



Synthesis

## Forest ecosystem-service transitions: the ecological dimensions of the forest transition

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**ABSTRACT.** New forests are expanding around the world. In many regions, regrowth rates are surpassing deforestation rates, resulting in “forest transitions,” or net gains in forest cover. Typically measured only in terms of aggregate “forest cover” change, these new forests are ecologically distinct from each other and from those originally cleared. We ask, what are the ecological attributes, goods, and services we might expect from different pathways of forest recovery? To address this question, we proposed a typology of forest transitions that reflects both their social drivers and ecological outcomes: tree plantation, spontaneous regeneration, and agroforestry transitions. Using case studies, we illustrate how the ecological outcomes of each transition type differ and change over time. We mapped the global distribution of forest-transition types to identify global epicenters of each, and found that spontaneous transitions are most common globally, especially in Latin America; agroforestry transitions predominate in Europe and Central America; and plantation transitions occur in parts of Europe and Asia. We proposed a conceptual framework to understand and compare the ecological services arising from different types of forest transitions over time: forest ecosystem-service transition curves. This framework illustrates that carbon sequestration tends to be comparatively lower in agroforestry transitions, and biodiversity recovery is lower in industrial plantations. Spontaneously regenerating forests tend to have relatively high biodiversity and biomass but provide fewer provisioning and economically valuable services. This framework captures the dynamism that we observe in forest transitions, thus illustrating that different social drivers produce different types of ecosystem-service transitions, and that as secondary forests grow, these services will change over time at rates that differ among transition types. Ultimately, this framework can guide future research, describe actual and potential changes in ecosystem services associated with different types of transitions, and promote management plans that incorporate forest cover changes with the services and benefits they provide.

**Key Words:** *biodiversity; carbon; ecosystem services; forest conservation; forest cover change; forest transition; land use change; plantations; secondary forest*

### INTRODUCTION

Even as forest area continues to decline globally, forest cover is increasing in many regions as the area of “new forests” (i.e., secondary forests, plantations, and other woody vegetation) increases (Sloan and Sayer 2015, Rudel et al. 2016). Over the past two centuries, notable forest transitions, in which a country or region moves from losing to gaining net forest cover (Fig. 1A), occurred in Western Europe and the United States (Mather 1992, Rudel et al. 2005). Driven by industrialization, agricultural intensification, and rural-to-urban migration, forest cover increased through both spontaneous forest succession on abandoned agricultural lands and tree plantations (Mather 1992, Rudel et al. 2005, Meyfroidt and Lambin 2011). More recently, forests have begun to return in many tropical countries, suggesting incipient tropical forest transitions (Rudel et al. 2002, Sloan 2008, Vaca et al. 2012, Aide et al. 2013, Sloan and Sayer 2015). These new forests are often ecologically distinct from those originally cleared (Dent and Wright 2009, Meyfroidt and Lambin 2011, Redo et al. 2012, Chazdon et al. 2016), and the ecological consequences of these tropical transitions are still poorly understood. The scale, speed, and ecological nature of nascent tropical forest transitions raise important questions about the ecological and environmental benefits they will ultimately provide.

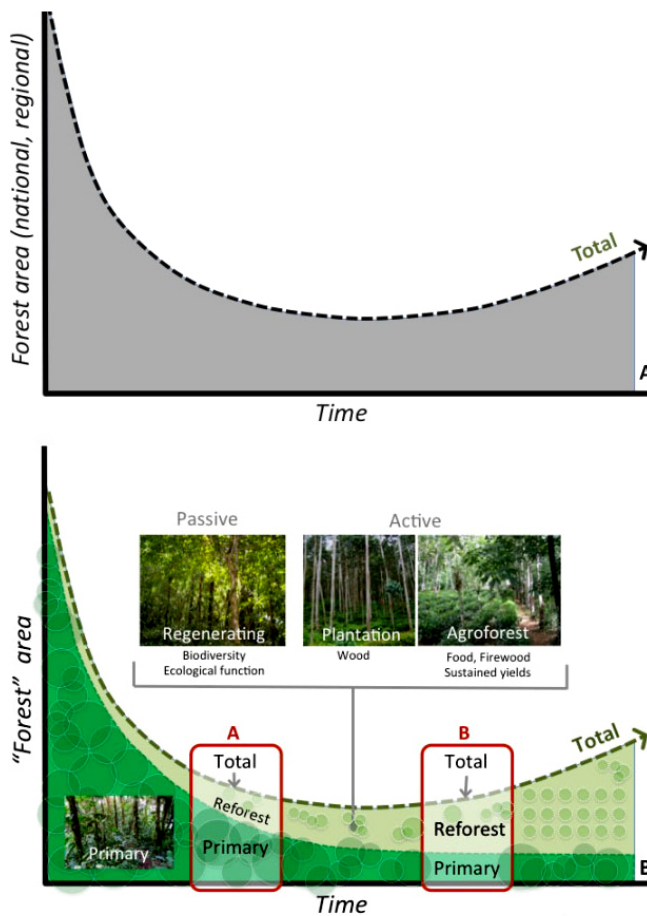
The forest transition literature measures primarily changes in undifferentiated forest area without considering the ecological characteristics of the forest (Mather 1992, Rudel et al. 2005) (Fig. 1). But from ecological and social perspectives, aggregate area of “forest” (or “tree”) cover provides a poor metric of the social and environmental benefits from expanding new forests, both in the short and long term (Chazdon et al. 2016).

Rudel et al. (2005) and Lambin and Meyfroidt (2010) identified several pathways of socio-agrarian change that can lead to forest transitions. Different pathways and drivers of forest recovery can produce different types and distributions of forest cover, which possess different ecological characteristics (e.g., biodiversity, biomass, structure, and proportions of native and non-native species) (Holl et al. 2000, Chazdon 2003, 2014, Dent and Wright 2009, Wilson and Rhemtulla 2016), geographic characteristics (e.g., regrowth on steep slopes or plantations near roads) (Dent and Wright 2009, Redo et al. 2012, Nanni and Grau 2014, Angonese and Grau 2014, Sloan 2016), and landscape attributes (e.g., connectivity, spatial relationships among secondary and remnant primary forest, habitat corridors) (Thomlinson et al. 1996, Hecht and Saatchi 2007, Angonese and Grau 2014) (Fig. 2). Different ecological attributes, configurations, and trajectories of new forests in turn produce different suites of ecosystem services (Chazdon 2008, Grau et

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al. 2008, Locatelli et al. 2015), which change over the trajectory of the forest transition. Although the forest transition literature has identified different pathways of social change that can produce forest transitions (Rudel et al. 2005, Lambin and Meyfroidt 2010), and the ecological literature has established that recovering forests provide a variety of ecosystem services that differ between forest types (Brown and Lugo 1990, Chazdon 2008, 2014, Dent and Wright 2009), to date these fields have not been systematically integrated to examine the ecological consequences of forest transitions over time.

**Fig. 1.** The forest transition. (A) The classic forest-transition curve, depicting a decrease in forest area over a given geographical area (continental, national, or regional), followed by an increase as forests return. (B) The distinction between primary and secondary forests, and the relative amounts of each at the forest-area inflection point. Note that point A represents a transition with a relatively high proportion of primary forest remaining, whereas at point B, most forests on the landscape are secondary.



We examine how variations in the type and drivers of a given forest transition correspond with ecological variations in forests and forest services over time. We address three key questions: (1) What ecological attributes, goods, and services might we expect from different pathways of forest recovery? (2) How do the

ecological characteristics of forests resulting from different forest transitions differ from the forests that previously existed, as well as from each other? (3) How common are the different forms of reforestation underlying our forest transition types globally, and how are they and the forests and services associated with them geographically distributed?

We propose a conceptual framework: “the forest ecosystem-service transition.” This framework describes the ecological attributes, goods, and services arising from different forest transition pathways, how these change over the trajectory of a forest transition, and how they differ from pre-existing forests. We support this concept with current literature on the ecosystem services arising from different types of secondary forests, and with case studies of the drivers and services arising from transitions in different ecological and social contexts. To operationalize this concept, we use existing data sets to estimate the global distribution of different forest transition types and their respective suites of ecosystem services.

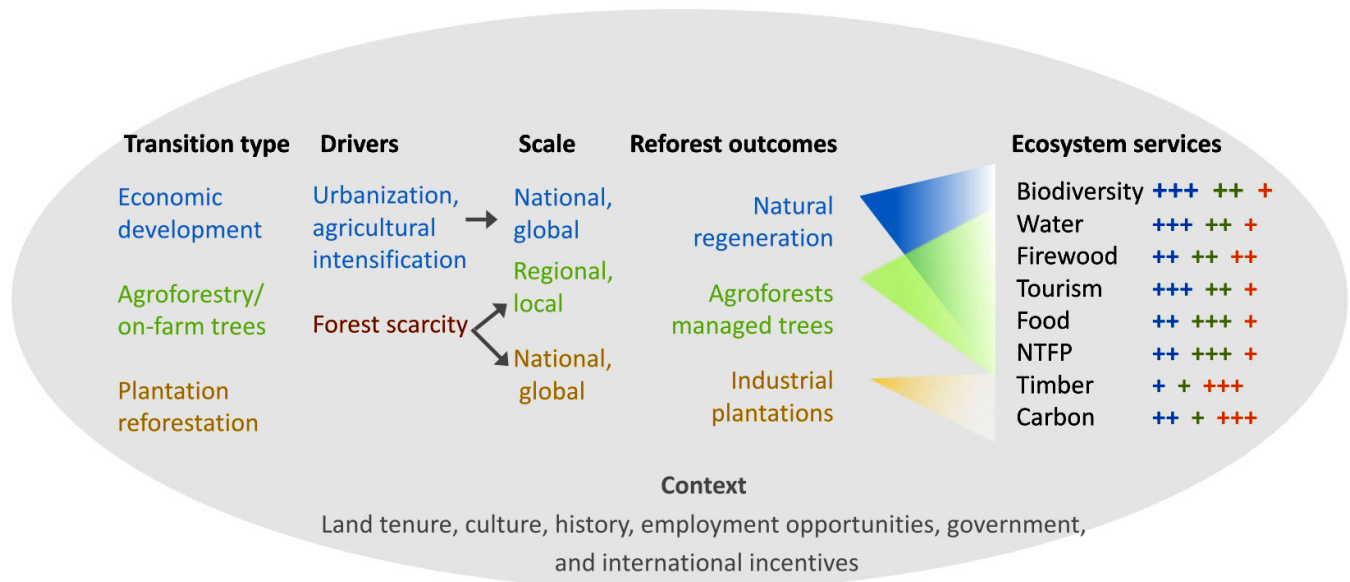
Our paper is structured as follows. The first section defines a typology of forest transitions relevant to ecological outcomes by summarizing the forest transition literature. The second section draws on the ecological literature to highlight the ecological characteristics of new forests and their associated ecosystem services. In the third section, we present four case studies of subtropical and tropical forest transitions to provide examples of different ecosystem-service transitions, how they arise, and how they change over time. In the fourth section, we synthesize the previous sections and present conceptual “forest ecosystem-service transition curves” to illustrate how the ecosystem services arising from different forest transition types converge and diverge over time. In the fifth section, we estimate the prevalence of different forest transition pathways globally and apply our ecosystem-service transition curves to describe global patterns in the ecosystem services that may result from different pathways of recovery.

By incorporating a nuanced ecological perspective into a largely social science-based forest-transition theory, our synthesis provides a framework for understanding and anticipating the ecosystem goods and services that new forests can provide over time. While at present, data are not sufficient to test our framework, we believe that our conceptual framework will help guide future research and make the forest transition theory more useful to policy and planning.

### FOREST TRANSITION TYPOLOGIES

Forest transitions are driven by changes in socio-economic conditions and cultural perceptions of remnant forests, often in concert (Mather 1992). Rudel et al. (2005) identified two main pathways by which forest transitions occur: the urban–economic development pathway, where rural to urban migration creates opportunities for new forests to spontaneously regenerate on abandoned, marginal agricultural land, and the forest scarcity pathway, where declines in forest coupled with demand for forests goods and services induce landowners and governments to plant trees. Lambin and Meyfroidt (2010) expanded this dichotomy to incorporate three contemporary forest transition pathways emerging in tropical areas: a smallholder agroforestry pathway, where smallholders plant trees to intensify land use, increase productivity, and stabilize crop yields (Rudel 2005, 2009, Tiffen

**Fig. 2.** The three forest transition pathways, their drivers, and their relative ecological outcomes. Note: The final column represents the potential amount of each service that would be expected to return during a transition, relative to the other transition types. (NTFP: nontimber forest product)



et al. 1994); a globalization pathway—a contemporary urban–economic development pathway in which rural poor seek employment and send back remittances from outside their country, potentially reducing local agricultural activities (Aide and Grau 2004, Hecht et al. 2006); and a state forest policy pathway, in which “strong states” seeking to fulfill economic and political agendas formalize land titling and conserve and plant forests to arrest soil degradation, protect watersheds, or increase the supply of forest products (Mather 2007, Meyfroidt and Lambin 2008).

Local contexts and cultures create variations in the aforementioned pathways, and as a result, the outcomes are not easily quantified. Culture clearly influences the nature of new forests and inflection points, and whether there is a forest transition at all. Despite the importance of culture—Mather (1992) suggested that changing environmental values, social movements, and policies can also drive increases in forest cover—neither Meyfroidt and Lambin (2008) nor Rudel et al. (2005) emphasize cultural drivers. But during the forest transitions observed in the United States, western Europe, and parts of Latin America, forests have also returned as they have become more valuable for cultural reasons. Cultural values, discourse, and religion may deem forests important for aesthetics, recreation, and spiritual appreciation of biodiversity and nature generally, and thus contribute to the pathways of forest recovery described by Lambin and Meyfroidt (2010). Globalization transitions have occurred as conservation ideologies have spread (Brechin and Kempton 1994, Schelhas and Pfeffer 2008); forests have returned when communities protect them for spiritual reasons, as has occurred in Himalayan forests (Lambin and Meyfroidt 2010), and a recent forest landscape restoration “movement” is well poised to produce regional transitions in the coming decade (Kull et al. 2007, Schelhas and Pfeffer 2008, Lambin and Meyfroidt 2010).

Cultural transitions, which occur even in the poorest counties (such as Nepal), partially counter the idea that forest transitions are driven mostly by economic factors and thus occur mainly in wealthier, “developed” countries (Lambin and Meyfroidt 2010).

We examine the ecological outcomes of three different forest transition pathways: economic growth, industrial plantation reforestation, and smallholder agroforestry/on-farm trees. This typology integrates the diverse social drivers of the aforementioned pathways into a typology in which different pathways are associated with distinct ecological outcomes (Fig. 1, Fig. 2). Our typology reflects the economic growth versus forest scarcity dichotomy described by Rudel et al. (2005), but it also splits their forest scarcity pathway (as per Lambin and Meyfroidt 2010) to distinguish industrial plantation reforestation (large, commercial tree plantations, generally implemented by strong states and commercial forestry interests) from smallholder agroforestry intensification (smaller scale tree planting and assisted regeneration, generally undertaken to provide local forest goods and services). We also consider the effect of cultural factors on landholders’ actions in situations of both forest scarcity and economic growth (Mather 1992, Robbins and Fraser 2003, Redman and Foster 2008) through our four case studies. We elaborate on each pathway of our social-ecological typology to illustrate how each produces different ecosystem goods and services (Fig. 2).

The economic development pathway can occur when countries or regions urbanize, agriculture modernizes, and opportunities for work and education in cities draw people off the land. As a result of both a reduction in on-farm labor capacity and the increasing availability of labor-reducing technology for agricultural production, farmers mechanize and intensify production on flatter, more fertile land, and abandon marginal

lands (Rudel et al. 2005). In some cases, forests have regenerated spontaneously on these lands (Bentley 1989, Aide and Grau 2004, Daniels 2010). Similarly, forests have regenerated when economic collapse and withdrawal of government subsidies induced farmers to abandon agricultural land, as occurred in Cuba and Eastern Europe after the fall of the Soviet Union (Kuemmerle et al. 2011, Alvarez et al. 2013).

The type of forests produced by economic-driven transitions varies with context and the surrounding forest landscape. Vegetation regenerating on abandoned land ranges from lush, productive forests where land remains fertile and seed sources are nearby (Thomlinson et al. 1996, Chazdon 2003, 2008) to grasses and shrubland where land is too degraded for regrowth without intervention (Holl et al. 2000, Wilson and Rhemtulla 2016). Regrowth in areas surrounded by intact forest tends to have more native species, higher diversity, and spatial connectivity than that in extensively cleared areas (Holl et al. 2000, Sloan et al. 2015). In peri-urban areas, new forests produced by economic growth (e.g., managed parks, plantations) sometimes resemble the “simplified” forests produced by forest scarcity transitions, and often contain relatively high proportions of non-native species. These forests can also be ephemeral, subject to clearing if land values rise (Grau et al. 2003, Padoch 2007, Padoch et al. 2008, Lugo 2009, Gutierrez and Grau 2014). Forest species composition and integrity will vary depending on whether landholders abandon lands outright or maintain a reduced or iterant presence in the landscape (Hecht and Saatchi 2007) and whether migration is long distance and thus more “permanent” or local/cyclical (Waters 1997, Schmook and Radel 2008).

If forests are perceived as scarce at the country, regional, or local/household level, forest cover may expand as people intentionally plant trees (Mather 1992, Rudel et al. 2005, Rudel 2009). Governments may subsidize plantations to produce forest goods and commodities (e.g., timber, palm oil) or to stabilize land in regions degraded by deforestation (Mather 1992, Rudel et al. 2005, Sloan 2016), thereby potentially producing industrial plantation transitions. These forests differ from natural forests but are nevertheless included in many national and global estimates of tree and forest cover (FAO 2015, Chazdon et al. 2016). State-driven reforestation is mediated by social and cultural processes and so does not necessarily reflect “objective” forest scarcity. It can also occur where prices signal perceived scarcity (as in Indonesia) or a lack of essential forest services (as in Vietnam) (Mather 2007). Similarly, at the regional level, communities and municipalities may plant trees to protect or restore ecosystem services, such as water or nontimber forest products (NTFPs) (Wilson 2015), or to supply forest products for increasing demand (e.g., fuel for the steel industry in Brazil) (Sonter et al. 2014). At both national and regional scales, the distribution of “new forests” in scarcity-driven transitions is heavily influenced by land values, and is sometimes confined to unproductive uplands that are relinquished or disused by other land users (Grau et al. 2003, Laclau 2003, Padoch et al. 2008), although market signals or local values may occasionally invert these expected “core-periphery” patterns (Foster and Rosenzweig 2003, Sloan 2016).

On a local scale, the scarcity of forest products (i.e., firewood, timber, food crops) and services (i.e., soil maintenance, erosion

control, shade) can drive smallholders to plant or protect forest cover in and around farms to enhance household production and resilience, thereby producing smallholder agroforestry transitions (Reij et al. 2005, Reij 2006, Rudel 2009, Wilson 2015). Although practiced at small scales, widespread agroforestry intensification has produced significant national-scale gains in forest cover in the African context (Reij 2006). Compared to other forms of reforestation, agroforestry tends to be labor intensive and often highly utilitarian; thus, agroforests often correlate positively with rural population density (Rudel et al. 2005, Rudel 2009).

Regardless of scale, scarcity-driven transitions (both industrial plantation and smallholder agroforestry) will usually produce human-created forests (plantations, agroforests) with different social-ecological properties from the forests that were originally cleared (Fig. 1, Fig. 2). In particular, these utilitarian forests often have a simpler structure (tree size, age, species composition) than primary forests, and contain species with high commercial value or multiple uses (Wilson and Rhemtulla 2016). This ecological simplification is generally greater for industrial plantations than for agroforests (Bhagwat et al. 2008, Chazdon et al. 2016).

Changes in culture and social processes shape the aforementioned pathways, and can also lead to forest regrowth. Forest cover may increase because people encourage or permit forests to return for recreation or spiritual reasons because they believe forests possess intrinsic value, or to conserve biodiversity. Cultural changes can be prompted by economic development (Stern 2004) combined with forest scarcity (Wilson 2015), as when, for example, a growing middle class desires forests for recreation and natural amenities (Locatelli et al. 2017). But cultural changes are also shaped and motivated by social movements and processes that operate across these paradigms. Changes in how people perceive or value forests may be realized far from their original source through globalization: the rise and global spread of environmentalism (Brechin and Kempton 1994, Schelhas and Pfeffer 2008); international initiatives (e.g., the Bonn Challenge, which aims to restore 150 million hectares of deforested land by 2020, and the UN Sustainable Development Goals) (Kull et al. 2007, Schelhas and Pfeffer 2008, Lambin and Meyfroidt 2010); tree planting cultures and associated organizations and campaigns (Brechin 1997, Cohen 2004); and social demand for forest-grown crops, such as shade coffee (Perfecto et al. 1996). Local, cultural-driven initiatives can also produce transitions when local communities install biodiverse, native forest restoration plantations (Diaz et al. 2009, Piotto et al. 2010, Potvin et al. 2011), as occurred during a forest-oriented “back to the land” sustainable production movement in Ecuador (Wilson 2015). Cultural shifts can therefore operate across other types of pathways, and produce a variety of different forest types in a range of different contexts.

#### **ECOLOGICAL OUTCOMES OF FOREST TRANSITIONS**

Forest transitions, like all land use and cover changes, have implications for biodiversity and ecosystem function. However, these are often poorly appreciated because the forest-transition literature addresses changes to overall forest cover, and ignores ecologically important characteristics such as forest age, species composition, vertical structure, or all but the most severe levels of degradation (Lund 2009, FAO 2011, Hansen et al. 2014, Putz and Romero 2014, Tropek et al. 2014, Chazdon et al. 2016, but see Kauppi et al. 2006). Different types and ages of forests are



known to support different types of species, biodiversity, biomass, and other structural and functional features (Bhagwat et al. 2008, Dent and Wright 2009, Klanderud et al. 2010, Chai and Tanner 2011, Martin et al. 2013, Putz and Romero 2014). However, forest transition theory fails to systematically account for biophysical differences in forest types and dynamics, including the amount and distribution of primary forest remaining at the inflection point, the rate and extent of forest recovery, and the types of resulting new forests (Perz 2007). The following discusses such points in relation to the ecological implications of a forest transition.

#### **Forest cover inflection points and primary forest cover**

In many regions around the world, more than half of extant forest cover is secondary (including naturally regenerating and plantations) (FAO 2015). New forests possess different ecological and social attributes from primary forests, and from each other, and ultimately provide different services and goods to both local and global users (Pascarella et al. 2000, Kanowski et al. 2005, Bhagwat et al. 2008). The ecological implications of forest transitions vary according to the degree to which primary forests were cleared or degraded, and to which deforestation and reforestation occur simultaneously. Areas of expanding and retracting forest cover also often differ in geographic location (i.e., deforestation in the lowlands and reforestation in highlands), which will affect the services provided after a transition, especially in regions with steep topographic gradients (Redo et al. 2012, Aide et al. 2013, Nanni and Grau 2014). If primary forests continue to be cleared as forests regrow, or if inflection points occur at low levels of forest cover, even large increases in forest cover may fail to sustain forest ecological value (such as native species biodiversity) compared to transitions that occur with more remaining primary forest cover (Mather 1992, Gibson et al. 2011, Dent and Wright 2009). Primary forests are important sources of native propagules for nearby secondary forests (Dent and Wright 2009, Holl et al. 2000, Chazdon 2014); without them, the biodiversity potential of secondary forests is greatly diminished (Gibson et al. 2011).

The extent to which primary forests are depleted prior to a forest transition is related partly to the drivers of the transition (Mather 1992) and the simultaneity of the drivers of deforestation and reforestation (Grainger 1995, Sloan 2008). Forest scarcity transitions are most likely when primary forests are depleted to critical levels, but can begin even as primary forests are being cleared (Grainger 1995, Mather 1992). In some cases, plantations replace primary forests (Rudel et al. 2016), as occurred with oil palm and acacia in Southeast Asia, which further degrades native forests (Chazdon et al. 2016). The extent of primary forest remaining at the time of a forest transition varied between countries in the historical European context, partially driven by demographic shifts, agricultural intensification, or other external economic forces (Mather 1992). National inflection points have occurred at increasingly greater levels of forest cover over the past two centuries (Rudel et al. 2005), possibly due to global cultural attitudes toward forest conservation, although the precise reasons are unknown (Rudel, *personal communication*).

#### **Ecosystem services from tropical secondary forests**

Tropical secondary forest can take decades or even centuries to attain the plant species diversity of primary forests (Lamb et al. 2005, Klanderud et al. 2010, Chazdon 2014). The ecological properties of the new forests diverge from each other and from

primary forests in various ways depending on ongoing use and management, past land use, landscape attributes, and species available or used, factors which vary systematically among our three forest-transition types.

#### *Spontaneously regenerating forests*

Where environmental conditions allow, forests spontaneously regenerate when other land uses (e.g., agriculture, pasture) stop, which can occur when land is abandoned or intentionally protected (Chazdon 2014). In the forest transition literature, researchers often attribute spontaneous regeneration to shifts to more intensive farming practices, which lead farmers to abandon marginal land and concentrate farming in the most fertile and/or accessible areas, or to declines in agricultural activity following a decrease in rural populations (Mather 1992, Aide and Grau 2004, Rudel et al. 2005). But forests also sometimes fail to spontaneously regenerate. Less productive areas with long histories of land use and clearing are the least likely to recover unassisted (Aide et al. 2010, Mulligan 2010, Young 2011). Deforested areas dominated by non-native, sometimes invasive, fire-prone grasses and other competitive vegetation can also retard or preclude forest recovery (Aide et al. 2010).

Spontaneously regenerating forests often rapidly accumulate above-ground biomass, and sequester large amounts of carbon, although when both above-ground and soil carbon are considered, most will still contain less biomass than a mature forest even after 80 years (Martin et al. 2013, Chazdon 2014, Poorter et al. 2016). However, the diversity and composition of plant species in spontaneously regenerating forests often remains different from primary forests for decades to centuries (Lamb et al. 2005, Dent and Wright 2009, Klanderud et al. 2010, Chai and Tanner 2011, Martin et al. 2013). Forest plant species composition and diversity vary depending on duration and intensity of past land use, the amount of remnant primary forest, and the available species pool (which may include non-native tree propagules) (Uhl et al. 1988, Aide et al. 2000, Guariguata and Ostertag 2001, Chazdon et al. 2003, Foster et al. 2003, Styger et al. 2007, Cramer et al. 2008). Spontaneously regenerating forests are also a source of timber and nontimber products (e.g., food, medicines, and firewood for many local peoples) and often contain more culturally and economically “useful” species than do local primary forests (Kirby and Potvin 2007, Wilson and Rhemtulla 2016).

#### *Industrial plantations*

In the tropics, industrial plantations are one of the most common types of planted “forest,” and are often monospecific stands of non-native species (such as teak, eucalyptus, pine, rubber, oil palm) (FAO 2010, Rudel 2009, Payn et al. 2015). Plantations of fast-growing trees can sequester large amounts of carbon at rates that are higher than natural secondary forests (Kraenzel et al. 2003). This carbon may remain sequestered if harvested wood is used for long-term construction and plantations are regenerated (Oliver et al. 2014), but plantation reforestation remains a relatively risky option for long-term carbon sequestration because of their vulnerability to pest outbreaks, wind, and fire, which release stored carbon to the atmosphere (Jactel et al. 2005, Abbas et al. 2016).

Plantation trees may be harvested in as few as 10 years, thereby quickly producing timber, fuel, or pulp, often at the expense of

other ecosystem services. Some plantation forests house highly diverse plant communities in their understories (Lugo 1997), but some also emit toxins that prevent other trees from growing. Managers also often employ techniques to minimize the understory and natural tree recruitment. In general, plantations have much simpler structures and accommodate fewer animals and plants than do native forests (Healey and Gara 2003, Kanowiski et al. 2005).

Plantation trees often grow well in harsh conditions but can also degrade soils where, for example, fast-growing commercial species (such as teak) that inhibit understory growth are established in marginal areas (Healey and Gara 2003, Zhang et al. 2010). Depending on the environment and their situation in the landscape, plantations can enhance water resources and mitigate floods, but they may also consume large quantities of water, thereby reducing stream flow and groundwater recharge (O'Loughlin and Nambiar 2001, Jackson et al. 2005). The net effects of plantations on hydrological function are both unclear and context dependant.

#### *Agroforests and on-farm trees*

On-farm trees include agroforestry systems, household plantations (typically smaller and more diverse than industrial plantations), windbreaks, and orchards, which are all designed to stabilize, diversify, or intensify agricultural or pastoral activities. Agroforestry encompasses a diverse range of systems from planted hedgerows and pasture trees to crops (such as coffee) grown in the understories of older native forest (Schroth et al. 2004). Agroforestry and other on-farm tree systems often involve planting trees or assisting natural regeneration (Schroth et al. 2004).

The amount of carbon sequestered by agroforestry systems varies dramatically with the type of system implemented. As with plantations, many agroforestry and on-farm tree systems are not designed to result in permanent forest cover but are instead grown, cleared, or thinned, and then regenerated or replanted (Schroth et al. 2004), which could compromise long-term carbon sequestration and biodiversity benefits. These systems can also provide a valuable refuge for many forest species in the landscape. Wildlife species, however, are most often not the most threatened, nor those in conflict with human population, such as large carnivores or herbivores (Montagnini and Nair 2004, Jose 2009, Power 2010, Tschardt et al. 2012). In general, agroforestry systems are more biodiverse than other types of agriculture but still contain fewer native species than primary forests, with the possible exception of regions where natural forests have relatively low biodiversity (McNeely and Schroth 2006, Schroth and Harvey 2007, Bhagwat et al. 2008, Power 2010, Phalan et al. 2011, Reji et al. 2011, Kremen and Miles 2012).

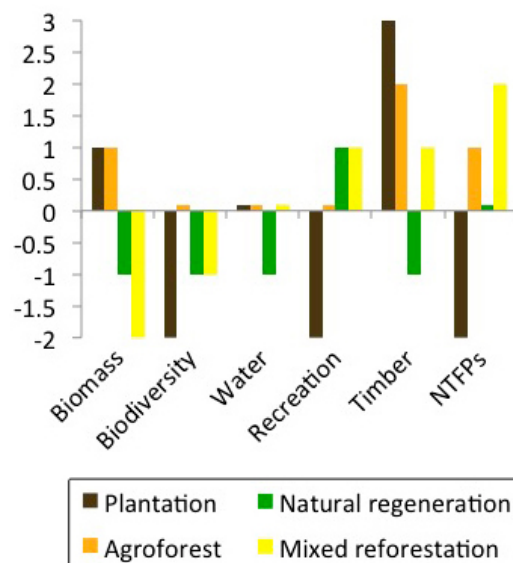
Agroforestry systems often produce food and other NTFPs in relatively high quantities compared to both native forests and plantations. Because agroforests are often implemented at a small scale, they can be strategically positioned in the landscape to maximize services; for example, in watersheds to protect water resources, in erosion-prone areas, in buffer zones alongside primary forest fragments to conserve biodiversity, and in silvopastoral systems to increase pasture productivity (Nair and Garrity 2012, Calle et al. 2013, McGroddy et al. 2015).

### CASE STUDIES OF FOREST TRANSITION TYPES

To illustrate the diversity and changes in ecosystem services produced by different types of transitions, we present case studies from around the world where forest transitions of different types have occurred (Boxes 1–4). Cases were selected that (1) describe a range of different types of transitions; (2) have published studies on the ecosystem services arising from those transitions; and (3) are in locations where our co-authors have expert knowledge and access to data on context and ecosystem services. We describe the geography and history of forest and land use in each site, and compare the services provided to a primary forest reference site (Fig. 2, Boxes 1–4). We focused on ecosystem services that are amenable to being directly compared (preferably quantitatively) and that are highly regarded by people locally and globally.

New forests resulting from different transition types provide different suites of goods and services (Fig. 3). We observed that across all forest-transition types, compared to a primary forest baseline, services that are directly useful to people (e.g., timber, NTFPs) increased, while services with less direct/obvious utility (e.g., biodiversity) were lost (Fig. 3). This is consistent with other reviews of ecological service trade-offs following reforestation in montane areas (Locatelli et al. 2017), and highlights the anthropogenic nature of emergent forest landscapes and their ecosystems (Lugo 2009). The case studies also illustrate that spatial patterns of new forests are important: in all our case studies, reforestation was concentrated in proximity to water sources or aquifers, which resulted in relatively high water provision services per unit area of forest post transition.

**Fig. 3.** The services resulting from different forest-transition types based on case studies. Each service was compared to a primary forest baseline in the country in which the transition was occurring, and was given a score from -3 to 3 based on studies that quantified or described the services found in the secondary forests in each. A negative value indicates a reduction in a given ecosystem service after the forest-area inflection point relative to provision levels of primary forest; a positive value indicates an increase in a given ecosystem service. (NTFPs: nontimber forest products)



### Box 1. Plantation forestry: Misiones, Argentina

*Geography:* Misiones is a province (c. 30,000 km<sup>2</sup>) in subtropical Argentina (24–26° S), which contains one of the largest continuous areas of Atlantic Forest, a highly diverse ecoregion.

*History:* Misiones reached the lowest point of forest cover area in the 1990s (Chevez and Hilgert 2003) when government initiatives to halt deforestation included creating a biosphere reserve and several provincial reserves.

*New forests:* New forests expanded primarily as plantations of North American pines (*Pinus elliotti* and *P. taeda*) for pulp, paper, and saw wood. Plantations, owned by large companies, were established primarily on land that was previously used for shifting agriculture and established managed landscapes, which created riparian forest belts and corridors between native forest patches. Native forest cover has since remained stable, and because selective logging has decreased in protected areas, forests are likely recovering biodiversity. Shifting agriculture, the main historical driver of deforestation, has slowed due to government restrictions and rural–urban migration (Izquierdo et al. 2011).

*Ecosystem services and products:* Biomass and carbon content in these tree plantations have been found to be even higher than in native forests (Fassola et al. 2009). The strategic placement of these plantations in watersheds and erosion-prone areas means that per unit forest area, the watershed and soil services they provide are also greater (Izquierdo and Clark 2012). Plantations have much lower biodiversity than native forests but they do provide habitat for some species, especially in association with the corridors, and they frequently act as buffers of native forests, which limits access and expands the habitat for jaguars, peccaries, and other large vertebrates (Di Bittetti et al. 2006, Paviolo et al. 2008, Zurita et al. 2006). The economy of the province is dependent on forests for both industrial forest products and tourism (Izquierdo et al. 2008). Plantations are not used for recreational or aesthetic purposes (but they buffer protected areas that are). Tree plantations produce large volumes of timber and pulpwood. Nontimber forest products have declined because plantations produce primarily timber, and access to native forests by local populations is increasingly restricted by protected areas and plantations.

### Box 2. Agroforestry: African Sahel

*Geography:* The Sahel is a semi-arid (rainfall 100–600 mm year<sup>-1</sup>) transitional ecoregion between the subtropical Sudanian woodlands to the south and the Sahara Desert to the north. It is dominated by savanna, grasslands, and scrublands.

*History:* People traditionally practiced extensive or migratory grazing, and cultivated millet and sorghum for subsistence. Following the droughts of the 1970s and 1980s, the Sahel (particularly in Chad and Burkina Faso) experienced a “greening” as precipitation levels returned to normal (Herrmann et al. 2005, Hickler et al. 2005, Olsson 2012, Seaquist et al. 2009) and people adopted adaptive land management techniques (Rasmussen et al.

2001, Mortimore and Turner 2005, Olsson et al. 2005, Reij et al. 2005, Reij 2006, Reij and Waters-Bayer 2014).

*New forests:* In response to droughts, landholders intensified soil and water conservation by protecting young forest to increase agro-ecological production and resilience (Reij 2006), and, to a lesser degree, planting trees (Reij et al. 2005). These practices produced forests with tree density that was comparable or superior to unmanaged woodlands (Mortimore and Turner 2005, Reij et al. 2005). Most new forests grew in managed areas and areas with relatively high population density, even as people continued to degrade forests along open-access peripheries (Mortimore and Turner 2005, Mortimore and Adams 2001). A perceived shift in economic rights to trees from the State to individual landholders greatly enhanced these efforts (Reij and Waters-Bayer 2014).

*Ecosystem services and products:* Carbon sequestration is significant (Olsson 2012) as new, dense forests span vast areas (Mortimore and Turner 2005, Reij 2006). Biodiversity likely increased as new forests contained many rare native tree species (89% of such species were found exclusively on managed lands) (Reij et al. 2005). Fuel wood and timber also increased (Mortimore and Turner 2005, Rudel 2005: Ch.7), but no other data on NTFPs are available. Higher water tables (> 5 m) and local rainfall (+30%) have been attributed to soil and water conservation efforts, including reforestation (Reij et al. 2005). Cereal yields (Reij et al. 2005) and fodder also increased following reforestation (Mortimore and Turner 2005, Rudel 2005: Ch.7), but this is indirectly and partially attributable to increased tree cover.

### Box 3. Spontaneous regeneration: Guanacaste, Costa Rica

*Geography:* Guanacaste is a province (10,141 km<sup>2</sup>) in northwestern Costa Rica (10.6° N). Volcanic mountains in the east drop down to a broad alluvial plain and beaches on the Pacific coast. Natural vegetation is predominantly tropical dry forest.

*History:* Widespread deforestation reduced forest cover to 23.6% of the province by 1975. Driven by international beef markets and favorable policies for cattle, an expanding road network allowed smallholders who were pushed out of other regions of the country to colonize land, and government policies encouraged forest clearing (Calvo-Alvarado et al. 2009).

*New forests:* After a period of very low deforestation rates from 1979 to 1986, forest cover began to increase and expanded to 47.9% of the province by 2005 (Kleinn et al. 2002). The transition is attributed to urbanization, a decline in the beef industry, a sharp drop in agricultural employment, a rise in service sector employment (particularly tourism), agricultural intensification in the lowlands, and conservation policies, including the establishment of protected areas, payments for ecosystem services, and restrictions on timber extraction and forest clearing (Calvo-Alvarado et al. 2009, Daniels 2010, McLennan and Garvin 2012). Spontaneous regeneration dominates the new forests, although the earliest regrowth on hilly terrain with coastal views was later cleared and fragmented for tourism and real estate development (Calvo-Alvarado et al. 2009), and tree plantations are concentrated on flatter land (Morera et al. 2007).



*Ecosystem services and products:* Carbon stocks in primary forest are much higher than in regenerating forest (after nine and 21 years) (Hall et al. 2012). New forests are often strategically located in “forested protection zones” that have been created to provide drinking water and biodiversity corridors, and address stream corridor protection laws, and water improvement per unit forest area is likely high (Mata 2004). Biodiversity is highest in primary forest, but regenerating forests tend to have higher biodiversity than both plantations and nonforest areas (Hall et al. 2012). As forests recover, biodiversity increases due to increased habitat, greater connectivity, and lower edge-to-area ratios (Hall et al. 2012). However, because of complex pollination and migration patterns, fragmented landscapes are unlikely to approach the biodiversity of intact forest (Frankie et al. 2004). Most harvested timber comes from teak plantations (Piotto et al. 2004). The shift from rural to urban lifestyles, and a sharp decline in households cooking with fuel wood likely means that NTFPs (including fuel wood) are decreasing in importance to livelihoods (Calvo-Alvarado et al. 2009).

#### **Box 4. Mixed transition: Intag Valley, Ecuador**

*Geography:* The Intag Valley (Imbabura, Ecuador) is a region in western Andean Ecuador. The valley is located 1100–3700 m above sea level, and receives 1600–2400 mm of precipitation per year. Vegetation ranges from premontane to upper montane cloud forest, with grasslands at higher elevations.

*History:* Intag’s dense cloud forests were cleared rapidly in the 1970s, 1980s, and 1990s for agriculture and cattle ranching (Sarmiento 2002, Kocian et al. 2011). Forests were felled (sometimes after extracting timber), burned, planted with crops, and then converted to pasture. Like many Andean landscapes, soil fertility has declined over the past several decades (Jokisch 2002, Mayer 2002). Between 1991 and 2001, pastures and cropland expanded at the expense of intact and degraded forests, and the northeast portion of the valley lost 37% of its primary forest (Wilson 2015).

*New forests:* In response to severe declines in water quality and to seasonal droughts that followed deforestation, in the 1990s a local NGO helped communities establish more than 40 community-based watershed reserves for reforestation. The forest cover inflection point occurred in the early 2000s at 40% cover, and between 2001 and 2010, forests returned on 26% of the land area for a net increase of 3%. Forests returned through adopting agroforestry, planting native species along waterways, and spontaneous regrowth on agricultural land and pastures.

*Ecosystem services and products:* The carbon stock of primary forests in the region remains six times greater than in young naturally regenerating and restored forests (S. Wilson, *unpublished data*). Primary forest diversity is two times greater than in planted forests and four times greater than in spontaneously regenerating forests, which tended to be species poor and dominated by early successional species with low wood density. Planted forests had much higher tree diversity than spontaneously regenerating forests (Wilson and Rhemtulla 2016), while agroforests had intermediate diversity (S. Wilson, *unpublished data*). Residents

reported improvements in water quality as new forests (natural, planted, agroforestry) were frequently established around waterways. However, compared to past conditions, water quality and quantity are still low and will likely take years to return to predeforestation conditions (Bruijnzeel et al. 2010). In the process of planting trees, people came to value them for the services they provide and developed new uses for them. The main forest products are fuel wood and timber. Planted forests contain more species that are used for timber, while naturally regenerating forest contain more species that are used for firewood. Planted forests contain more NTFP species (used for fertilizer, food, livestock fodder, and medicine) than both primary and naturally regenerating forests. Returning forests thus have both higher timber and NTFP potential value per unit forest area than do primary forests (Wilson and Rhemtulla 2016).

#### **FOREST ECOSYSTEM-SERVICE TRANSITION CURVES**

Our literature review and case studies demonstrate that different socio-economic changes yield different types of reforestation, ultimately giving rise to different suites of ecosystem services. These suites change and develop nonlinearly over the course of forest transition as forests mature and grow. To describe this development of ecosystem services coincident with reforestation, we propose conceptual “forest ecosystem-service transition curves” (Fig. 4), which illustrate how the type, amount, and trajectory of how ecosystem services change over the course of each transition type. These curves provide a framework for visualizing the ecosystem services that are likely to result from different types of transitions, and for estimating the long-term impacts of a transition from primary to secondary forest cover in different contexts. The conceptual curves presented here simplify the variability in actual reforestation outcomes, and are not intended to be rigorously quantitative (Norden et al. 2015). Instead, they indicate the relative amount of a given service that we might expect following a given type of forest transition. These estimated outcomes are based on our summary of the literature and consultations with leading experts in ecology, land use, cover change, and secondary forests through the PARTNERS reforestation research network (<http://partners-rcn.org/>).

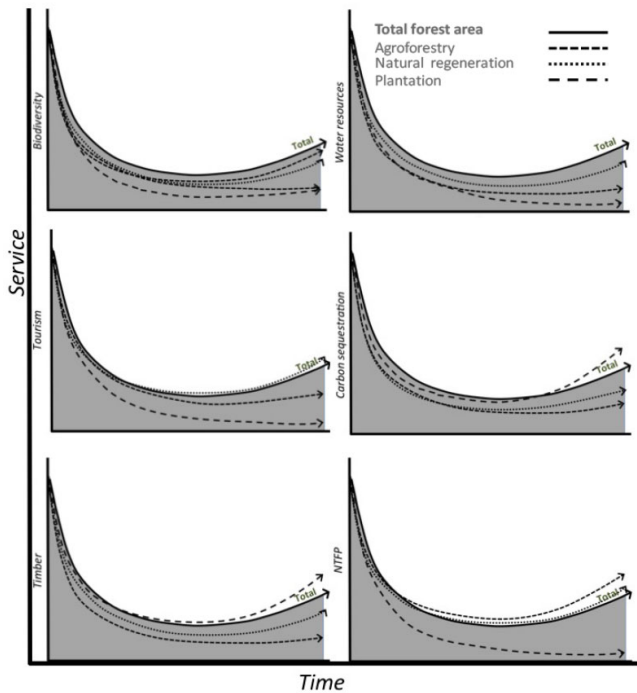
The ecosystem-service curves illustrate the ecological implications of different forest transition types at local, national, or regional scales (Vallet et al. 2016). At a given scale, the suite of ecosystem services produced will depend on the dominant type of reforestation. To examine patterns and identify the ecological implications of these suites over time, in the next section we estimate the global distribution of different types of reforestation.

#### **GLOBAL DISTRIBUTION OF FOREST TRANSITION TYPES**

We estimated to what extent the new forest types (corresponding to our forest transition types) have expanded in different countries around the world. This analysis illustrates the relative global extent of different forms of reforestation and, by extension, their suites of ecosystem services. But more importantly, it pilots our curves as a framework for evaluating the ecological effects of forest transitions.



**Fig. 4.** Forest ecosystem-service transition curves. The total forest cover depicted in a classic forest-transition curve is indicated by a solid line. Each panel shows conceptual curves of how quickly a given service recovers relative to forest area for each type of forest transition. (NTFP: nontimber forest product)



In our analysis, we estimated the dominant form of reforestation (plantation, agroforestry, natural, and mixed) for the 52 countries with the greatest gross gains in forest cover from 2000 to 2012, proportional to the national area (Table 1, Fig. 5). Plantation reforestation was characterized by recently established tree plantations, agroforestry by forest regeneration on nominal agricultural lands, and spontaneous regeneration (likely owing to economic growth) by forest regeneration in other areas. We also defined a “mixed” reforestation class in which plantation reforestation and either agroforestry or spontaneous regeneration both occur at high levels (> 40%) in a given country (Table 1). Our methodology is summarized in Appendix 1, and the final national classification is presented in Table 1.

#### Prevalence of different types of regrowth globally

In general, most countries experience appreciable regrowth of more than one forest type (Fig. 6A), which illustrates the complexity of forest transitions. However, several trends are still apparent with respect to regional ecological service transitions.

The dominant reforestation types tend to group regionally (Fig. 6). Plantation forests account for most of the new forests in regions with higher population densities (e.g., Central America, Western Europe, Japan, parts of Southeast Asia). This pattern would imply that timber, fibers, and short-term carbon sequestration are likely the dominant services derived from transitions, while biodiversity, NTFPs, and aesthetic or spiritual

values are likely to be less common. On the other hand, plantations are often intentionally established for utilitarian purposes and have a clear role in human-dominated landscapes, which may increase the likelihood that they persist.

Regions with lower population densities (e.g., the boreal northern latitudes and South America) tend to show transitions through spontaneous regeneration, as did the higher population density Asia–Pacific region (Rudel et al. 2016). Spontaneous regeneration transitions were the most common type in the global south, generally, possibly due to high levels of rural–urban migration throughout (Aide and Grau 2004, Güneralp and Seto 2013, Hecht et al. 2015). This pattern contradicts the profile of the “tropical forest transition” defined by FAO Forest Resources Assessment data, which suggests that most tropical forest transitions occur through plantation reforestation (Sloan and Sayer 2015). The ecological implications of this difference are enormous; while plantation transitions tend to yield lower biodiversity, biodiversity in spontaneously regenerating forests can be relatively high. That most of the countries in our analysis experienced transitions through spontaneous regeneration is thus good news for biodiversity, especially as these transitions occurred disproportionately in the global south and Latin America, where from a global perspective, forest biodiversity tends to be highest (Aide and Grau 2004, Kreft and Jetz 2007).

Agroforestry and mixed transitions types are most common in Europe and in archipelagoes (Caribbean, Philippines) (Fig. 6B). Europe has many private, small-scale forests and sustainable forest management enterprises (Nabuurs et al. 2007, MacDicken et al. 2015), which could explain the prevalence there. Food production and associated services (e.g., water) are expected to result from transitions in the Philippines and the Caribbean.

Overall, our global analysis shows that forest transitions produce different “types” of new forests in different contexts, which lead to different groups of services that are geographically distributed. Anticipating the services that will result from a given transition type will help managers, governments, communities, and policy-makers understand, anticipate, and plan for the present and future services that people can expect to receive from forests transitions, locally and globally.

#### CONCLUSIONS

Forest transition theory has provided a powerful framework for describing an important and previously neglected process of forest cover expansion. The use of a simplified metric—undifferentiated forest cover—facilitated the wide application of this theory (Rudel et al. 2005, Chazdon et al. 2016). However, forest cover alone tells us little about the ecosystem services forest transitions provide, as they are driven by a variety of social-ecological drivers that produce different forest types with different ecological functions, and ultimately, different ecosystem services. Our forest ecosystem-service transition curves provide a framework for understanding this global forest change that goes beyond coarse estimates of forest cover (Fig. 1, Fig. 4). This framework illustrates how the benefits of reforestation change over time as forests are cleared and return in different contexts. Linking underlying social and ecological conditions with the different new forests they produce and the associated levels of goods and services can help planners and policy-makers promote and adapt different strategies for forest recovery.

**Table 1.** The 52 countries with the highest national proportional gross forest cover gain, and their dominant forest transition type. The dominant forest transition type was identified by the type of forest cover that accounted for most of the recovery (> 50%). When no forest type made up more than 50%, the transition was recorded as “mixed”. Since FAO forest resource assessment estimates of planted-forest gain are independent of Hansen estimates, forest gains through different pathways add to more than 100% when planted forest gains occur.

Country	Gross forest gain (km <sup>2</sup> )	Gross forest gain (as % of national area)	Forest transition type determination			Forest transition type
			Agroforestry gain (as % of gross forest gain)	Natural regeneration gain (as % of gross forest gain)	Plantations gain (as % of gross forest gain)	
Russia	162292	0.98	19.0	81.0	10.0	Natural regeneration
United States	138082	1.52	10.0	90.0	20.3	Natural regeneration
Canada	91071	1.07	21.0	79.0	34.5	Natural regeneration
Brazil	75866	0.9	15.9	84.1	29.6	Natural regeneration
Indonesia	69701	3.7	30.2	69.8	-1.8	Natural regeneration
Malaysia	25798	7.86	39.0	61.0	5.7	Natural regeneration
Sweden	15281	3.71	1.7	98.3	3.7	Natural regeneration
Chile	14611	1.59	9.6	90.4	30.7	Natural regeneration
Finland	10849	3.56	2.8	97.2	87.4	Mixed reforestation
South Africa	8313	0.7	5.0	95.0	4.7	Natural regeneration
New Zealand	7102	2.68	6.5	93.5	0.4	Natural regeneration
Vietnam	5643	1.75	38.8	61.2	259.1	Plantation
France	5062	0.92	47.0	53.0	7.9	Natural regeneration
Poland	5041	1.65	46.5	53.5	48.4	Mixed reforestation
Thailand	4992	0.95	70.5	29.5	175.3	Plantation
Uruguay	4965	2.95	13.7	86.3	62.2	Mixed reforestation
Spain	4482	1.03	33.7	66.3	39.0	Natural regeneration
Madagascar	4051	0.69	18.6	81.4	35.3	Natural regeneration
Belarus	3755	1.8	48.5	51.5	43.9	Mixed reforestation
Ukraine	3529	0.62	53.8	46.2	25.8	Agroforestry
Laos	3379	1.48	8.4	91.6	37.0	Natural regeneration
Portugal	2866	3.22	14.9	85.1	25.5	Natural regeneration
Philippines	2726	0.92	53.9	46.1	9.2	Agroforestry
Germany	2582	0.74	30.1	69.9	0.0	Natural regeneration
Japan	2570	0.72	13.6	86.4	-1.9	Natural regeneration
Ivory Coast	2298	0.73	24.6	75.4	33.1	Natural regeneration
Cuba	2271	2.1	88.1	11.9	63.4	Mixed reforestation
Latvia	1857	2.93	26.6	73.4	-43.6	Natural regeneration
Romania	1530	0.66	37.9	62.1	29.4	Natural regeneration
Hungary	1350	1.46	76.0	24.0	76.3	Mixed reforestation
Czech Republic	1331	1.69	36.4	63.6	14.3	Natural regeneration
Ireland	1238	1.75	3.7	96.3	84.0	Mixed reforestation
Lithuania	1226	1.93	43.0	57.0	48.9	Mixed reforestation
Cambodia	1096	0.62	19.4	80.6	-9.1	Natural regeneration
Guatemala	1094	1	39.8	60.2	73.1	Mixed reforestation
Liberia	1084	1.12	11.6	88.4	0.0	Natural regeneration
Estonia	894	2.05	25.6	74.4	-2.2	Natural regeneration
Austria	658	0.78	17.3	82.7	0.0	Natural regeneration
Swaziland	603	3.47	3.3	96.7	-16.6	Natural regeneration
Slovakia	523	1.06	22.4	77.6	1.9	Natural regeneration
Sierra Leone	451	0.62	13.6	86.4	15.5	Natural regeneration
Dominican Republic	393	0.81	71.6	28.4	0.0	Agroforestry
Costa Rica	382	0.74	54.9	45.1	99.5	Plantation
Belgium	373	1.24	26.3	73.7	-32.2	Natural regeneration
Denmark	322	0.79	59.6	40.4	142.9	Plantation
Solomon Islands	203	0.74	9.2	90.8	-4.9	Natural regeneration
Fiji	119	0.67	26.8	73.2	395.0	Plantation
Brunei	88	1.54	25.0	75.0	22.7	Natural regeneration
Jamaica	68	0.62	65.6	34.4	-14.7	Agroforestry
Puerto Rico	64	0.72	68.5	31.5	0.0	Agroforestry
Mauritius	30	1.56	82.8	17.2	0.0	Agroforestry
Luxembourg	27	1.04	40.8	59.2	0.0	Natural regeneration

Fig. 5. Gross increase in forest cover as a percentage national area, for the top 52 countries, 2000–2012.

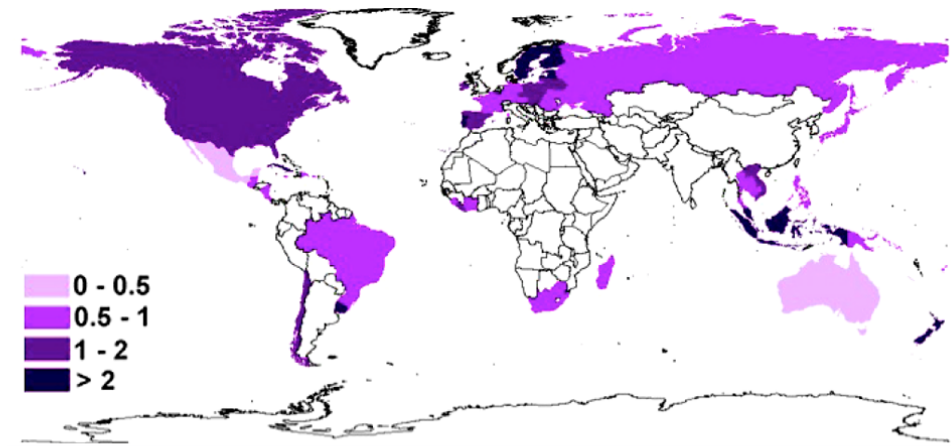
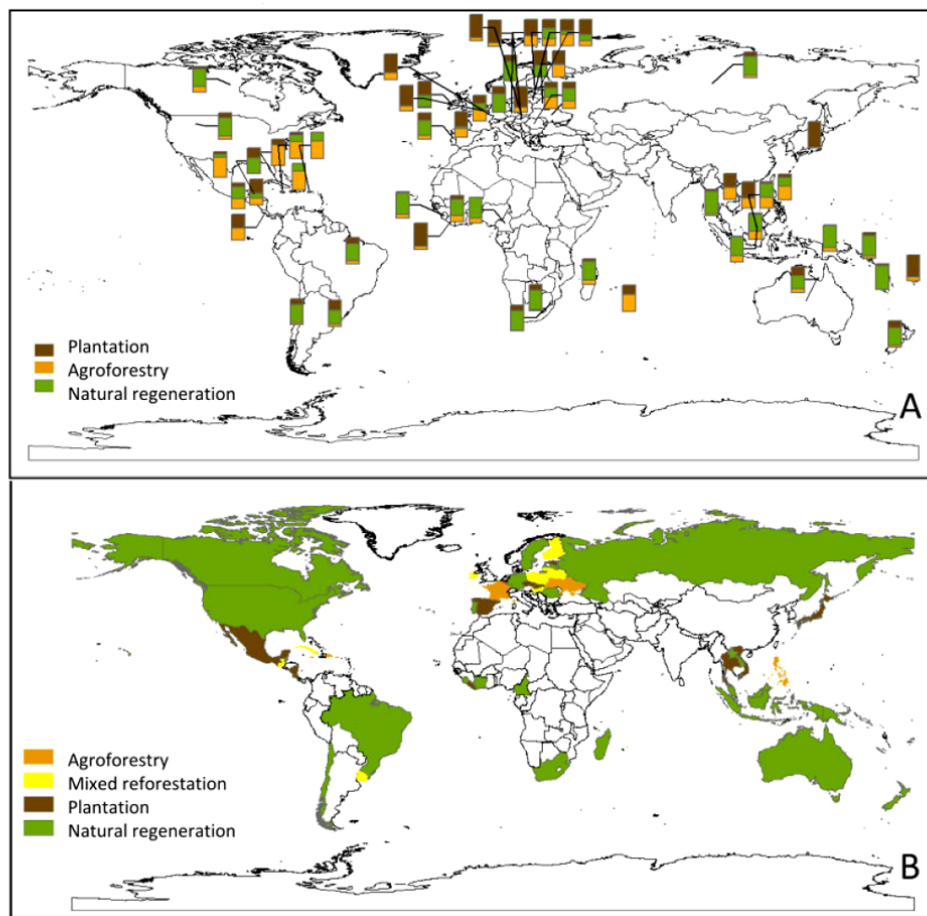


Fig. 6. Types of reforestation occurring in the countries experiencing the greatest gains in gross forest cover as a proportion of their national area, 2000–2012. (A) Reforested area by type, as a proportion of gross forest cover gain. (B) The dominant (> 50%) form of reforestation by which forest cover increased, based on the relative proportion of different secondary forest types.





We have provided an overview of forest and ecosystem-service transitions, combined with detailed local examples. The “forest ecosystem-service transition” concept will only become more rigorous and applicable for describing services gained and lost as global data sets are improved and systematically linked to detailed case studies. Our global analysis of regionally dominant reforestation types was limited by a lack of consistent global data sets that differentiate between secondary forest types (Hansen et al. 2013, Chazdon et al. 2016). This limitation highlights a need for global spatial data on agroforestry, which currently do not exist, and for better remote sensing products generally that discriminate between new forest types. Although some techniques exist to identify changing forest types over time (Fagan et al. 2015), they have been applied only at relatively small scales. Combining satellite-based indicators of ecological function with census statistics on land use and human population, or with participatory remote sensing methods, are potential avenues to yield a more rigorous classification of forest types (Chazdon et al. 2016). A complementary and promising (but labor-intensive) avenue is visually interpreting agroforestry and planted forests using high-resolution satellite imagery, as has been conducted at regional scales (Miettinen and Liew 2010) and for select countries (World Resources Institute Global Forest Watch <http://www.globalforestwatch.org/>).

Case studies provide nuanced analyses that integrate diverse economic and cultural factors, show complex patterns of forest recovery, and provide corresponding data on ecosystem services, as we have demonstrated. Meta-analyses of case studies could potentially refine our ecosystem-service transition models. But to date, case studies that track multiple services over time are rare, and case study data are often incomplete. We found many cases where one or a couple of ecosystem services were quantified, but the scope for quantitative comparisons was limited by a lack of consistency among cases in terms of which services were measured, by which metrics, and relative to which baseline. Case studies are needed that (1) examine a broad array of ecosystem services produced by different types of secondary forests at different ages, and (2) use comparable sets of metrics to assess these services. The forest ecosystem-service framework could serve as a guide to design studies at specific locations that represent important cases of interest.

Despite data limitations, our analysis illustrates why and how forest transitions differ from each other, socially, ecologically, and regionally. The proportion of different forms of reforestation and their corresponding suites of ecosystem services vary dramatically among different world regions. According to our framework and global analysis, biodiversity is likely relatively high (relative to other forms of reforestation) in the global south, and in particular, Latin America (although it is still lower than in the primary forests that were cleared). The production of timber, carbon, and fibers is likely high in plantation transition regions in the Western Europe and the Asia–Pacific regions, perhaps in part because the scarcity of these services is felt more acutely in these areas of high population density (Mather 1992, Rudel et al. 2005). A wide range of services likely occurs in the Philippines, Caribbean, and other parts of Europe, areas which have mixed transitions and agroforestry transitions.

Our case studies show that patterns in the types of new forests reflect differences in underlying social, economic, and ecological

conditions. This creates both challenges and advantages in terms of both the ease of promoting forest recovery (through education, policies, and management), and in anticipating the suite of ecosystem services they ultimately produce (Sloan 2015, 2016). However, new forests and forest transitions provide an important opportunity to partially reverse historical environmental degradation. Because different forest transition types enable different cobenefits, policy-makers and practitioners can use our framework to guide choices about what types of transitions to promote to achieve specific end goals at regional and global scales, as in the context of REDD+ or multilateral reforestation initiatives like the Bonn Challenge.

Our study points to a particular win-win in that forest transitions are likely to enhance soil and watershed conservation, since new forests tend to be located in riverine and steep areas where competition with industrial agriculture is minimized. This pattern occurs both because of intentional actions (i.e., establishing plantations or forests to protect water supplies) and as a byproduct of agricultural intensification (i.e., when farming technologies are introduced and marginal land is abandoned), and it can be strategically built upon elsewhere.

With respect to planning for different services, carbon sequestration will be comparatively lower in agroforestry transitions, whereas biodiversity recovery is likely to be lower in industrial plantations. Naturally regenerating forests usually have highest biodiversity and relatively large biomass but tend to provide less provisioning and economically valuable services. Understanding the tendency for different socio-economic drivers to produce different forest-transition types provides us with an opportunity to promote and manage new forests in a way that meets the needs of populations, and to contribute to environmental goals, such as mitigating climate change and biodiversity loss. We encourage other researchers to elaborate on and test the forest ecological-service transition curves we have outlined, and to develop this framework for policy application.

*Responses to this article can be read online at:*  
<http://www.ecologyandsociety.org/issues/responses.php/9615>

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## **Appendix 1.** Categorizing countries by forest transition (FT) type.

The aim of this analysis was to provide and pilot a framework for evaluating the ecological impacts of forest transitions, which will become more useful as remote sensing technology improves our ability to distinguish between different types of secondary forest. To understand where different types of transitions are occurring, and the relative scales at which different packages of ecosystem services are unfolding globally, we classified countries with high levels of reforestation (Figure 2) according to their apparent predominant forest transition type. First, we used satellite estimates from the Hansen dataset (Hansen et al. 2013) to select the 50 countries with the greatest national proportional gross forest cover gain between 2000 and 2012. These 50 countries included all continents (except Antarctica) and several biomes (Table 1). We then categorized each as undergoing either a forest plantation transition, an agro-forestry transition, or a spontaneous regeneration transition. Because a number of countries had a relatively even distribution of two or more forest types, we added a “mixed” forest transition category with an even combination of plantations, agro-forestry or spontaneous regrowth. Countries were group into one of these four types as follows: A national “forest plantation transition” occurred where more than >50% of the gross forest cover gain according to Hansen et al. (2013) was attributed to an increase in planted forest area from 2000-2010 according the FAO FRA 2010 (FAO, 2010). Planted forests range from monotypic industrial plantations to semi-natural forests propagated by assisted reforestation (FAO, 2010). An “agroforestry transition” occurred where >50% of gross forest cover gain according to Hansen et al. (2013) occurred within “agricultural” and “agricultural mosaic” lands of 2001 as mapped by the MODIS MCD12Q1 global-land cover classification (Friedl et al. 2010), following the approach of Zomer et al. (2014). The label of “spontaneous regeneration transition” was applied to any country not satisfying these criteria for a forest-plantation or agro-forestry FT. In general, the spontaneous forest transition label describes natural forest gain dispersed in large part outside agricultural landscapes. The label of “mixed” forest transition was applied where forest cover was evenly split between two or more types of cover

In the absence of better global data, agroforestry was necessary defined here simply as an increase in forest cover on agricultural lands, as per Zomer et al. (2014). In practice, it is ambiguous whether the agroforest extent thus calculated comprises forests and fallows managed for agriculture (i.e., agroforestry) on still-occupied agricultural lands, or by natural regrowth on

abandoned agricultural lands. In the latter case, this would mean our agroforestry classification would be conflated with a spontaneous regeneration transition in contexts of widespread agricultural abandonment (Rudel et al. 2000). This data limitation speaks to the need for a comprehensive global database of agroforestry, which at present time does not exist. Our estimation here represents an alternative used elsewhere in the literature (Zomer et al. 2014).