## Appendix

Table A1.1. Review protocol with questions answered for each reviewed article.

| Title   |
|---|
| Author  |
| Year  |
| Who Participates? (who?)                        |
| Who Participates? (why?)                        |
| In what? (What are they contributing to/Tasks?) |
| In what? (what type of futures are developed?)  |
| Who did the analysis?                           |
| Framework used?                                 |
| For whose benefit?                              |
| Typology (Pretty)                               |
| Typology (White)                                |
| Context (Country)                               |
| Context (Locality/spatial scale)                |
| Context (Theme)                                 |
| Context (Time horizon)                          |
| Scenario type                                   |
| Context (Scenario names)                        |
| Context (Aspects of the future considered)      |
| Rationale                                       |
| Conclusions                                     |
| Outcomes?                                       |
| Evaluation (what happened?)                     |

*Table A1.2.* Pretty's typology in the context of participatory future scenario development. The columns account for different steps of the research process, and distinguish stakeholders as implementors (I) and receivers (R) according to White's typology.

| Typology                                    |   | ative<br>der | Consultation<br>phase |     | ision<br>ing | Ana | lysis |   | nario<br>opment | Feedback/evaluation by<br>participants | action pl | ship of<br>an/other<br>put |
|---|---|--------------|-----------------------|-----|--------------|-----|-------|---|-----------------|--|-----------|----------------------------|
|   | - | R            | Y/N                   | - 1 | R            | - 1 | R     | 1 | R               | Y/N                                    | Ι         | R                          |
| Manipulative<br>participation               | 1 | 0            | No                    | 1   | 0            | 1   | 0     | 1 | 0               | No                                     | 1         | 0                          |
| Passive participation                       | 1 | 0            | Yes                   | 1   | 0            | 1   | 0     | 1 | 0               | No                                     | 1         | 0                          |
| Participation by<br>consultation            | 1 | 0            | Yes                   | 1   | 0            | 1   | 0     | 1 | 0               | Yes/No                                 | 1         | 0                          |
| Participation for<br>material<br>incentives | 1 | 0            | Yes                   | 1   | 0            | 1   | 0     | 1 | 0               | Yes/No                                 | 1         | 0                          |
| Functional participation                    | 1 | 0            | Yes                   | 1   | 0            | 1   | 1     | 1 | 1               | Yes/No                                 | 1         | 0                          |
| Interactive<br>participation                | 1 | 0            | Yes                   | 1   | 1            | 1   | 1     | 1 | 1               | Yes                                    | 1         | 1                          |
| Self-<br>mobilization                       | 0 | 1            | Yes                   | 0   | 1            | 0   | 1     | 0 | 1               | Yes                                    | 0         | 1                          |

| Author &<br>Year        | Total<br>number of<br>participants    | Participants<br>per<br>workshop | Stakeholders   | Workshop<br>stakeholder<br>set-up                          | Who developed the scenarios?  | Outcome(s)  |
|-------------------------|---------------------------------------|---------------------------------|--|--|---|---|
| Pfeifer et<br>al. 2020  | 124                                   | 29-33                           | Farmers, traders, local<br>leaders and administrators,<br>experts, researchers, high-<br>level stakeholders (1 <sup>st</sup> case).<br>Livestock producers,<br>butchers, dairy processor,<br>provincial and regional<br>government<br>representatives, NGOs,<br>farmers, local<br>administration and experts<br>(2 <sup>nd</sup> case)   | Mixed  | The participants  | Sustainable<br>livestock<br>intensification<br>pathways |
| Newman<br>et al. 2020   | 67                                    | 12-44                           | Community members<br>(gender and age balanced).<br>Community<br>representatives, members<br>from agricultural-, forestry-,<br>environment-, water-,<br>energy-, and tourism<br>sectors. Two NGOs.  | First<br>community<br>based, then<br>multi-<br>stakeholder | The participants  | Sustainable land<br>use pathways                        |
| Jiren et al.<br>2020    | 35                                    | 35                              | 'Local people'<br>representatives of the three<br>municipalities, district and<br>zonal levels; Bureau of<br>agriculture and natural<br>resources at or across<br>administrative levels;<br>governmental<br>organizations, NGOs, CSOs,<br>other sectors including food<br>security and biodiversity.<br>Cross-sectoral<br>organizations: groups of<br>women, men, community<br>leaders, religious leaders,<br>community cooperatives,<br>health professionals,<br>elementary school<br>teachers. | Mix (separate<br>and diverse at<br>first, then<br>joint)   | The researchers<br>developed the<br>scenarios based on<br>initial input from<br>participants, then<br>the scenarios were<br>evaluated by all<br>stakeholders, and<br>feedback was<br>incorporated to the<br>scenarios.  | Visualizations  |
| Capitani<br>et al. 2019 | 62                                    | 30-32                           | Farmers, Government<br>officers, NGO delegates,<br>members of associations<br>for women and disabled<br>people, academics, local<br>officers   | Mix  | Local stakeholders<br>develop qualitative<br>and semi-<br>quantitative<br>scenarios guided<br>by a team including<br>facilitators and<br>modellers.<br>Modellers then<br>translate this<br>information into<br>quantitative and<br>spatially explicit<br>outputs. The final<br>outputs were<br>created with<br>stakeholders'<br>validation of<br>preliminary results. | Maps  |
| Faysse et<br>al. 2018   | N/A (20-25<br>cooperative<br>members, | N/A                             | Small- and large-scale<br>farmers, representatives<br>from the Department of<br>Agriculture and marine   | Mix (separate<br>stakeholder<br>groups at<br>first, then   | Participants<br>together with<br>researchers  | Action plan   |

*Table A1.3* Overview of the participatory scenario development set-up, in terms of number and types of participants, their role in the scenario development, and the material outcome of the research process.

|   | otherwise   |  | fisheries, representaives   | joint   |   |   |
|---|---|--|---|---|---|---|
| Kebede et<br>al. 2018<br>(details in<br>Nicholls et<br>al 2017) | unspecified)<br>N/A (only<br>specified<br>that at least<br>10 experts<br>were<br>interviewed) | N/A  | from catchment agencies.<br>Experts (technical country<br>experts) and stakeholders<br>(policy/decision-makers) at<br>different stages.   | workshop)<br>Expert- led,<br>with<br>stakeholders<br>providing<br>evaluations<br>and feedback.  | Stage 1: Narratives<br>of adaptation<br>policy trajectories<br>(Expert-led), Stage<br>2: Evaluate and<br>validate (Engaging<br>stakeholders),<br>Stage 3: Revise and<br>remodel (Expert-<br>led) Stage 4: Refine<br>and finalise (Re- | Graphs                                    |
| Muhati et   | 142   | 26   | Initial interviews and<br>questionnaires: key<br>agencies in the area (Forest<br>service, Wildlife service,<br>Agricultural and livestock<br>research organization,<br>county government, water<br>resources authority,<br>national drought<br>management authority,<br>national environmental<br>management authority,<br>food for the hungry, a<br>representative of<br>conservation NGOs,<br>conservation NGOs,<br>conservancy managers.<br>Focus group discussions<br>with local population based<br>on their utilisation of the<br>forest. Questionnaires to<br>focus group participants<br>(firewood collectors,<br>farmers, honey collectors,<br>livestock herders, water<br>users, herbalists). | 40 from the<br>user groups,<br>and 12 from<br>management<br>institutions.   | engage<br>stakeholders)<br>The participants   | 4 plausible<br>scenarios, action<br>plan. |
| Olabisi et<br>al. 2018<br>Zorrilla-                             | 50  | 30<br>18 at  | Extension workers,<br>academics, representatives<br>of farmer organizations,<br>representatives from<br>development and<br>agricultural non-profit<br>organizations, private<br>sector input suppliers, local<br>elected officials, traditional<br>leaders, and government<br>scientists.<br>National institutions,   | Workshop<br>participants<br>were<br>intended to<br>represent a<br>cross-section<br>of those<br>involved with<br>the<br>agricultural<br>sector<br>Mix but                            | Participants<br>developed<br>scenarios,<br>researchers coded<br>the scenarios for<br>analysis.<br>The researchers,  | Narratives<br>Maps, graphs                |
| Miras et<br>al. 2018  |   | national<br>level, 14 at<br>provincial<br>level, 24 at<br>community<br>level | provincial and district level<br>stakeholders, community<br>members (diversity in<br>gender, age, and main<br>income activity)  | divided:<br>workshops<br>divided across<br>three<br>administrative<br>levels<br>(national,<br>district,<br>community).<br>The different<br>groups never<br>had a joint<br>workshop. | narratives are<br>based on initial<br>input from<br>workshop<br>participants. Then<br>evaluated by the<br>district- and<br>national level<br>stakeholders.  |   |
| Pereira et<br>al. 2018  | 23 (+7<br>facilitators)   | 23 (+7)  | 23 key thinkers: artists,<br>scientists, change makers<br>('seed' representatives,<br>practitioners involved in<br>NGOs), and 7 facilitators  | Mix of very<br>different<br>stakeholders  | The participants<br>developed the<br>scenarios based on<br>three different pre-<br>identified 'seed-  | Narratives                                |

|                          |  |       |  |   | projects', guided<br>by instructions<br>from facilitators   |   |
|--------------------------|--|-------|--|---|---|---|
| Mshale et<br>al. 2017    | 39   | 15-28 | Government, private<br>sector, NGOs, local<br>communities  | Mix and<br>divided:<br>Forestry and<br>agricultural<br>sectors +<br>women only.   | Participants<br>developed four<br>scenarios with<br>guidance from a<br>"Participatory<br>Prospective<br>Analysis" approach.   | Narratives, Action<br>plan                                    |
| Ravikumar<br>et al. 2017 | N/A  | N/A   | At least one representative<br>each from: Local<br>communities, NGOs, private<br>firms, and multiple<br>government agencies from<br>the local to the national<br>level, including<br>environment, forestry,<br>mining, and agricultural<br>offices   | Mix, first<br>homogenous<br>groups, then<br>together.   | Researchers<br>combine the<br>factors in diverse<br>ways to present<br>four very general<br>future states of the<br>landscape that the<br>participants need<br>to flesh out and<br>describe in detail.  | Narratives, maps,<br>carbon<br>sequestration<br>computations. |
| Olabisi et<br>al. 2016   | 86   | 29    | Members of local<br>government; NGOs; farmer<br>organizations; extension<br>services and development<br>projects; media;<br>universities; CGIAR centers,<br>and private sectors.   | Mix for entire<br>3-day<br>workshop   | Participants, within<br>the already<br>determined theme<br>determined by the<br>researchers   | Narratives  |
| Capitani<br>et al. 2016  | 240 (7<br>workshops<br>with in total<br>180<br>participants)<br>synthesis<br>workshop<br>with 60<br>participants | ~ 25  | Governmental institutions,<br>private companies,<br>research institutions, and<br>civil society organizations<br>(CSOs) representing land<br>users, land managers<br>(technical and political) at<br>municipal, district, and<br>regional level, with<br>expertise in socioeconomic<br>and development sectors.<br>Local (village-level)<br>communities were<br>represented by farmers and<br>livestock-keepers<br>associations, community-<br>based natural resources<br>management and<br>conservation organizations,<br>and women's groups. | Mixed, first<br>sub-national<br>and then on<br>national level.<br>1. Mixed<br>groups across<br>administrative<br>units and<br>sectors to<br>generate<br>consensus<br>and<br>harmonize<br>visions within<br>each<br>subnational<br>unit of<br>analysis | Rankings and<br>qualitative<br>scenarios<br>developed by<br>mixed groups in<br>sub-national<br>workshops.<br>Researchers<br>modelled land use<br>and land cover<br>change based on<br>narratives<br>developed by<br>participants.<br>Participants from<br>national and sub-<br>national workshops<br>then evaluated the<br>models, maps, and<br>assumptions, and<br>researchers revised<br>the model until<br>consensus was<br>reached. | Land use and land<br>cover maps based<br>on storylines.       |
| Karlberg<br>et al. 2015  | 30   | 30    | Bureau of Agriculture,<br>Bureau of Energy and<br>Mines, Bureau of<br>Environmental Protection,<br>Land Administration and<br>Use, the Abbay Basin<br>Authority, the Ethiopian<br>Electric Power Corporation,<br>the Amhara Regional<br>Agriculture Research<br>Institute, Bahir Dar<br>University, and the<br>Organization for<br>Rehabilitation and<br>Development in Amhara.  | Mixed sectors   | Participants and<br>researchers jointly<br>developed the<br>narratvies,<br>researchers did the<br>analysis,<br>participants<br>evaluated the<br>outcome   | Graphs  |
| Lemenih<br>et al. 2014   | 70   | 34-36 | Local comunities,<br>development agents, and<br>experts of Office of<br>Agriculture at district level  | First key<br>informant<br>interviews,<br>household  | Participants<br>together with<br>researchers,<br>researchers used   | STELLA model<br>output,<br>predictions of<br>tree population  |

|                                 |                |   |  | curvova and   | the scenarios for   | and violds under   |
|---------------------------------|----------------|---|--|---|---|--|
| Forvic 1                        | No             | No  |  | surveys, and<br>focus group<br>discussions,<br>then one<br>workshop per<br>site to<br>present<br>findings and<br>develop<br>alternative<br>scenarios<br>together  | the scenarios for<br>modelling  | and yields under<br>four scenarios   |
| Farwig et<br>al. 2014           | No<br>workshop | No<br>workshop  | Local community, managing authorities, scientists  | In depth<br>knowledge<br>and<br>stakeholder<br>consultation   | The researchers   | Forest<br>management<br>scenarios  |
| Malinga et<br>al. 2013          | 34             | 22 local<br>users<br>interviewed<br>12<br>stakeholders<br>in workshop | Local governmental<br>organizations, NGOs, local<br>stakeholders (i.e. small-<br>scale farmer, large-scale<br>farmers, representatives of<br>nature reserves). Workshop<br>participants: researchers,<br>policy makers,<br>practitioners, resource<br>managers, and resource<br>users.   | Interviews<br>with local<br>users,<br>workshop<br>with regional<br>stakeholders   | Stakeholders<br>outlined scenarios<br>during workshop.<br>The researchers<br>made the scenarios<br>based on<br>stakeholder<br>outlines. Scenarios<br>were evaluated by<br>local and regional<br>stakeholders, and<br>refined. | Estimates of<br>changes in<br>ecosystem<br>services based on<br>three storylines   |
| König et<br>al. 2012            | 10             | N/A   | Local actors: stakeholders<br>and experts from regional<br>land administration and<br>research institutions.<br>Stakeholders invited for<br>scenario impact<br>assessment: regional<br>authorities, administrators<br>linked to policy guidelines<br>or implementation<br>(Regional Administration of<br>Agricultural Development,<br>South Development Office,<br>Me'denine, Tunisian Union<br>of Agriculture and Fishing,<br>Ministry of Environment<br>and Sustainable<br>Development). | Consultation<br>with local<br>actors. A<br>group of<br>stakeholders<br>invited to<br>scenario<br>assessment.  | Researchers<br>together with land<br>administration<br>experts  | Scores<br>(Assessment of<br>soil and water<br>conservation<br>measures and its<br>social,<br>environmental<br>and economic<br>impacts) |
| Van der<br>Voorn et<br>al. 2012 | N/A            | N/A   | N/A "A broad and diverse<br>range of stakeholders"   | The five steps<br>consist of: 1)<br>strategic<br>problem<br>orientation;<br>2) vision<br>development;<br>3) backcasting<br>analysis; 4)<br>elaboration,<br>assessment &<br>agenda<br>development;<br>and 5)<br>embedding of<br>results. | Unclear – likely the<br>researchers and<br>participants in joint<br>workshops   | Narratives and goals   |
| Sandker et<br>al. 2011          | 100            | No<br>workshops   | Village households, local experts  | Interviews to<br>fill data gaps<br>for the model  | The researchers   | Model output<br>(graph)  |
| McCloskey<br>et al. 2011        | N/A            | N/A   | Diverse set of stakeholders<br>with different land use<br>interests (grazing,<br>cultivation, urban<br>development)  | Four separate<br>workshops for<br>each<br>livelihood<br>strategy:   | Unclear – likely the<br>researchers and<br>participants in joint<br>workshops   | Maps   |

|                        |    |    |   | diversified<br>agro-<br>pastoralists,<br>pastoralists<br>with wildlife<br>income,<br>marginal<br>pastoralists,<br>wage-earning<br>agro-<br>pastoralists) |  |   |
|------------------------|----|----|---|--|--|---|
| Badjeck et<br>al. 2011 | 28 | 28 | 17 experts from<br>governments, non-<br>governmental organizations<br>(NG0s), research institutes<br>and universities | Grouped by<br>nationality,<br>2.5 days of<br>workshops.  | The participants,<br>with guidance from<br>research<br>framework | Narratives and<br>visualizations,<br>research and<br>development<br>needs,<br>recommendations |