenario develop*" OR "scenario planning" OR "scenario") AND TOPIC: ("mountain*") AND TOPIC: ("participat*model*") OR TOPIC: ("socio-ecological system") OR TOPIC: ("transdisciplinary" OR "interdisciplinary" OR "multidisciplinary") OR TITLE:("stakeholder") - Refined by: TOPIC: ("climate change")	2092	August 13, 2017
2	scenario analy* OR "scenario develop*" OR "scenario planning" OR "scenario"	181012	August 13, 2017
3	("scenario analy*" OR "scenario develop*" OR "scenario planning" OR "scenario") AND TOPIC: ("mountain*")	1629	August 13, 2017
4	("scenario analy*" OR "scenario develop*" OR "scenario planning" OR "scenario") AND TOPIC: ("mountain*") Refined by: TOPIC: ("socio-ecological")	4	August 13, 2017
5	("scenario analy*" OR "scenario develop*" OR "scenario planning" OR "scenario") AND TOPIC: ("mountain*") AND TOPIC: ("participat*model*") OR TOPIC: ("socio-ecological system") OR TOPIC: ("transdisciplinary" OR "interdisciplinary" OR "multidisciplinary") OR TITLE:("stakeholder")	130944	August 13, 2017
6	("scenario analy*" OR "scenario develop*" OR "scenario planning" OR "scenario") AND TOPIC: ("mountain*") AND TOPIC: ("participat*model*" OR "model" OR "socio-ecological")	797	August 13, 2017
7	("scenario analy*" OR "scenario develop*" OR "scenario planning" OR "scenario") AND TOPIC: ("mountain*") AND TOPIC: ("participat*model*" OR "model" OR "socio-ecological") AND TOPIC: ("case" OR "place-based" OR "landscape")	201	August 13, 2017
8	("scenario analy*" OR "scenario develop*" OR "scenario planning" OR "scenario") AND TOPIC: ("mountain*") AND TOPIC: ("participat*model*" OR "model" OR "socio-ecological") AND TOPIC: ("case" OR "place-based" OR "landscape" OR "land use")	263	August 13, 2017
9	("scenario analy*" OR "scenario develop*" OR "scenario planning" OR "scenario") AND TOPIC: ("mountain*") AND TOPIC: ("participat*model*" OR "model" OR "socio-ecological") AND TOPIC: ("case" OR "place-based" OR "landscape") AND TOPIC: ("climate change" OR "ecosystem servic*" OR "land use")	120	August 13, 2017
10	("scenario analy*" OR "scenario develop*" OR "scenario planning" OR "scenario") AND TOPIC: ("mountain*") AND TOPIC: ("participat*model*" OR "model" OR "socio-ecological") AND TOPIC: ("case" OR "place-based" OR "landscape" OR "land use") AND TOPIC: ("climate change" OR "ecosystem servic*")	132	August 13, 2017
11	("scenario analy*" OR "scenario develop*" OR "scenario planning" OR "scenario") AND TOPIC: ("mountain*") AND TOPIC: ("participat*model*" OR "model" OR "socio-ecological") AND TOPIC: ("climate change" OR "ecosystem servic*")	400	August 13, 2017
12	("scenario analy*" OR "scenario develop*" OR "scenario planning" OR "scenario") AND TOPIC: ("mountain*") AND TOPIC: ("participat*model*" OR "model" OR "socio-ecological") AND TOPIC: ("climate change" OR "ecosystem servic*") AND TOPIC: ("case" OR "landuse")	49	August 13, 2017
13	("scenario analy*" OR "scenario develop*" OR "scenario planning" OR "scenario") AND TOPIC: ("mountain*") AND TOPIC: ("participat*model*" OR "model" OR "socio-ecological") AND TOPIC: ("climate change" OR "ecosystem servic*") AND TOPIC: ("case" OR "landuse" OR "place" OR "landscape")	99	August 13, 2017
14	("scenario analy*" OR "scenario develop*" OR "scenario planning" OR "scenario") AND TOPIC: ("mountain*") AND TOPIC: ("participat*model*" OR "model" OR "socio-ecological") AND TOPIC: ("climate change" OR "ecosystem servic*") AND TOPIC: ("case" OR "landuse" OR "place" OR "landscape") AND TITLE: ("resilience" OR "adaptation")	2	August 13, 2017
15	TOPIC:("scenario analy*" OR "scenario develop*" OR "scenario plan*" OR "scenario") AND TOPIC: ("mountain*") AND TOPIC: ("participat*" OR "collaborat*") AND TOPIC: ("socio-ecologic*" OR "social-ecologic*" OR "coupled human-natural" OR "human-enviro*") AND TOPIC: ("case" OR "place-based" OR "landscape")	4	September 5, 2017
16	TOPIC:("scenario analy*" OR "scenario develop*" OR "scenario plan*" OR "scenario") AND TOPIC: ("mountain*") AND TOPIC: ("participat*" OR "collaborat*") AND TOPIC: ("socio-ecologic*" OR "social-ecologic*" OR "coupled human-natural" OR "human-enviro*") AND TOPIC: ("case" OR "place-based")	2	September 5, 2017
17	TOPIC:("scenario analy*" OR "scenario develop*" OR "scenario plan*" OR "scenario") AND TOPIC: ("mountain*") AND TOPIC: ("participat*" OR "collaborat*") AND TOPIC: ("socio-ecologic*" OR "social-ecologic*" OR "coupled human-natural" OR "human-enviro*")	5	September 5, 2017
18	TOPIC:("scenario analy*" OR "scenario develop*" OR "scenario plan*" OR "scenario") AND TOPIC: ("mountain*") AND TOPIC: ("participat*" OR "collaborat*") AND TOPIC: ("socio-ecologic*" OR "social-ecologic*" OR "human-enviro*")	5	September 5, 2017
19	TOPIC:("scenario analy*" OR "scenario develop*" OR "scenario plan*" OR "scenario") AND TOPIC: ("mountain*") AND TOPIC: ("participat*" OR "collaborat*") AND TOPIC: ("socio-ecologic*" OR "social-ecologic*" OR "human-enviro*") AND TOPIC: ("case" OR "place-based" OR "landscape")	4	September 5, 2017

20	TOPIC:("scenario analy*" OR "scenario develop*" OR "scenario plan*" OR "scenario") AND TOPIC: ("mountain*") AND TOPIC: ("participat*" OR "collaborat*") AND TOPIC: ("case" OR "place-based" OR "landscape")	25	September 5, 2017
21	TOPIC:("scenario analy*" OR "scenario develop*" OR "scenario plan*" OR "scenario") AND TOPIC: ("mountain*") AND TOPIC: ("participat*" OR "collaborat*") AND TOPIC: ("case")	14	September 5, 2017
22	TOPIC:("scenario analy*" OR "scenario develop*" OR "scenario plan*" OR "scenario") AND TOPIC: ("mountain*") AND TOPIC: ("participat*" OR "collaborat*") AND TOPIC:("landscape")	20	September 5, 2017
23	TOPIC:("scenario analy*" OR "scenario develop*" OR "scenario plan*" OR "scenario") AND TOPIC: ("mountain*") AND TOPIC: ("participat*")	31	September 5, 2017
24	TOPIC:("scenario analy*" OR "scenario develop*" OR "scenario plan*" OR "scenario") AND TOPIC: ("mountain*") AND TOPIC: ("collaborat*")	13	September 5, 2017
25	TOPIC:("scenario analy*" OR "scenario develop*" OR "scenario plan*" OR "scenario") AND TOPIC: ("mountain*") AND TOPIC: ("participat*" OR "collaborat*") AND TOPIC: ("socio-ecologic*")	1	September 5, 2017
26	TOPIC:("scenario analy*" OR "scenario develop*" OR "scenario plan*" OR "scenario") AND TOPIC: ("mountain*") AND TOPIC: ("participat*" OR "collaborat*") AND TOPIC: ("socio-ecologic*" OR "social-ecologic*" OR "coupled human-natural" OR "human-enviro*" OR "complex*")	9	September 5, 2017
27	TOPIC:("scenario analy*" OR "scenario develop*" OR "scenario planning" OR "scenario") AND TOPIC: ("mountain*")	1640	September 5, 2017
28	("scenario analy*" OR "scenario develop*" OR "scenario planning" OR "scenario") AND TOPIC: ("mountain*") AND TOPIC: ("socio-ecolog*")	4	September 5, 2017
29	TOPIC:("scenario analy*" OR "scenario develop*" OR "scenario planning" OR "scenario") AND TOPIC: ("mountain*") AND TOPIC: ("socio-ecolog*") AND TOPIC: ("case")	2	September 5, 2017
30	TOPIC:("scenario analy*" OR "scenario develop*" OR "scenario plan*" OR "scenario") AND TOPIC: ("mountain*") AND TOPIC: ("participat*" OR "collaborat*") AND TOPIC "model"	26	September 8, 2017
31	TOPIC:("scenario analy*" OR "scenario develop*" OR "scenario plan*" OR "scenario") AND TOPIC: ("mountain*") AND TOPIC: ("participat*") AND TOPIC "model:"	4	September 8, 2017
32	("scenario analy*" OR "scenario develop*" OR "scenario planning" OR "scenario") AND TOPIC: ("mountain*") AND TOPIC: ("participat*model*" OR "participat*") AND TOPIC: ("socio-ecologic*" OR "social-ecologic*" OR "coupled human-natural" OR "human-enviro*")	5,751	September 8, 2017
33	("scenario analy*" OR "scenario develop*" OR "scenario planning" OR "scenario") AND TOPIC: ("mountain*") AND TOPIC: ("participat*model*" OR "participat*") AND TOPIC: ("socio-ecologic*" OR "social-ecologic*" OR "coupled human-natural" OR "human-enviro*")	5,751	September 8, 2017
34	TOPIC:("scenario analy*" OR "scenario develop*" OR "scenario planning" OR "scenario") AND TOPIC: ("participat*") AND TOPIC: ("socio-ecological" OR "social-ecological") AND TOPIC:("case" OR "place-based" OR "landscape") AND TOPIC:("mountain")	4	September 8, 2017
35	TOPIC:("scenario analy*" OR "scenario develop*" OR "scenario planning" OR "scenario") AND TOPIC:("participat*model*" OR "model" OR "socio-ecological" OR "social-ecological") AND TOPIC: ("case" OR "place-based" OR "landscape") AND TOPIC: ("mountain")	119	September 8, 2017
36	TOPIC:("scenario analy*" OR "scenario develop*" OR "scenario planning" OR "scenario") AND TOPIC:("participat*model*" OR "model" OR "socio-ecological") AND TOPIC:("case" OR "place-based" OR "landscape") AND TOPIC:("mountain")	117	September 8, 2017
37	TOPIC:("scenario analy*" OR "scenario develop*" OR "scenario planning" OR "scenario") AND TOPIC:("participat*model*" OR "model" OR "socio-ecological") AND TOPIC:("case" OR "place-based") AND TOPIC: ("mountain")	68	September 8, 2017
38	TOPIC:("scenario analy*" OR "scenario develop*" OR "scenario planning" OR "scenario") AND TOPIC:("participat*model*" OR "model" OR "socio-ecological" OR "social-ecological" OR "human-enviro*") AND TOPIC: ("stakeholder") AND TOPIC: ("case" OR "place-based" OR "landscape") AND TOPIC:("mountain")	4	September 8, 2017
39	TOPIC:("scenario analy*" OR "scenario develop*" OR "scenario planning" OR "scenario") AND TOPIC:("participat*model*" OR "model" OR "socio-ecological" OR "social-ecological" OR "human-enviro*") AND TOPIC: ("case" OR "place-based" OR "landscape") AND TOPIC:("mountain")	120	September 5, 2017
40	TOPIC:("scenario analy*" OR "scenario develop*" OR "scenario plan*" OR "scenario") AND TOPIC: ("mountain*") AND TOPIC: ("participat*" OR "collaborat*")	43	September 5, 2017



### Appendix 3. Test library

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**Appendix 4. Records generated for specific searches.** Given the limited search capability of databases, a hierarchical approach to searching was used, converting the original string to key words (e.g., “scenario”) and topics (e.g., “mountain”). Searches were subject to the specific rules of individual databases; and variations were documented. Where the facilities were available, language limits to English were set. Where no search bar existed, websites were also hand-searched. The web addresses were correct in July 2020.

Source	Database	Results	Included at title	Included at abstract	Included at full text	Included at critical appraisal	Date downloaded	Search terms	Link
Bibliographic databases	Thomson Reuter's (formally ISI) Web of Science™ Core Collection	43	34	23	12	12	9/5/17	TOPIC:(“scenario analy*” OR “scenario develop*” OR “scenario plan*” OR “scenario”) AND TOPIC: (“mountain*”) AND TOPIC: (“participat*” OR “collaborat*”) Limiters: English language, Exclude: data set, legislation, clinical trial, patent, news	<a href="https://login.webofknowledge.com/">https://login.webofknowledge.com/</a>
	Academic Search Premier	39	16	9	1	1	9/28/17	TOPIC:(“scenario analy*” OR “scenario develop*” OR “scenario plan*” OR “scenario”) AND TOPIC: (“mountain*”) AND TOPIC: (“participat*” OR “collaborat*”) Limiters: Language: English. Sorted by relevance.	<a href="https://www.ebsco.com/products/research-databases/academic-search-premier">https://www.ebsco.com/products/research-databases/academic-search-premier</a>
	CAB Abstracts published by CAB International, 1973 - present	48	21	13	0	0	9/28/17	TOPIC:(“scenario analy*” OR “scenario develop*” OR “scenario plan*” OR “scenario”) AND TOPIC: (“mountain*”) AND TOPIC: (“participat*” OR “collaborat*”) Limiters: Language: English. Sorted by relevance.	<a href="https://www.cabdirect.org">https://www.cabdirect.org</a> via <a href="https://ovidsp.tx.ovid.com">ovidsp.tx.ovid.com</a>
	AGRICOLA Agricultural Research Database	8	1	1	1	1	9/28/17	TOPIC:(“scenario analy*” OR “scenario develop*” OR “scenario plan*” OR “scenario”) AND TOPIC: (“mountain*”) AND TOPIC: (“participat*” OR “collaborat*”) Limiters: Language: English. Sorted by relevance.	<a href="https://www.ebsco.com/products/research-databases/agricola">https://www.ebsco.com/products/research-databases/agricola</a>
	Social Sciences Full Text (H. W. Wilson)	3	1	1	0	0	9/28/17	TOPIC:(“scenario analy*” OR “scenario develop*” OR “scenario plan*” OR “scenario”) AND TOPIC: (“mountain*”) AND TOPIC: (“participat*” OR “collaborat*”) Limiters: Language: English. Sorted by relevance.	<a href="https://www.ebsco.com/products/research-databases/social-sciences-full-text">https://www.ebsco.com/products/research-databases/social-sciences-full-text</a>
Key journals	Mountain Research and Development	852	8	6	2	2	10/1/17	Hand searched	<a href="http://www.bioone.org/loi/mred">http://www.bioone.org/loi/mred</a>
	Earth's Future	69	12	0	0	0	10/2/17	Scenario AND mountain AND participatory (search terms searched separately)	<a href="https://agupubs.onlinelibrary.wiley.com/journal/23284277">https://agupubs.onlinelibrary.wiley.com/journal/23284277</a>
	Environmental Modeling and Software	32	23	8	1	1	10/2/17	scenario* AND mountain* AND participatory, sorted by relevance	<a href="https://www.journals.elsevier.com/environmental-modelling-and-software">https://www.journals.elsevier.com/environmental-modelling-and-software</a>
	Ecology and Society	37	29	15	1	1	10/15/17	"participatory scenario" [Select: search article topic; match all of these words]	<a href="https://www.ecologyandsociety.org">https://www.ecologyandsociety.org</a>
10		8	4	1	1	10/15/17	Special issue on Landscape Scenarios and Multifunctionality: Making Land Use Impact Assessment Operational		
Targeted searches	Mountain Sentinels Collaborative Network	21	15	15	10	10	4/22/17	Open call	Not applicable

Source	Database	Results	Included at title	Included at abstract	Included at full text	Included at critical appraisal	Date downloaded	Search terms	Link
Websites of specialist organizations and online databases	The Mountain Institute (TMI)	10	8	3	0	0	10/9/17	About us tab -> publications -> search in English under Glaciers, Himalayan Program and Andes Program	<a href="http://mountain.org/publications/">http://mountain.org/publications/</a>
	Mountain Research Initiative (MRI)	15	14	0	0	0	10/9/17	resources tab -> publications	<a href="https://www.mountainresearchinitiative.org/resources-opportunities">https://www.mountainresearchinitiative.org/resources-opportunities</a>
	United Nations Environment Program (UNEP)	13	11	8	0	0	10/10/17	UNEP Knowledge Repository -> Publications Search -> scenario, mountain. Filter by English	<a href="http://www.unep.org/publications/">http://www.unep.org/publications/</a>
	Interdisciplinary Mountain Research	19	2	0	0	0	10/10/17	Search: scenario* OR participat*. All words, Articles. Searched articles within projects retrieved from search.	<a href="http://www.mountainresearch.at/index.php/en/projects">http://www.mountainresearch.at/index.php/en/projects</a>
	International Centre for Integrated Mountain Development (ICIMOD)	14	9	3	0	0	9/28/17	"scenario analy*" OR "scenario develop*" OR "scenario plan*" OR "scenario" AND "mountain*" AND "participat*" OR "collaborat*"	<a href="https://lib.icimod.org">https://lib.icimod.org</a>
	Stockholm Resilience Centre	59	20	10	2	2	10/11/17	Mountain + socio-ecological + scenario	<a href="http://www.stockholmresilience.org/publications.html">http://www.stockholmresilience.org/publications.html</a>
	Social-Ecological Systems (SES) Library	14	11	2	0	0	10/11/17	Quick start: "scenario"	<a href="https://seslibrary.asu.edu/">https://seslibrary.asu.edu/</a>
	International Commission for the Protection of the Alps (CIPRA)	100	25	6	0	0	10/11/17	Hand searched first 100 articles	<a href="http://www.cipra.org/en/publications">http://www.cipra.org/en/publications</a>
	EURAC Research	48	15	8	2	2	10/11/17	Institute: All; Keyword: mountain, scenario	<a href="http://www.eurac.edu/en/research/Publications/Pages/default.aspx">http://www.eurac.edu/en/research/Publications/Pages/default.aspx</a>
	Ecosystem Services and Poverty Alleviation	280	21	6	0	0	10/23/17	Search: "scenario". Included only articles screened at Abstract stage.	<a href="http://www.espa.ac.uk/">http://www.espa.ac.uk/</a>
	Valuing Arc	2	1	1	0	0	11/8/17	Hand searched	<a href="http://www.valuingthearc.org">http://www.valuingthearc.org</a> currently <a href="https://eprints.soton.ac.uk/372347/1/VtAspecialissue.pdf">https://eprints.soton.ac.uk/372347/1/VtAspecialissue.pdf</a>
The Mountain Partnership of the UNFAO	29	23	6	0	0	10/13/17	Publications tab -> Mountain Partnership Key Publications, Member publications	<a href="http://www.fao.org/mountain-partnership/publications/en/">http://www.fao.org/mountain-partnership/publications/en/</a>	
Natural Capital Project	18	11	5	1	0	11/8/17	Publication library -> Search: "scenario", "mountain", All Publications	<a href="https://naturalcapitalproject.stanford.edu/publications">https://naturalcapitalproject.stanford.edu/publications</a>	
Mountain Sentinels	6	6	0	0	0	11/8/17	Publication library -> Search: "scenario", "mountain", All Publications	<a href="https://mountainsentinel.org/">https://mountainsentinel.org/</a>	
Online search	Google Scholar	200	133	45	10	9	9/27/17	Advanced search with results organized by relevance: scenario, mountain, participate*, social-ecological. Limiters: Exclude patents, exclude citations. Sorted by relevance. Searched first 200 results out of 4330.	<a href="https://scholar.google.com/">https://scholar.google.com/</a>
<b>TOTAL</b>		<b>1989</b>	<b>479</b>	<b>198</b>	<b>44</b>	<b>42</b>			

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**Appendix 5. Master database**

*[Please click here to download file 'appendix5.xlsx'.](#)*

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**Appendix 6. Codebook**

*[Please click here to download file 'appendix6.xlsx'.](#)*

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**Appendix 7. List of case studies analyzed.** NTFP: Non-timber forest products. Land use categories are based on Klein et al. 2019b.

No.	Location	Primary economic activity (s)	Primary land use (s)	Baseline year	Target year	Reference
1	Norway, France, Switzerland, Belgium, Germany, Austria, Portugal, Spain, Balkans, Turkey, Greece, Ukraine, Czech Republic, Poland, UK, Ireland: Alps, Carpathian Mountains, Apennines, Pyrenees, Iberian mountains, Nordic mountains, The Caucasus	Service sector (trade and tourism), Agricultural	Crops, Agropastoral, Tourism/recreation	2005	2050	Sarkki et al. 2017
2	Romania: Iezer Mountains of the Southern Carpathians	Forestry	Timber/logging	2012	Unspecified	Bogdan et al. 2016
3	Italy: Carnian and Julian Alps	Private sector or resources industries, Service sector (trade and tourism)	Agropastoral	2013	2035	Malek and Boerboom 2015
4	France: Central French Alps	Service sector (trade and tourism), Agricultural	Pastoral, Agropastoral	2003	2050	Lamarque et al. 2013
5	UK: Peak District	Agriculture, Tourism, Hunting	Agropastoral, Tourism	2009	2030	Reed et al. 2013
6	Slovakia: Carpathian Mountains, Slovensky Raj National Park	Tourism, Forestry	Timber/logging, Tourism	2006	2030	Bizikova et al. 2012
7	France: Pic Saint-Loup Mountain	Pastoral	Pastoral	2008	2040	Griffo et al. 2011
8	Greece: Pindos Mountains	Service sector (trade and tourism), Agricultural	Agropastoral, Tourism	2010	2035	Tzanopoulos et al. 2011
9	Nepal: Himalayas	Service sector (trade and tourism), Agricultural	Tourism/recreation	2007	2032	Daconto and Sherpa 2010
10	Switzerland: Oberhalbstein Alps	Service sector (trade and tourism), Agricultural	Crops, Agropastoral, Tourism/recreation, Residential	2005	2030	Soliva and Hunziker 2009
11	Cairngorms (Scotland), Causse Mejan (France), Eastern Jotunheimen (Norway), Surses valley (Switzerland), Zagori (Greece), Poloniny National Park (Slovakia)	Private sector or resources industries, Service sector (trade and tourism), Agricultural, administration	NTFPs and crops, Timber/logging, Tourism	2003	2060	Soliva et al. 2008
12	Switzerland: Swiss Alps	Service sector (trade and tourism), Agricultural	Crops, Tourism/recreation	2000	2050	Walz et al. 2007
13	Thailand: Doi Tung Mountains	Subsistence economy	Crops	2002	2007	Barnaud et al. 2007
14	Spain: Basque mountains/Pyrenees mountain range	Service sector (trade and tourism), Subsistence economy	NTFPs, Timber/Logging, Tourism/ recreation,	2015	2050	Palacios-Agundez et al. 2015
15	Sweden: Fennoscandian Mountains	Private sector or resources industries	Timber/logging, Recreation	2014	2044	Carlsson et al. 2015
16	Spain: Montes Universales	Agricultural	Pastoral	2010	2030	Oteros-Rozas et al. 2013
17	USA: Rocky Mountains	Service sector	Tourism/recreation, Timber/logging	2010	2030	Wyborn et al. 2015
18	Germany: Swabian Alb	Private sector or resources industries, Service sector (trade and tourism)	Agropastoral	2011	2040	Plieningen et al. 2013
19	Portugal: Peneda-Gerês	Private sector or resources industries	Timber/Logging, Tourism	2008	2050	Carvalho-Ribeiro et al. 2010
20	Austria: Tyrolean Alps	Service sector (trade and tourism), Agricultural	Pastoral, Tourism/recreation	2015	2050	Kohler et al. 2017
21	USA: Rocky Mountains	Service sector (trade and tourism), Agricultural, Subsistence economy	Pastoral, Timber/Logging, Tourism/ recreation	2015	2035	Murphy et al. 2016



22	Australian Alps	Private sector or resources industries, Service sector (trade and tourism)	Pastoral, Timber/Logging and Tourism/ recreation	2013	2030	Mitchell et al. 2015
23	China: Liupan Mountains	Subsistence economy	Crops	2005	2020	Zhen et al. 2014
24	LAO PDR: Luang Prabang Mountain Range	Service sector (trade and tourism), Agricultural	NTFPs and crops, Pastoral	2010	NA	Bourgoin and Castella 2011
25	Thailand, Vietnam, China, Cambodia, Laos, Malaysia, Myanmar	Service sector (trade and tourism), Agricultural, Subsistence economy	Agropastoral, Timber/Logging, Tourism	2000	2050	Lebel 2006
26	France: Massif Central Range	Private sector or resources industries, Agricultural	Agropastoral	2003	2023	Simon and Etienne 2010
27	Hindu-Kush Himalayan region (India, Pakistan, Afghanistan, Nepal, Bhutan, China, Myanmar)	Semi-subsistence agricultural economy, Private sector or resources industries, Service sector (trade and tourism)	NTFPs and crops, Pastoral, Agropastoral	2015	2080	Roy et al. 2019
28	Tanzania: Eastern Arc/ Rift Mountains	Semi-subsistence agricultural economy, Reduced Emissions from Deforestation and Degradation (REDD+), illegal timber harvesting, or mineral extraction, large scale investors	Agropastoral	2010	2045	Capitani et al. 2016
29	Tanzania: Rufugi Basin	Smallholder agriculture	Agropastoral, NTFPs	2010	2060	UDSM IRA et al. 2016
30	Mongolian Plateau	Communal pastoralism, mining, industrial sector, agricultural livelihoods	Pastoral	2014	2050	Allington et al. 2018
31	Switzerland: Pennine Alps	Service sector (trade and tourism)	Tourism, Timber/ logging, Crops, Residential	2010	2050	Brand et al. 2013
32	Switzerland: Swiss Alps and Jura Mountain Range	Service sector (trade and tourism)	Crops, Agropastoral, Tourism/recreation, Residential	2010	2050	Walz et al. 2014
33	South Africa: Ukhahlamba Drakensberg (Upper Thukela)	Agricultural, Subsistence economy, Large scale commercial and smallholder farming	Crops, Agropastoral	2010	2030	Malinga et al. 2013
34	Tanzania: South Pare Mountains, Eastern Arc Mountains	Subsistence economy	Cropland	2005	2030	Enfors et al. 2008
35	Cairngorms Mountain Range (Scotland), Stubai Alps (Austria), Mountain Alinyà (Spain, Northeastern) Bavarian Alps (Germany), Trentino Mountains (Italy), Glarus Alps (Switzerland), Pořana Mountain Range (Slovakia) Nové Hradý Mountains (Czech Republic)	Private sector or resources industries, Service sector (trade and tourism), Agricultural	NTFPs, Agropastoral, Timber/ logging, Tourism/recreation	2006	2026	Bayfield et al. 2008
36	Austria: Stubai Alps	Agriculture, Tourism	Agropastoral, Tourism/recreation	2003	2020	Tappeiner et al. 2008
37	USA: Adirondack	Private sector or resources industries	Timber/ logging, Residential	2014	2050	McBride et al. 2017
38	Austrian Alps	Private sector or resources industries, Service sector (trade and tourism)	Timber/ logging, Tourism/ recreation	2009	2030	Loibl and Walz 2010
39	Mongolian Plateau	Communal pastoralism, mining, industrial sector, Agricultural	Pastoral	2014	2050	Allington et al. 2018
40	Australian Alps	Private sector or resources industries, Service sector (trade and tourism)	Pastoral, Tourism/ recreation	2013	2030	Mitchell et al. 2015
41	USA: Cascades	Private sector or resources industries, Agricultural	Agropastoral, Residential	2010	2100	Jaeger et al. 2017
42	Tanzania: Eastern Arc Mountains	Private sector or resources industries, Agricultural	NTFP, Agropastoral, Agroforestry, Timber/ logging	2011	2025	Fisher et al. 2011

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## Appendix 9. Results from coded variables

### 0. Bibliographic coverage

Publication type	No.	% of case studies
Journals	40	95.2
Reports	1	2.4
Unpublished manuscripts	1	2.4
<b>Publication or journal title</b>		
Ecology and Society	10	23.8
Land Use Policy	4	9.5
Mountain Research and Development	4	9.5
Ecosystems	2	4.8
Journal of Environmental Management	2	4.8
Landscape and Urban Planning	2	4.8
Environmental Modeling and Software	1	2.4
Environmental Science and Policy	1	2.4
Forest Policy and Economics	1	2.4
Human Organization	1	2.4
ICIMOD	1	2.4
iForest-Biogeosciences and Forestry	1	2.4
Journal of Rural Studies	1	2.4
Procedia Environmental Sciences	1	2.4
Proceedings of the National Academy of Sciences of the USA	1	2.4
Progress in Physical Geography	1	2.4
Regional Environmental Change	1	2.4
Simulation and Gaming	1	2.4
Small-scale Forestry	1	2.4
Sustainability Science	1	2.4
Technological Forecasting and Social Change	1	2.4
The Rangeland Journal	1	2.4
USAID	1	2.4
Virtual Reality	1	2.4
<b>Publisher</b>		
Resilience Alliance	9	21.4
Elsevier Sci Ltd	7	16.7
Springer	5	11.9
International Mountain Society	4	9.5
Elsevier Sci BV	4	9.5
Sage Publications	1	2.4
Sage Publications Inc.	1	2.4
Science Direct	1	2.4
SISEF-Italian Society of Silviculture and Forest Ecology	1	2.4
Society for Applied Anthropology	1	2.4
ICIMOD	1	2.4



	Springer Japan KK	1	2.4
	Springer London-Ltd	1	2.4
	CSIRO	1	2.4
	USAID	1	2.4
	National Academy of Sciences	1	2.4
	Pergamon-Elsevier Science Ltd	1	2.4
<b>Open access journal articles</b>			
	No	23	57.5
	Yes	17	42.5
<b>1. Initial assessment</b>			
<b>Scenario purpose</b>			
	Understanding	33	78.57
	Decision support	19	45.24
	Prediction	13	30.95
	Learning	5	11.9
	Communication	4	9.5
<b>Goal</b>			
	Exploratory	25	59.5
	Pre-policy	10	23.8
	Both	6	14.3
	Not stated	1	2.4
<b>Function</b>			
	Process	20	47.6
	Both	13	31.0
	Product	9	21.4
<b>Method(s) of initial assessment</b>			
	Building on long-term research collaborations	18	42.9
	Key stakeholder interviews	17	40.5
	Literature review	8	19.0
	Focus groups	6	14.3
	Workshops	3	7.1
	Field visits	1	2.4
<b>Information given to participants beforehand</b>			
	Not stated	22	52.4
	Yes	18	42.9
	No	2	4.8
<b>2. Define system boundaries</b>			
<b>Publication year</b>			
	2017	6	14.3

2016	5	11.9
2015	7	16.7
2014	1	2.4
2013	6	14.3
2012	1	2.4
2011	4	9.5
2010	4	9.5
2009	1	2.4
2008	4	9.5
2007	2	4.8
2006	1	2.4

**Baseline year**

2015	4	9.5
2014	4	9.5
2013	3	7.1
2012	1	2.4
2011	2	4.8
2010	9	21.4
2009	2	4.8
2008	2	4.8
2007	1	2.4
2006	2	4.8
2005	4	9.5
2003	4	9.5
2000	2	4.8
Not stated	2	4.8

**Midterm year**

2040	1	2.4
2030	2	4.8
2025	2	4.8
2015	1	2.4
2011	1	2.4
2010	1	2.4
Not stated	34	81.0

**Target year**

2100	1	2.4
2080	1	2.4
2060	2	4.8
2050	12	28.6
2045	1	2.4
2044	1	2.4
2040	3	7.1
2035	3	7.1

2032	1	2.9
2030	9	21.4
2026	1	2.4
2025	1	2.4
2023	1	2.4
2020	2	4.8
Not stated	3	7.1

**Primary region**

Europe	23	54.8
Asia	8	19.0
Africa	5	11.9
North America	4	9.5
Oceania	2	4.8
South America	0	0.0

**Country study sites (n =127)**

Tanzania	17	13.4
Spain	9	7.1
Slovakia	7	5.5
Norway	6	4.7
Switzerland	6	4.7
Greece	5	3.9
Italy	5	3.9
UK	5	3.9
USA	5	3.9
Austria	4	3.2
Bulgaria	4	3.2
China	4	3.2
France	4	3.2
Czech Republic	3	2.4
Finland	3	2.4
Germany	3	2.4
Sweden	3	2.4
Thailand	3	2.4
Ukraine	3	2.4
Slovenia	2	1.6
Australia	2	1.6
Laos	2	1.6
Myanmar	2	1.6
Nepal	2	1.6
Portugal	2	1.6
Romania	2	1.6
Russia	2	1.6
Afghanistan	1	0.8
Bangladesh	1	0.8
Bhutan	1	0.8

	Cambodia	1	0.8
	Iceland	1	0.8
	India	1	0.8
	Ireland	1	0.8
	Mongolia	1	0.8
	Pakistan	1	0.8
	Serbia	1	0.8
	South Africa	1	0.8
	Vietnam	1	0.8
	Landscape delineated by watershed	11	26.2
<b>Spatial scale</b>			
	Regional	9	21.4
	Multi-scale	8	19.0
	Farm, village or community	6	14.3
	International	5	11.9
	District	4	9.5
	National	4	9.5
	Landscape delineated by terrestrial area (e.g., national park)	3	7.1
<b>Define geographic boundaries</b>			
	Determined by political/administrative units (e.g., district)	19	45.2
	Determined by natural features (e.g., forest, mountain)	12	28.6
	Determined by both	9	21.4
	Determined by neither - selected for research	2	4.8
<b>Elevation minimum (meters above sea level – m.a.s.l. defined by study or stated mountain range)</b>			
	2500-2999	1	2.6
	2000-2499	1	2.6
	1500-1999	3	7.7
	1000-1499	4	10.3
	500-999	16	41.0
	0-499	14	35.9
<b>Elevation maximum (m a.s.l.)</b>			
	8000-8999	2	4.4
	7000-7999	0	0.0
	6000-6999	0	0.0
	5000-5999	5	11.1
	4000-4999	4	8.9
	3000-3999	7	15.6
	2000-2999	13	28.9
	1000-1999	7	15.6
	0-999	7	15.6

<b>Climate</b>		
	Temperate	22 52.4
	Dry land or semi-arid	8 19.0
	Tropical or sub-tropical	7 16.7
	Multiple	6 14.3
	Alpine, inner-alpine, cold continental or subarctic climate	5 11.9
<b>Biome(s)</b>		
	Grasslands, shrub lands, savannah	21 50.0
	Forested protected	14 33.3
	Various	12 28.6
	Forested unprotected	11 26.2
	Peri-urban or urban	5 11.9
	Tundra	3 7.1
<b>Land use(s)</b>		
	Tourism / recreation	21 50.0
	Agropastoral	18 42.9
	Timber / logging	14 33.3
	Pastoral	11 26.2
	Crops	9 21.4
	Non-timber forest products	7 16.7
	Residential (incl. business)	4 9.5
<b>Main livelihood(s)</b>		
	Small scale and commercial agriculture	24 57.1
	Service sector (incl. trade and tourism)	23 54.8
	Private sector or resources industries	21 50.0
	Pastoralism	3 7.1
	Administration	1 2.4
<b>Socio-demographic profile</b>		
	Stated	34 81.0
	Not stated	8 19.0
<b>Main subject</b>		
	Issue-based	31 73.8
	Area-based	6 14.3
	Institution-based	3 7.1
	Institution- and area-based	1 2.4
	Issue- and area-based	1 2.4
<b>Main theme</b>		
	Governance arrangement change, policies presses/pulses	42 100.0
	Land use change	39 92.9
	Markets, income and employment	39 92.9



Maintenance of cultural and/or biological diversity	34	81.0
Biodiversity loss	33	78.6
Demographic change (in/outmigration)	33	78.6
Tourism and recreation	31	73.8
Technological or infrastructure change (incl. transportation)	30	71.4
Climate change	28	66.7
Freshwater use	27	64.3
Land tenure change	23	54.8
Food security	20	47.6
Education	19	45.3
Energy	18	42.9
Timber	18	42.9
Forage	17	40.5
Natural hazards (incl. landslide/avalanche/floods)	17	40.5
Fire	15	35.7
Biological invasions and pest outbreaks	14	33.3
Social equity and voice	11	26.2
Healthcare	10	23.8
Minerals	8	19.1
Chemical pollution	6	14.3
Glacier melt	6	14.3
Non-timber forest products	3	7.1
Global P and N cycles	2	4.8
Gender equality	2	4.8
Ocean acidification	0	0.0
Stratospheric ozone depletion	0	0.0
Atmospheric aerosol loading	0	0.0
Sanitation	0	0.0
Permafrost thaw	0	0.0
Medicinal resources	0	0.0

### Number of participants

Not reported	14	33.3
120-240	3	7.1
71-80	3	7.1
61-70	2	4.8
51-60	3	7.1
41-50	0	0.0
31-40	1	2.4
21-30	4	9.5
11-20	11	26.2
<10	0	0.0

### Types of stakeholder(s)

Government offices	24	57.1
Resource users	22	52.4

Conservation groups, park authorities or NGOs	20	47.6
Private sector	13	31.0
Municipal councils, community or indigenous organizations	14	33.3
Research institutes	9	21.4
Not stated	5	11.9
Bilateral or multilateral institutions	0	0

**Diversity of stakeholders (i.e., number of types)**

One	5	11.9
Two	8	19.0
Three	10	23.8
Four	6	14.3
Five	4	9.5
Six	1	2.4
Seven	1	2.4
Eight	1	2.4
Nine	0	0.0
Ten	1	2.4
Not stated	5	11.9

**Duration of stakeholder engagement**

> 1 year	20	47.6
1 - 4 years	10	23.8
Not stated	9	21.4
< 1 year	2	4.8
> 10 years	1	2.4

**Scenario process embedded into a larger research program**

Yes	17	40.5
Not stated	14	33.3
No	11	26.2

**3. Envision futures**

See forthcoming publication

**4. Identify drivers of change**

**Method(s) of data collection**

Workshops	14	33.3
In depth interviews	12	28.6
Defined in the project scope	11	26.2
Focus groups	9	21.4
Literature review	7	16.7
Storylines	5	11.9
Not stated	4	9.5
Surveys	3	7.1

	Field visits	1	2.4
	Role-playing games	1	2.4
	Land use mapping analysis	1	2.4
<b>Rank drivers</b>			
	No	26	61.9
	Yes	16	38.1
<b>Address synergies</b>			
	No	25	59.5
	Yes	17	40.5
<b>Address trade-offs</b>			
	No	25	59.5
	Yes	17	40.5
<b>5. Construct scenario storylines</b>			
<b>Number of scenarios created</b>			
	Four	16	38.1
	Three	13	31.0
	Two	7	16.7
	Six	3	7.1
	One	2	4.8
	Five	1	2.4
<b>Data type</b>			
	Both	20	47.6
	Qualitative	14	33.3
	Quantitative	7	16.7
	Semi-quantitative	1	2.4
<b>Forecasting or backcasting</b>			
	Forecasting	38	90.5
	Backcasting	2	4.8
	Both	2	4.8
<b>Method(s) of developing the scenarios</b>			
	Participatory stakeholder workshops	27	64.3
	Desk research incl. literature review and computer simulations	21	50.0
	Stakeholder and expert in-depth interviews	17	40.5
	Focus group discussion	10	23.8
	Building on previously existing scenarios	2	4.8
	Role-playing games	2	4.8
<b>Global, place-based or hybrid scenarios</b>			
	Place-based scenarios	24	57.1

	Both	13	31.0
Global or regional scenarios		5	11.9

### Number of workshops

None	5	11.9
One	11	26.2
Two	6	14.3
Three	10	23.8
Four	0	0.0
Five	2	4.8
Six	3	7.1
Seven	1	2.4
Eight	2	4.8
NA	3	7.1

### 6. Quantify scenarios

#### Quantify scenarios

No	35	88.3
Yes	7	16.7

#### Method of data analysis

Semi-quantitative model (e.g., criteria cluster analysis of heterogenous rank data)	17	40.5
Participant surveys	12	28.6
Geospatial Information Systems	11	26.2
Situational and narrative analysis	4	9.5
Qualitative coding	3	7.1
General linear models, Markov, stepwise discriminant analysis	3	7.1
Multi-Agent Systems	2	4.8
InVEST 3.2 scenario generator	1	2.4
Vensim software	1	2.4
Network analysis	1	2.4
Qualitative content analysis of recorded discussions	1	2.4
Economic valuation	1	2.4
Graphical timeline	1	2.4
Non-parametric tests	1	2.4
Causal loop diagram	1	2.4

#### Inform other models

Not used for other models	23	54.8
Agent-based models	7	16.7
Other models	5	11.9
Debris flow, mass balance or hydrological models	4	9.5
Bayesian models or dynamical models	3	7.1

## 7. Consistency and plausibility analysis

### Test for plausibility

No	28	66.7
Yes	14	33.3

### Test for consistency

No	34	81.0
Yes	8	19.0

### Uncertainty explicitly addressed

Agree	13	31.0
Disagree	10	23.8
Neutral	7	16.7
Strongly disagree	7	16.7
NA	3	7.1
Strongly agree	2	4.8

## 8. Co-communication of PSP process and results

### Dissemination

Yes	22	52.4
Not stated	19	45.2
No	1	2.4

### Adaptation pathways

Yes	37	88.1
No	5	11.9

### Maladaptation pathways

No	36	85.7
Yes	8	19.1

### Outreach material

Scientific publication	36	85.7
Report	12	28.6
Drawings / illustrations	8	19.0
Maps	7	16.7
Posters	3	7.1
Videos	2	4.8
Photographs	2	4.8
Recordings	1	2.4
Meetings	1	2.4

### Tools

Combination of tools	17	40.5
Knowledge representation diagrams (i.e., represent system entity, processes and interactions)	15	35.7

Spatial representation tools (e.g., hand-drawn maps, ArcGIS maps, or three-dimensional landscape visualizations)	14	33.3
Storylines / narratives	7	16.7
Simulation tools	7	16.7

### Target audience

Stakeholders involved	19	45.2
Scientific audiences	18	42.9
Not stated	10	23.8
External public incl. private sector	9	21.4
Subnational / national decision makers	2	4.8

## 9. Monitoring and evaluation of process and outcomes

<b>Monitoring</b>	No	41	97.6
	Yes	1	2.4

### Duration of monitoring

Not stated	41	97.6
One year	1	2.4

### Reason for not monitoring

Not stated	40	95.2
Time or financial constraints	2	4.9

### Evaluation

No	25	59.5
Yes	15	35.7

### Method(s) of evaluation

NA	24	57.1
Focus group discussion(s)	6	14.3
Interviews	5	11.9
Surveys	4	9.5
Not stated	4	9.5
Qualitative, self-reflexive assessment by participants	2	4.8
Expert meeting to investigate possibilities of implementation	1	2.4
Secondary information	1	2.4
Observation	1	2.4

### Reason for evaluation

NA	25	59.5
Assess usefulness of process	9	21.4
Provide feedback	5	11.9
Not stated	2	4.8
Assess social connection created	1	2.4
Determine steps going forward	1	2.4

Assess degree of learning	2.4
Assess framings, generalizations, rhetoric of paradigms	2.4

**Who defined the boundaries and scale of the research?**

Researchers	22	52.4
Researchers, literature	9	21.4
Stakeholders	6	14.3
Stakeholders, researchers	4	9.5
Stakeholders, researchers and literature	1	2.4

**Evidence of outcomes in the short-and long-term**

Yes	21	50.0
No	21	50.0

**Inform future research**

No	39	92.9
Yes	3	7.1